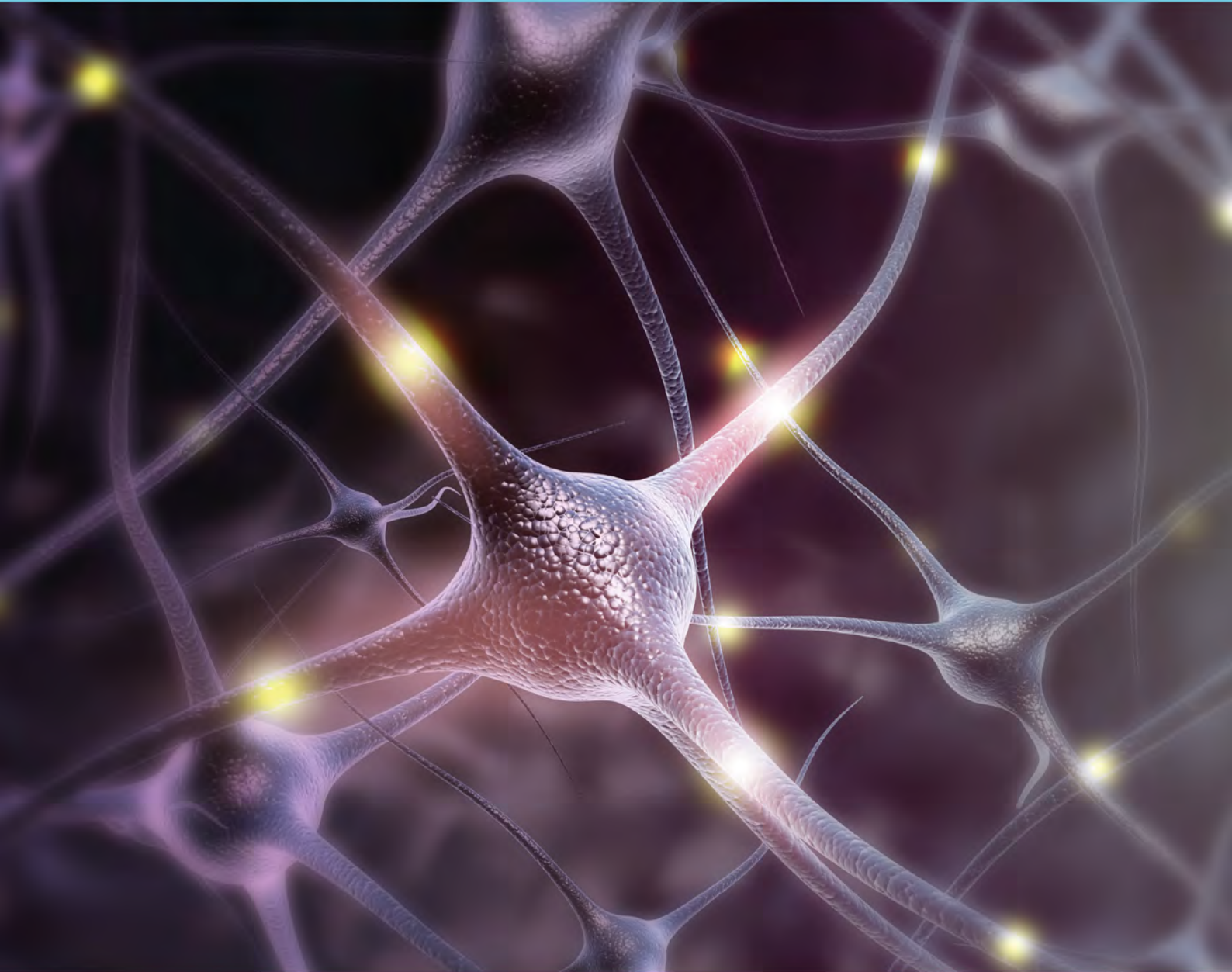


JBNC

JORNAL BRASILEIRO DE NEUROCIRURGIA
BRAZILIAN JOURNAL OF NEUROSURGERY





XIX CONGRESSO DA
ACADEMIA BRASILEIRA DE

NEUROCIURURGIA

**FORTA
LEZA**

25 A 28 NOVEMBRO 2022



DEM AÍ!

inscrições a partir de junho de 2022
Mais informações: abnc@abnc.org.br

JBNC

Brazilian Journal of Neurosurgery

Jornal Brasileiro de Neurocirurgia

Órgão Oficial da
Academia Brasileira de Neurocirurgia

Indexado nas Bases de Dados LATINDEX

Volume 33

Número 3

Biênio 2019-2022

EDITOR CHEFE

Ricardo Ramina

Instituto de Neurologia de Curitiba – PR

EDITOR EXECUTIVO

André Giacomelli Leal

Instituto de Neurologia de Curitiba – PR

EDITOR CIENTÍFICO

Paulo Henrique Pires de Aguiar

Pontifícia Universidade Católica de São Paulo – SP

EDITOR EMÉRITO

Apio Cláudio Martins Antunes

*Universidade Federal do Rio Grande do Sul /
Porto Alegre – RS*

SECRETÁRIA EXECUTIVA

Marli Aico Ataka Uchida

EDITORES CIENTÍFICOS ASSOCIADOS

Carlos Umberto Pereira

*Fundação Beneficência Hospital de Cirurgia; Hospital de
Urgência de Sergipe / Aracaju - SE*

Daniel Benzecry de Almeida

Instituto de Neurologia de Curitiba - PR

Luiz Roberto Aguiar

Pontifícia Universidade Católica de Curitiba – PR

Marcos Vinícius Calfat Maldaum

*Hospital das Clínicas da Universidade Estadual de
Campinas - SP*

Murilo Sousa de Meneses

Instituto de Neurologia de Curitiba – PR

EDITORES EXECUTIVOS ASSOCIADOS

Jerônimo Buzetti Milano

Instituto de Neurologia de Curitiba - PR

Luis Fernando Moura da Silva Junior

Instituto de Neurologia de Curitiba - PR

Maurício Coelho Neto

Instituto de Neurologia de Curitiba - PR

Oswaldo Vilela Filho

Universidade Federal de Goiás / Goiânia - GO

Yvens Barbosa Fernandes

Centro Médico de Campinas - SP

CONSELHO EDITORIAL INTERNACIONAL

- Alvaro Campero** - Universidad Nacional de Tucumán / San Miguel de Tucumán / Tucumán / Argentina
- Ana Paula Narata** - Centre Hospitalier Universitaire de Tours – Hôpital Bretonneau / Paris / France
- André Guelman Machado** - Cleveland Clinic Foundation / Cleveland / Ohio / USA
- Andrés Cervio** – Instituto Fleni / Ciudad Autónoma / Buenos Aires / Argentina
- Antonio Daher Ramos** - Hospital Jorge Mendez / Valência / Venezuela
- Daniel Prevedello** - Ohio State University / Athens / Ohio / USA
- Edgardo Spagnuolo** - Servicio Neurocirugía de Hospital Maciel. Unidad Docente. Escuela de Graduados. Facultad de Medicina, UDELAR / Montevideo
- Enrique Osório Fonseca** - Universidad El Bosque / Bogotá / Nova Jérsei / Colombia
- Franco De Monte** - M.D. Anderson Cancer Center / Houston / Texas / USA
- Gerardo Guinto Balanzar** - Hospital ABC / Santa Fe / México DF / México
- Graziela Zuccaro** - Hospital de Clínicas de la Universidad de Buenos Aires / Buenos Aires / Distrito Federal / Argentina
- Jacques Morcos** - University of Miami / Miami / Flórida / USA
- Jorge Mura** - Instituto de Neurocirugía Asenjo / Universidad de Chile / Santiago / Chile
- Julio Antico** - Instituto FLENI / Buenos Aires / Distrito Federal / Argentina
- Leonidas Quintana Marin** - Universidad de Valparaíso / Valparaíso / Chile
- Marcelo Platas** - Universidade de Buenos Aires / Buenos Aires / Distrito Federal / Argentina
- Marco Gonzalles-Portillo Showing** - Universidad Nacional Mayor de San Marcos / Lima / Peru
- Marcos Soares Tatagiba** - University Hospital Tübingen / Tübingen / Germany
- Wolfgang Deinsberger** - University of Kassel / Kassel / Germany

CONSELHO EDITORIAL NACIONAL

- Albedy Moreira Bastos** - Universidade Federal do Pará / Belém / PA
André Giacomelli Leal - Instituto de Neurologia de Curitiba / Curitiba / PR
Carlos Tadeu Parisi de Oliveira - Universidade de São Francisco / Bragança Paulista / SP
Carlos Umberto Pereira - Universidade Federal de Sergipe / SE
Durval Peixoto de Deus - Clínica Santa Mônica / Goiânia / GO
Edson Mendes Nunes - Hospital Eduardo Rabello / Rio de Janeiro / RJ
Feres Eduardo Chaddad Neto - Universidade Federal de São Paulo-UNIFESP, São Paulo / SP
Flávio Belmino Barbosa Evangelista - Hospital Universitário Walter Cantídio / Universidade Federal do Ceará / Fortaleza / CE
Francisco Flávio Leitão Filho - Instituto José Frota / Fortaleza / CE
Guilherme Ramina Montibeller Instituto de Neurologia de Curitiba / Curitiba / PR
Gustavo Rassier Isolan - Centro Avançado de Neurologia e Neurocirurgia (CEANNE)
Gustavo Simiano Jung - Instituto de Neurologia de Curitiba / Curitiba / PR
Hélio Ferreira Lopes - Instituto Nacional do Câncer / Rio de Janeiro / RJ
Hildo Cirne de Azevedo Filho - Universidade Federal de Pernambuco / Hospital de Restauração / Recife / PE
Jean Gonçalves de Oliveira - Hospital Beneficência Portuguesa / São Paulo / SP
José Arnaldo Mota Arruda - Universidade Federal do Ceará / Fortaleza / CE
José Marcus Rotta - Hospital do Servidor Público Estadual de São Paulo / São Paulo / SP
José Maria Modenesi Freitas - Hospital Meridional / Cariacica / ES
Kristofer Luiz Fingerle Ramina - Instituto de Neurologia de Curitiba - PR
Leandro José Haas - Hospital Santa Isabel / Blumenau / SC
Lucas Alves Aurich - Rede Sarah de Hospitais de Reabilitação / Brasília / DF
Márcio Francisco Lehmann - Universidade Estadual de Londrina / Londrina / PR
Marco Antônio Nihí - Instituto de Neurologia de Curitiba (INC) / Curitiba / PR
Marcos Masini - Universidade Nacional de Brasília / Brasília / DF
Matheus Kahakura Franco Pedro - Instituto de Neurologia de Curitiba (INC) / Curitiba / PR
Oswaldo Vilela Filho - Universidade Federal de Goiás / GO
Pedro André Kowacs - Instituto de Neurologia de Curitiba / Curitiba / PR
Roberto Alexandre Dezena - Universidade Federal do Triângulo Mineiro / Uberaba / MG
Roberto Leal da Silveira - Neurocenter / Instituto das Peq. Miss. Hospital Madre Teresa / Belo Horizonte / MG
Robson Luis Oliveira de Amorim - Universidade Federal de Manaus / AM
Samuel Tau Zyberg - Universidade Federal de São Paulo - UNIFESP / São Paulo / SP

Academia Brasileira De Neurocirurgia – Abnc

DIRETORIA - BIÊNIO 2019/2021**PRESIDENTE (2019/ 2022)**

Francisco Flávio Leitão Carvalho Filho - CE

Vice-Presidente (2024/2025)

Robson Luis Oliveira de Amorim - AM

Presidente Eleito (2022/2024)

Roberto Alexandre Dezena - MG

**COMITÊ EXECUTIVO DO XIX CONGRESSO DA
ABNc (2019/2021)**

Presidente: Francisco Flávio Leitão Carvalho Filho - CE

Vice-Presidente: José Arnaldo Motta de Arruda - CE

Secretário Geral: Carlos Eduardo Barros Jucá - CE

Tesoureiro: Lucas Alverne Freitas de Albuquerque – CE

**JORNAL BRASILEIRO DE NEUROCIRURGIA
(2019/2021)**

Editor Chefe: Ricardo Ramina Curitiba - PR

Editor Executivo: Luiz Roberto Aguiar - PR

Editor Científico: Paulo Henrique Pires de Aguiar - SP

EDITOR DO SITE (2019/2021)

Editor: Marcos Masini – DF

SECRETARIA PERMANENTE

Secretário Geral

Edson Mendes Nunes – RJ

Secretários Auxiliares

Hélio Ferreira Lopes – RJ

Marco Antonio Herculano – SP

CONSELHO DELIBERATIVO

Presidente: Flávio Belmino Barbosa Evangelista – CE

Membros:

Albedy Moreira Bastos - PA

Carlos Tadeu Parise de Oliveira - SP

Carlos Umberto Pereira - SE

Durval Peixoto de Deus - GO

Helio Ferreira Lopes - RJ

Hildo Rocha Cirne Azevedo Filho - PE

José Arnaldo Motta de Arruda - CE

José Marcus Rotta - SP

Marcos Masini - DF

Murilo Sousa Meneses - PR

Oswaldo Vilela Filho - GO

Paulo Henrique Pires de Aguiar - SP

Ricardo Ramina - PR

Roberto Alexandre Dezena - MG

CONSELHO FISCAL

Presidente: Durval Peixoto de Deus - GO

Membros:

Carlos Tadeu Parisi de Oliveira – SP

Flávio Belmino Barbosa Evangelista - CE

O Jornal Brasileiro de Neurocirurgia é o órgão oficial da Academia Brasileira de Neurocirurgia e se propõe a publicar artigos sobre **Neurocirurgia e todas as ciências afins**. Os volumes são anuais e compostos por 4 publicações trimestrais. Assim, trabalhos inéditos, sob a forma de **artigos originais, relatos de casos, artigos de revisão, notas breves e imagens clínicas, poderão ser aceitos, desde que não tenham sido enviados para publicação em outro periódico**.

Preferencialmente os artigos devem ser redigidos em Inglês, com abstract em Inglês e resumo em Português ou Espanhol. Alternativamente, poderão ser redigidos em Português ou Espanhol, com respectivo resumo/resumen e um abstract em Inglês (obrigatório). Todos os artigos também deverão conter título em Inglês.

Todo e qualquer trabalho poderá receber modificações, para que se adapte à estrutura geral do Jornal.

Os artigos que não se enquadrarem às normas ou que não forem adequados às necessidades editoriais do Jornal serão retornados ao autor correspondente, para as devidas adaptações.

A produção científica entre os acadêmicos é fundamental para ampliar seus conhecimentos. Com este objetivo, o JBNC incentiva a publicação de artigos cujos autores são membros das **Ligas Acadêmicas de Neurologia e Neurocirurgia cadastradas na ABNC**. Pelo menos dois artigos por fascículo serão atribuídos aos membros. Estes artigos devem seguir as mesmas orientações de submissão e distribuição por categorias.

Ricardo Ramina, MD, PhD
Editor-Chefe

SUBMETENDO SEU ARTIGO

Somente serão aceitos artigos submetidos “on line” através da página <http://www.abnc.org.br> clicando-se no ícone “**Envie seu Artigo**”. Inicialmente, é necessário que o autor se cadastre e utilize os dados de login que foram enviados para o seu e-mail, através do ícone: “**Cadastre-se para enviar seu artigo**”.

Após o envio do artigo, o autor receberá um e-mail confirmando o recebimento. A seguir, o artigo passará pela nossa equipe de revisão para verificação de estrutura adequada às normas abaixo. Em caso de adaptações necessárias, o autor receberá e-mail com a solicitação para continuidade do processo.

Somente após atendimento ao check-list da equipe editorial, será iniciado o processo de revisão para aceite do artigo.

Tabelas, algoritmos e gráficos: podem ser inseridos no arquivo do texto (formato office word).

Tipos dos Artigos

- artigos originais
- relatos de casos
- artigos de revisão
- notas breves
- imagens clínicas (nova categoria)

Aceite dos Artigos

Os artigos serão aceitos após avaliação do Conselho Editorial e cumprimento das etapas de tramitação. Os artigos que não se enquadrarem às normas ou que não forem adequados às necessidades editoriais do Jornal serão retornados ao autor correspondente, para as adaptações necessárias.

Inicialmente, avalia-se o rigor às normas de preparação dos artigos, a citação dos registros nos Conselhos e Comitês e o potencial para publicação.

Quando aprovado nas etapas anteriores o artigo será encaminhado para análise por pares (**peer review**) e os avaliadores os classificarão da seguinte forma: **aceito, rejeitado ou requer revisões**, seja de forma ou de conteúdo. Os pareceres emitidos pelos avaliadores serão apreciados pelo Editor Científico, e posteriormente um parecer final será enviado ao autor correspondente.

Estrutura do Artigo

Página de Título

1. Títulos: em português/espanhol e inglês (**obrigatórios**)
2. Nome completo do(s) autor(es) e titulação e instituição a qual pertencem, seguidos de cidade e estado e e-mail. **Enviar titulação dos autores em inglês.**
3. Nome da instituição em que foi feito o estudo, cidade e país.
4. Dados completos de autor correspondente com e-mail
5. Declaração de conflito de interesses e agência financiadora; se aplicável.
6. Aprovação do artigo ao Conselho Nacional de Saúde (CONEP-CNS) ou Comitê de Ética em Pesquisa da instituição onde foi realizado o trabalho ou citação do registro na Organização Mundial da Saúde (OMS) e do Comitê Internacional de Editores de Revistas Médicas (ICMJE).

RESUMO

Resumo/Resumen (português/espanhol) e **Abstract** em inglês (**obrigatórios**), com máximo de **200 palavras**, transmitindo a ideia geral da publicação.

- **Artigo Original:** estruturado (introdução/introduction, objetivo/objective, métodos/methods, resultados/results e conclusão/conclusion).
- **Artigo de Revisão, Notas Breves e Imagens clínicas** dispensam a estruturação do resumo.
- **Palavras-Chave/ Palabras-Clave e Keywords:** após Resumo/Resumen e Abstract (mínimo de 3 e máximo de 5).

MANUSCRITO

1. **Títulos:** Português ou Espanhol e Inglês
2. **Texto:** fonte Arial ou Times New Roman 12, sem recuo de parágrafo e justificado em espaço duplo.
3. **Resumo/Palavras-Chave e Abstract/Keywords**
4. **Referências: formato Vancouver por ordem de citação, com**

numeração sobrescrita e arábica, por exemplo ^{1,20}. Comunicações pessoais e trabalhos em preparação poderão ser citados no texto, mas não constarão das referências.

Imagens Clínicas: máximo de 3 autores, texto de 150 palavras e 12 referências bibliográficas.

Referências

Fonte: http://www.nlm.nih.gov/bsd/uniform_requirements.html, International Committee of Medical Journal Editors (ICMJE) Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals: Sample References

Artigos de periódicos (COLOCAR DOI QUANDO EXISTENTE)

1. **Até 6 autores (citar todos)**
2. Harbell J, Terrault NA, Stock P. Solid organ transplants in HIV-infected patients. *Curr HIV/AIDS Rep.* 2013;10(3):217-25. <http://dx.doi.org/10.1007/s11904-013-0170-z>.
3. **Mais de 6 autores (citar 3 seguido de et al.)**
4. Patel MA, Kim JE, Theodoros D, et al. Agonist anti-GITR monoclonal antibody and stereotactic radiation induce immune-mediated survival advantage in murine intracranial glioma. *J Immunother Cancer.* 2016;4:28. <http://dx.doi.org/10.1186/s40425-016-0132-2>.

Livros

Donald PJ, editor. *Surgery of the skull base.* Philadelphia: Lippincott-Raven; 1998.

Breedlove GK, Schorfheide AM. *Adolescent pregnancy.* 2nd ed. Wiczorek RR, editor. White Plains (NY): March of Dimes Education Services; 2001.

Capítulos de livros

Meltzer PS, Kallioniemi A, Trent JM. Chromosome alterations in human solid tumors. In: Vogelstein B, Kinzler KW, editors. *The genetic basis of human cancer.* New York: McGraw-Hill; 2002. p. 93-113.

Dissertações e teses

Borkowski MM. *Infant sleep and feeding: a telephone survey of Hispanic Americans [dissertation].* Mount Pleasant (MI): Central Michigan University; 2002.

Trabalhos apresentados em congressos, simpósios, encontros, seminários e outros

Petersen R, Grundman M, Thomas R, Thal L. Use of titanium mesh for reconstruction of large anterior cranial base defects; 2004 July; United States, Philadelphia; 2004.

Artigos em periódicos eletrônicos

Aboud S. Quality improvement initiative in nursing homes: the ANA acts in an advisory role. *Am J Nurs.* 2002 Jun [cited 2002

Aug 12];102(6):[about 1 p.]. Available from: <http://www.nursingworld.org/AJN/2002/june/Wawatch.htmArticle>

Textos em formato eletrônico

Instituto Brasileiro de Geografia e Estatística. Estatísticas da saúde: assistência médico-sanitária. Disponível em: <http://www.ibge.gov.br>. Acessado em: 5/2/2004.

Responsabilidade Intelectual

Ao submeter o artigo ao *Jornal Brasileiro de Neurocirurgia* automaticamente os autores transferem direitos de cópia à publicadora do periódico e assumem a responsabilidade intelectual e legal pelos resultados e pelas considerações apresentados.

Conforme resolução CNS nº 196/1996, o autor deve mencionar a submissão e a aprovação do artigo ao Conselho Nacional de Saúde (CONEP-CNS) ou Comitê de Ética em Pesquisa da instituição onde foi realizado o trabalho.

No caso de ensaios clínicos, é obrigatória a citação do registro na Organização Mundial da Saúde (OMS) e do Comitê Internacional de Editores de Revistas Médicas (ICMJE).

Check-list de submissão

Antes de enviar seu artigo, confira se todos os requisitos abaixo foram preenchidos:

1. **Título** em Português ou Espanhol (segundo o idioma utilizado)
2. **Título** em inglês (obrigatório)
3. **Página de rosto:**
 - a. Nome da instituição em que foi feito o estudo, cidade e país;
 - b. Titulação e filiação de todos os autores. **E-mail de todos os autores é obrigatório para registro do DOI do artigo;**
 - c. Dados completos de autor correspondente com e-mail;
 - d. Declaração de conflito de interesses e agência financiadora;
 - e. Declaração de submissão e a aprovação do artigo ao Conselho Nacional de Saúde (CONEP-CNS) ou Comitê de Ética em Pesquisa da instituição onde foi realizado o trabalho. **Atenção:** no texto do artigo também deverá constar esta informação;
 - f. No caso de ensaios clínicos, é obrigatória a citação do registro na Organização Mundial da Saúde (OMS) e do Comitê Internacional de Editores de Revistas Médicas (ICMJE). **Atenção:** no texto do artigo também deverá constar esta informação.
4. **Abstract/Resumo/Resumen:** para Artigos originais, **obrigatoriamente** estruturado: introdução, objetivo, método, resultados, conclusão. Para Artigo de Revisão, Notas Breves e Imagens clínicas, é dispensada a estruturação do resumo
 - a. **Keywords/Palavras-Chave/Palavras-clave:** no mínimo 3 a 5 termos

5. **Referências: numeradas por ordem de citação e sobrescritas antes da pontuação; Sistema Vancouver;** incluir todos os autores quando até 6; acima de 6, listar os 3 primeiros seguidos de “et al.”

Exemplos de Referências, segundo ICMJE:

http://www.nlm.nih.gov/bsd/uniform_requirements.html

6. **Texto:** fonte Arial ou Times New Roman 12, justificado e em espaço duplo.
7. **Letter to the Editor:** carta submetendo seu artigo à apreciação contendo:
- declaração de que sua publicação é exclusiva neste periódico e enquanto não receber parecer negativo deverá informar ao editor para retirada do mesmo;
 - declaração de que transfere automaticamente direitos de cópia e permissões à publicadora do periódico e que assume a responsabilidade intelectual e legal pelos resultados e pelas considerações apresentados.
 - Declaração que não foi publicado anteriormente e nem está sob avaliação em outro periódico, todos os autores estão de acordo e, se aceito, não poderá ser publicado da mesma forma em qualquer idioma, sem o consentimento por escrito do JBNC.
8. **Figuras:** as figuras devem ter alta resolução para impressão (300 dpi) em formato jpeg, tif ou png.

Atenção: Artigos submetidos sem estes pré-requisitos serão devolvidos aos autores para adequação antes de sua avaliação. Utilize o mesmo número de manuscrito para reenviar o arquivo.

E-mails de todos os autores são obrigatórios para registro de DOI do artigo.

AUTHOR'S GUIDE

The Brazilian Journal of Neurosurgery (JBNC) is the official journal of the Brazilian Academy of Neurosurgery (ABNC). The JBNC publishes scientific articles about Neurosurgery and related areas. Each volume is annual, with 4 quarterly publications. Unpublished papers, either as **original, case report, review, brief note or clinical images** should be accepted.

Papers should be preferably in English, with Abstract / Keywords in English. If written in other language (Portuguese or Spanish), it should be provided a translated title, with Resumo/Resumen and Palavras-Chave/Palavras-Clave. All papers must have a title in English.

Every paper can be changed to be conformed to the general structure of the Journal.

Submitted manuscripts that do not fulfill Author's Guide checklist or the editorials purposes of this Journal will be returned to the corresponding author for revising and adapting it.

The scientific production among academics is crucial to enlarge their knowledge. Therefore, the JBNC encourages the publication of articles whose authors are members of **Academic Leagues of Neurology and Neurosurgery previously enrolled at the Brazilian Academy of Neurosurgery**. At least two articles by issue will be allocated to mem-

bers. These articles should follow the same guidelines and distribution by categories.

Ricardo Ramina, MD, PhD

Editor-in-chief

SUBMITTING YOUR PAPER

Only online submissions will be accepted (<http://www.abnc.org.br>). Click on the icon “**Envie seu artigo/Submit manuscript**”. If you are not already enrolled on the system, please click on the icon “**Cadastre-se para enviar seu artigo/Create an account**”.

After uploading the file, an auto-reply will be sent to the registered author's e-mail. Afterwards, the manuscript will be submitted to a first revision of the check-list, and manuscript structure according to the Author's Guide. The author will receive an e-mail in case of any necessary change before being submitted to a peer-review by experts of the Editorial Board.

Papers accepted:

- Original
- Case report
- Review
- Brief note
- Clinical Images

Steps of manuscript submission and peer-review process:

- Online manuscript submission after author's log in or register
- First checklist review of Paper structure to initiate peer-review process (if not according to the Author's guide, paper will be returned to the author)
- Editor-in-chief assigns reviewer
- Reviewer classifies the paper as accepted, rejected or author query (structure or contents)
- Author receives reply with revisions needed, and submits Online the new file with all changes highlighted in bold or red letters
- Manuscript accepted: author receives a reply
- Manuscript not accepted: author receives a reply

Structure

Title-page

- Titles in English and Portuguese or Spanish
- Authors' full names; Academic Degrees and current positions; affiliations; e-mail
- Corresponding author with email address.
- Declaration of Conflict of Interests, Funding, Ethic Committee approval.

MANUSCRIPT

1. **Titles** in English and Portuguese or Spanish
2. **Abstract and Resumo/Resumen:** not exceeding 200 words (Original: structured abstract. Reviews, Brief notes, and Clinical Images: non-structured abstracts)
3. **Keywords and Palavras-Chave/Palabras-Clave:** at least 3 – 5 keywords
4. **Text:** font Arial or Times New Roman 12, justified, double-spaced
5. **References: Vancouver system. Consecutive order of their citation, Superscript, and Arabic numbered, before punctuation. Example: nonono^{1,11,12}.** Personal communications should only be mentioned in the text, but not in the references. Up to 6 authors, list all authors. More than 6 authors, list 3 authors followed by et al.
 - a. References examples can be found in *International Committee of Medical Journal Editors (ICMJE) Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals - Sample References*: http://www.nlm.nih.gov/bsd/uniform_requirements.html
6. **Tables, algorithms and graphics** can be embedded in the text file (office Word)
7. **Figures:** can be embedded in the text file, with high resolution (JPEG, Tif or PNG).

Letter to the Editor

A letter to **the Editor** submitting your article with:

1. statement that its publication is exclusive in this journal and until it receives a negative opinion, it must inform the editor of its withdrawal;
2. declaration that it automatically transferring copyrights and permissions to the journal publisher and assumes intellectual and legal responsibility for the results and considerations presented.
3. Statement that has not been previously published and is not under evaluation in another journal, all authors agree and, if accepted, it may not be published in the same way in any language without the written consent of JBNC.

Intellectual Responsibility

By submitting the paper to JBNC, in advance the authors agree that copyright and permissions are transferred automatically to the publisher of the periodical, and they assume intellectual and legal responsibility for the results and comments presented.

All paper should have the approval from the Research Ethics Committees of the institution where the research was conducted.

Clinical trials must be enrolled at World Health Organization (WHO) and International Committee of Medical Journal Editors (ICMJE).

Submission Check-list

Before submitting your paper, please check if these items were fulfilled:

1. Title in Portuguese or Spanish (according to the chosen idiom)
 2. Title in English (mandatory)
 3. Title Page:
 - a. Institution in which the study was conducted, city and country
 - b. Authors: full name, affiliation and highest degree. E-mail of all authors are mandatory to article's DOI number.
 - c. Complete data from corresponding author: name and electronic addresses
 - d. Declaration of conflicts of interest; sponsor data. All authors are requested to disclose any actual or potential conflict of interest including any financial, personal or other relationships with other people or organizations
 - e. Approval from the Institutional Ethics Committee (attention: this information should also be on the paper);
 - f. Trials should be approved according to ICMJE clinical *trial* registration policy (attention: this information should also be on the paper);
 - g. Declaration that the work has not been published previously. That it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder.
 4. **Abstract and Resumo/Resumen:** up to 200 words (Original: structured abstract; Review, Brief notes, and Clinical Images – non-structured abstracts)
 5. **Keywords and Palavras-Chave/Palabras-Clave:** at least 3 – 5 key-words
 6. **Text:** font Arial or Times New Roman 12, justified, double-spaced
References: Vancouver system. Consecutive order of their citation, Superscript, and Arabic numbered before punctuation. Example: nonono^{1,11,12}. Personal communications should only be mentioned in the text, but not in the references. Up to 6 authors all authors should be listed. Above 6 authors, list 3 authors followed by et al. **Use <http://dx.doi.org/10.1007/s11904-013-0170-z> when available.**
- References examples can be found in *International Committee of Medical Journal Editors (ICMJE) Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals - Sample References*:
- http://www.nlm.nih.gov/bsd/uniform_requirements.html
7. **Letter to the Editor:** letter containing,
 - a. Declaration that until receiving a formal negative letter, the authors are obliged to withdraw the submission to send it to another Journal. Otherwise, the editorial process will continue and this Journal shall not be responsible by plagiarism detection cases.

- b. Declaration that the authors transfer copyright and permissions to this Journal, and respond for intellectual and legal responsibilities for the results and considerations presented in the paper.
 - c. Declaration that the work described has not been published previously. That it is not under consideration for publication elsewhere, that its publication is approved by all authors and if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder.
 8. **Figures:** Figures are automatically printed in black and white. The authors should manifest their intention to publish color figures (wire transfer details will be sent in private e-mail). Files must be jpeg with high resolution (300 dpi).
- Attention:** Manuscripts submitted in disagreement to the above check-list will be returned to the author before peer-review. Use the same manuscript ID to submit the new file.
- E-mails from all authors are mandatory to register article's DOI number.**

Contents / Índice

Review

Estimulação do Núcleo Anterior do Tálamo em Epilepsias Refratárias: metanálise281

Stimulation of the Anterior Nucleus of the Thalamus in Refractory Epilepsies: meta-analysis

Gabriela de Paiva Ascani, Gabriel Lima de Carvalho, Marina Machado de Almeida, Pedro Henrique Simm Pires de Aguiar, Katie Senger, Paulo Henrique Pires de Aguiar

Early Deep Brain Stimulation of Globus Pallidus Internus in Primary Meige's Syndrome: a successful case report and literature review289

Estimulação Cerebral Profunda Precoce do Globo Pálido Interno na Síndrome de Meige Primária: relato de caso bem sucedido e revisão da literatura

Fernanda Lopes Rocha Cobucci, Melissa Esposito Gomes Rigueiral, Rafael Caiado Vencio, Daniela Rumi Fujita, Giovana Cassia de Almeida Motta, Isabela Caiado Vencio, Raphael Vinicius Gonzaga Vieira, Cesar Cozar Pacheco, Cassiano Marchi, Nilton Alves Lara Júnior, Paulo Roberto Franceschini, Paulo Henrique Pires de Aguiar

Amyotrophic Lateral Sclerosis and Cervical Myelopathy Overlap: a concise review for the spine surgeon305

Sobreposição de Esclerose Lateral Amiotrófica e Mielopatia Cervical: uma revisão concisa para o cirurgião de coluna

Clara Sasse Scherer, Emily Stephani Keil, Marco Antônio Machado Schlindwein, Carlos Fernando Pereira da Silva Herrero, Marcus Vinicius Magno Gonçalves

Fratura do seio frontal. Conduas e desafios: uma revisão de literatura312

Frontal sinus fracture. Conducts and challenges: a literature review

Lauro Roberto de Azevedo Setton, Antonio Roberto Ferreira Setton, Yuri Mark dos Santos Ribeiro, Mathias Luca Melo Alves, Carlos Umberto Pereira

Sexual Dysfunction After Traumatic Brain Injury: an integrative review323

Disfunção Sexual Após Traumatismo Cranioencefálico: uma revisão integrativa

Márcio Fernando da Silva, Louise Makarem Oliveira, Mylena Miki Lopes Ideta, Mylla Christie de Oliveira Paschoalino, Daniel Buzaglo Gonçalves, Renato Anghinah, Wellingson Silva Paiva, Robson Luis Oliveira de Amorim

Spontaneous Spinal Epidural Hematoma: a descriptive systematic review337

Hematoma Epidural Espinhal Espontâneo: uma revisão sistemática descritiva

João Marcos Alcântara de Souza, Sávio Batista, Stefan Koester, Ghaspar Francisco, Mateus Barbosa, Rafaela de Souza, Roberth Fernandes, Raphael Bertani, Caio Moreno Perret, Othavio Lopes, Gustavo Pina dos Santos, Dan Zimelewicz Oberman

Case Report

Neoadjuvant Chemotherapy to Reduce Morbidity and Improve Surgical Resection in a Skull Base Ewing's Sarcoma: case report342

Quimioterapia Neoadjuvante para Redução de Morbidade e Melhora de Ressecção Cirúrgica em Sarcoma de Ewing de Base de Crânio. Relato de caso

Gustavo Simiano Jung, Felipe Constanzo, Giulia Xavier Bornancin, Gustavo Fabiano Nogueira, Ricardo Ramina

DBS Treatment in a 18-Year-Old Patient with Refractory Dystonia Due to DYT1 Mutation: a case report349

DBS em um Jovem de 18 anos com Distonia Refratária por Mutação no Gene DYT1: relato de caso

Camilla Caetano Alves da Motta, Bruna Marques Lopes, Daniela Rumi Fujita, Pedro Henrique Simm Pires de Aguiar, Nilton Alves Lara Junior, Paulo Henrique Pires de Aguiar

Perimesencephalic Cerebral Arteriovenous Malformation (AVM): case report354

Malformação Arteriovenosa Cerebral Perimesencefálica (MAV): relato de caso

Gabriel Iam Simioni Mibach, Ivana da Rosa Iesbik, Chelin Auswaldt Steclan, André Possamai Della, Júlio Cesar de Aguiar Junior, Diogo Pasquali Nones, Oscar Nelson Reimann Junior, Stefan Gerlach Braz Moreira, Gabriel Hoher Peres, Arlindo Américo de Oliveira, Amauri Batista de Oliveira Júnior, Leonard Rocha Fonseca de Brito, Filipe Laurindo Cabral, Stephanie Lindner, Michael Ricardo Lang

Central Nervous System Involvements of the Lemierre Syndrome: case report and mini-review of the literature360

Envolvimentos do Sistema Nervoso Central na Síndrome de Lemierre: relato de caso e mini-revisão da literatura

Feyzi Birol Sarica, Kemal Kapanoglu, Iskender Samet Daltaban, Ilknur Senel, Ilyas Tadayyon Einaddin Karakoc

Fístula Liquórica Rinogênica por Iatrogenia Pós-Teste de Swab para Covid-19: revisão e relato de caso369

Iatrogenic Liquor Fistula After Swab Test for Covid-19: review and case report

Milena de Souza Melo, Felipe Marchi, Danilo Magnani Bernardi, Sérgio Aydar Quadrado

Chronic Encapsulated Intracerebral Hematoma374

Hematoma Intracerebral Crônico Encapsulado

Moysés Isaac Cohen, Robson Luís Oliveira de Amorim, Wander da Silva Ferreira, Alcimar Lavareda da Silva Santos Júnior, Stephanie Ramos de Farias, Joaquim Kanawatti Neto, Roberto Andrade Lima, Paloam Cardoso Novo

Astrocitoma Difuso de Mesencéfalo e Diencefalo: um relato de caso de localização rara381

Diffuse Astrocytoma in Mesencephalon and Diencephalon: a case report of rare localization

Luís Irajá Nogueira de Sá Neto, Letícia Gusso Scremin, Silvia Cristiane Gusso Scremin, Augusto Amato Neto, Amylcar Edemilson Dvilevicius

Ondine's Syndrome as a Postoperative Complication of High Cervical Cordotomy: a case series review389***Síndrome de Ondine Como Complicação Pós-operatória da Cordotomia Cervical Alta: uma revisão de série de casos***

Arthur Oliveira Lira, Marcelo Diniz de Menezes, Matheus Oliveira Lira, Joaquim Fachine de Alencar Neto, Luiz Bandeira Alves Neto, Melissa Helena Rodrigues Silva, Nilson Batista Lemos, Maria Luísa Rocha, Otávio da Cunha Ferreira Neto, Luís Felipe Ferreira Marques, Victor Egypto Pereira, Anderson Albert Primo Lopes, Luiz Severo Bem Junior, Hildo Rocha Cirne de Azevedo Filho

Chronic Subdural Hematoma Following Spinal Anesthesia: case report394***Hematoma Subdural Crônico Após Raquianestesia: relato de caso***

Leonardo Galli Hamamoto, Flavia De Souza, Osmi Hamamoto, Ruy Yoshiaki Okaji, José Luis Simões Junior

PDT





Responsável Técnico
Dr. André Ciacomelli Leal
CRM-PR 21874

O Instituto de Neurologia de Curitiba foi pioneiro nas Américas ao utilizar a Terapia Fotodinâmica Intraoperatória (PDT) – LASER que destrói células tumorais cerebrais.


INC
HIGH·TECH

Hospital INC:
pioneiro em
novas tecnologias



hospitalinc.com.br    

Sede Hospital INC | Rua Jeremias Maciel Perretto, 300 | Campo Comprido
Curitiba/PR | CEP 81210-310 | Fone 41 3028.8545
Filiais Sta. Felicidade, Pátio Batel, Eurobusiness & Jockey Plaza



Estimulação do Núcleo Anterior do Tálamo em Epilepsias Refratárias: metanálise

Stimulation of the Anterior Nucleus of the Thalamus in Refractory Epilepsies: meta-analysis

Gabriela de Paiva Ascani¹

Gabriel Lima de Carvalho²

Marina Machado de Almeida¹

Pedro Henrique Simm Pires de Aguiar²

Katie Senger¹

Paulo Henrique Pires de Aguiar^{3,4}

RESUMO

Introdução: A epilepsia é um distúrbio neurológico crônico comum. Na maioria dos pacientes, as medicações antiepilépticas auxiliam no controle das convulsões, mas em aproximadamente 20 a 30% dos casos a doença é refratária ao uso de medicação. Nesses casos existem algumas modalidades terapêuticas, como o estímulo cerebral profundo, que tem como alvo o núcleo anterior do tálamo (NAT). **Objetivos:** Comparação de artigos sobre estimulação cerebral profunda em relação às complicações e resultados nas epilepsias refratárias a medicações. **Métodos:** Foram coletados 12 artigos publicados entre 2004 e 2019, nas bases de dados LILACS, PubMed e Scielo, sobre a estimulação cerebral profunda em epilepsia e comparados os seus resultados quanto ao sucesso e complicações da terapia utilizada. **Resultados:** A partir da metanálise dos dados analisados, foi observado que, em média, para um N=24,8, em um tempo de seguimento de 10,9 a 45,41 meses, com os parâmetros de estimulação de 122-145 Hz, 3,75-5,69 V, e 87,5-100 μ s, houve uma redução da frequência mensal média de crises de 55,1%. **Conclusão:** Estimulação cerebral profunda do núcleo anterior do tálamo (ANT-DBS) para epilepsia refratária a medicamentos é uma terapia segura. Apesar disso, estudos clínicos em busca do alvo ideal, em populações bem definidas, ainda são importantes.

Palavras-Chave: Estimulação cerebral profunda; Núcleo anterior do tálamo; Cirurgia DBS; Epilepsia refratária

ABSTRACT

Background: Epilepsy is a common chronic neurological disorder. In most patients, antiepileptic medications help to control seizures, but in approximately 20% to 30% of cases, the disease is refractory to the use of medication. In these cases, there are some therapeutic modalities for refractory epilepsy, such as deep brain stimulation, which can target the anterior nucleus of the thalamus (ANT). **Objectives:** Comparison of articles on deep brain stimulation in relation to complications and outcomes in drug-resistant epilepsy. **Methods:** Twelve articles published between 2004 and 2019 in the LILACS, PubMed and Scielo databases were collected on deep brain stimulation in epilepsy and their results were compared regarding the success and complications of the therapy used. **Results:** From the meta-analysis of the data, it was observed that, on average, for an N = 24.8, in a follow-up time of 10.9 to 45.41 months, with the stimulation parameters of 122-145 Hz, 3.75-5.69 V and 87.5-100 μ s, there was an average reduction of 55.1% in the monthly seizure frequency. **Conclusions:** Deep brain stimulation of the anterior thalamus nucleus (ANT-DBS) for drug-refractory epilepsy is a well-tolerated therapy. However, clinical studies in search of the ideal target, in well-defined populations, are still important.

Keywords: Deep brain stimulation; Anterior nucleus of thalamus; DBS surgery; Refractory epilepsy

¹ Medical student, ABC Medicine School – FMABC, Santo André (SP), Brazil.

² Medical student, School of Medicine of Pontifical Catholic University of São Paulo – PUC-SP, Sorocaba (SP), Brazil.

³ MD, PhD, Department of Neurology, Pontifical Catholic University of São Paulo – PUC-SP, Sorocaba (SP), Brazil.

⁴ MD, PhD, Department of Neurosurgery, Santa Paula Hospital, São Paulo (SP), Brazil.

Received Apr 12, 2022

Corrected: Aug 3, 2022

Accepted: Aug 3, 2022

INTRODUÇÃO

A epilepsia é um distúrbio neurológico crônico comum que afeta em torno de 70 milhões de pessoas em todo o mundo¹⁻³. Na maioria dos pacientes, as medicações antiepilépticas auxiliam no controle das convulsões, mas em aproximadamente 20 a 30% dos casos a doença é refratária ao uso de medicação, o que é definido como sendo uma falha em alcançar um status sustentado de doença livre de convulsões, apesar do uso de dois medicamentos antiepilépticos escolhidos de forma apropriada^{1,3-6}. A patogênese da epilepsia refratária ainda não consegue ser completamente explicada, mas sabe-se que pode estar associada tanto a mecanismos biológicos quanto a fatores ambientais³. As convulsões não controladas estão associadas a significativas taxas de morbidade e mortalidade, além de um impacto profundo na qualidade de vida de seus pacientes, uma vez que interfere diretamente em seu desempenho social e até financeiro¹.

Quando um foco de convulsão é identificado, a sua ressecção cirúrgica pode controlar as convulsões em aproximadamente dois terços dos pacientes, principalmente quando se identifica a patologia estrutural. Infelizmente, muitos pacientes não são candidatos à ressecção cirúrgica, devido à falta de um foco específico de convulsão, ou porque os focos de convulsão envolvem o córtex eloquente, ou devido à comorbidades que os tornam medicamente inaptos^{2,7,8}. Para esses pacientes, algumas terapias alternativas como a dieta cetogênica, o estímulo do nervo vago, o estímulo cerebral profundo em circuito aberto e o estímulo cerebral profundo em circuito fechado têm sido realizadas em uma variedade de alvos cerebrais, incluindo os núcleos anterior e centro medial do tálamo, o núcleo subtalâmico, o hipocampo e o cerebelo^{7,9}.

O núcleo anterior do tálamo é o alvo mais estudado e validado do estímulo cerebral profundo em epilepsias não tratáveis, especialmente desde a publicação do estudo SANTE¹. Como resultado desse estudo, em abril de 2018, o FDA pré-aprovou a realização da terapia de estímulo cerebral profundo como um tratamento adjuvante para a redução da frequência de crises epiléticas parciais, em indivíduos com 18 anos ou mais, que são refratários a três ou mais medicações antiepilépticas.

Apesar do sucesso dos múltiplos estudos da estimulação do núcleo anterior do tálamo, principalmente quanto ao estudo

SANTE, o exato mecanismo pelo qual ocorre a redução da atividade convulsiva, relacionada à estimulação do ANT, continua não sendo completamente compreendido. Em 1985, Cooper e Upton levantaram a hipótese de que a estimulação do núcleo anterior do tálamo deveria produzir uma supressão da descarga neural anormal no sistema límbico¹⁰. Finalmente, baseado na observação de que alguns pacientes apresentavam melhora após a implantação, mas antes do início da estimulação propriamente dita, alguns autores sugeriram a possibilidade de um efeito microtalamotômico benéfico^{5,8,9}.

Assim, o objetivo do presente estudo foi de comparar os artigos sobre a estimulação cerebral profunda em relação a complicações e resultados nas epilepsias refratárias a medicação.

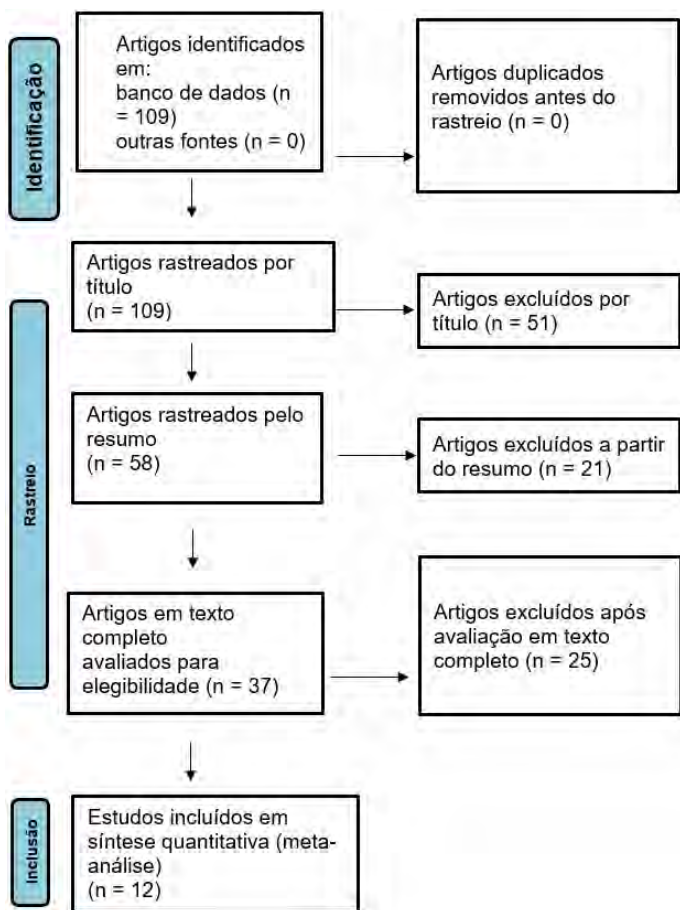
MÉTODOS

Foi feita uma revisão sistemática com meta-análise baseada na metodologia PRISMA (Preferred Reporting Project for Systematic Evaluation and Meta-Analysis) (Fluxograma 1). Foram coletados 12 artigos publicados entre 2004 e 2019 nas bases de dados LILACS, PubMed e Scielo, originalmente na língua inglesa, sobre a estimulação cerebral profunda em epilepsia e comparados os seus resultados quanto ao sucesso e complicações da terapia utilizada. Para isso foram utilizadas as seguintes palavras-chave individualmente ou combinadas: *deep brain stimulation, anterior nucleus of thalamus, DBS surgery, refractory epilepsy*.

Foram incluídos estudos prospectivos, retrospectivos e revisões de literatura realizados entre os anos de 2004 e 2019. Foram excluídos artigos de baixo impacto (Tabela 1).

RESULTADOS

Na Tabela 2 revisamos os principais artigos publicados na chamada “Era pré-SANTE” a partir do ano de 2004. Apesar das diferenças relativas aos parâmetros utilizados para a estimulação do NAT, assim como ao tempo de seguimento, todos os estudos



Fluxograma 1. PRISMA 2020 diagrama de fluxo para novas revisões sistemáticas que incluíram apenas buscas em bancos de dados e registros.

demonstraram melhora significativa da frequência mensal de crises epiléticas nos participantes analisados^{5,9,11-13}.

Já na Tabela 3, ao analisar o estudo SANTE, além dos artigos da era pós-SANTE, quanto ao número de pacientes, técnica de estimulação e tempo de seguimento, também foi observada, em todos os estudos, a redução média da frequência de de crises^{1,2,4,6-8,14}.

A partir da metanálise dos dados analisados, mostrada na Tabela 4, observou-se que em média, para um N = 24,8, em um tempo de seguimento de 10,9 a 45,41 meses, com os parâmetros de estimulação de 122-145 Hz, 3,75-5,69 V e 87,5-100 µs, houve uma redução de 55,1% da frequência média mensal de crises.

DISCUSSÃO

Apesar de os estudos abordados na Tabela 1 serem posteriores a 2004, devemos reforçar que já em 1985 os autores Cooper e Upton haviam criado a hipótese de que a estimulação do núcleo anterior do tálamo deveria produzir uma supressão da descarga neural anormal junto ao sistema límbico¹⁰. Em 2002, Hodaie et al. demonstraram que 5 participantes com epilepsia refratária, submetidos a implantes bilaterais de eletrodos de DBS

Tabela 1. Critérios de inclusão e exclusão, com base no método PICOS (PICOS: *population, intervention, comparison, outcome and study design*).

	Critérios de inclusão	Critérios de exclusão
<i>Population</i> (População)	Pacientes com epilepsia refratária, de qualquer sexo ou idade	Pacientes sem epilepsia refratária
<i>Intervention</i> (Intervenção)	Estimulação cerebral profunda no núcleo anterior do tálamo	Pacientes que não foram submetidos à DBS do núcleo anterior do tálamo
<i>Comparison</i> (Comparação)	Controle das crises epiléticas com e sem a estimulação efeitos adversos provenientes da terapia de estimulação	
<i>Outcomes</i> (Desfecho)	Frequência das crises epiléticas antes e após a terapia de estimular efeitos adversos provenientes da terapia de estimulação	
<i>Study design</i> (Desenho do estudo)	Estudos observacionais prospectivos e retrospectivos; revisões de literatura; revisões sistemáticas; série de casos; ensaios clínicos	Relato de caso e estudos transversais; estudos com animais; estudos não escritos na língua inglesa

Tabela 2. Artigos sobre estimulação do núcleo anterior do tálamo em epilepsias refratárias na era pré-SANTE.

Artigo	Autor, ano	N	Técnica de estimulação	Tempo de Seguimento (meses)	Redução média da frequência de crises (%)
Electrical stimulation of the anterior nucleus of the thalamus for the treatment of intractable epilepsy ¹¹	Kerrigan et al. (2004)	5	100 Hz 1 - 10 V 90 µs 1 min on / 10 min off	jun/36	48% (57-98%)
Chronic deep brain stimulation of subthalamic and anterior thalamic nuclei for controlling refractory partial epilepsy ¹²	Lee et al. (2006)	3	130 Hz 1,5 - 7V 90 µs 1 min on / 5 min off alternando esq/dir	fev/30	75,4% (50-90,6%)
Long-term follow-up patients with thalamic deep brain stimulation for epilepsy ⁹	Andrade et al. (2006)	6*	100 Hz 10 V 90 µs 1 min on - 5 min off alternando esq/dir	mar/60	63,7% (-2%-95,5%)**
High frequency thalamic stimulation for inoperable mesial temporal epilepsy ¹³	Osorio et al. (2007)	4	175 Hz 4,1 V 90µs 1 min on - 5 min off (média)	jun/36	75,6% (53-92%)
Electrical stimulation of the anterior nucleus of the thalamus for intractable epilepsy: a long-term follow-up study ⁵	Lim et al. (2007)	4	90 - 110 Hz 4 - 5 V 60 - 90µS contínuo	33 - 48	49% (35-76%)

*O número leva em conta apenas os pacientes cujos eletrodos elétricos de estimulação foram implantados no núcleo anterior do tálamo. ** Valores obtidos a partir do último ano de seguimento em relação a cada participante (4 anos para dois pacientes, 5 anos para 3 pacientes e 7 anos para um paciente).

no núcleo anterior do tálamo, tiveram diminuição estatisticamente significativa na frequência de crises (redução total média de 54% em um acompanhamento de 15 meses)¹⁵.

Andrade et al. deram seguimento à análise de um ano feita por Hodaie et al. em cinco pacientes que tinham sido submetidos previamente à cirurgia de estimulação cerebral profunda, adicionando três novos participantes (um deles com implante de eletrodos do NAT, e os outros dois, com implantes do núcleo centro medial). No presente estudo, incluímos apenas os dados relativos aos participantes que receberam implantes no NAT. O único efeito adverso relatado foi o de letargia em um paciente,

durante o período de quatro dias de estimulação contínua. Qualquer ganho adicional no controle das crises após o início do período de estimulação foi mínimo, levantando a possibilidade de que a resposta benéfica no controle das convulsões poderia estar primariamente relacionada à microtalamotomia causada pela inserção do eletrodo⁹. É possível, então, que a combinação entre a microtalamotomia e a estimulação crônica esteja implicada na resposta benéfica na frequência das crises.

Em 2007, Osório et al.¹³ introduziram implantes no núcleo anterior do tálamo de quatro participantes voluntários, sendo que o estímulo elétrico teve início após 6 semanas do procedimento. Todos os

Tabela 3. Artigos sobre a estimulação do núcleo anterior do tálamo em epilepsias refratárias a medicação- estudo SANTE e era pós-SANTE

Artigo	Autores, Ano	N	Técnica de estimulação	Tempo de seguimento (meses)	Redução média da frequência de crises - último seguimento %
Electrical stimulation of the anterior nucleus of thalamus for treatment of refractory epilepsy ¹	Fisher et al., 2010	110 (54/55)	145 Hz; 5 V; 90 µs; 1 min on - 5 min off / sem estímulo	3-13	41%
Long-term outcome of anterior thalamic nucleus stimulation for intractable epilepsy ⁷	Lee et al. (2012)	15	100-185 Hz; 1,5-3,1 V; 90-150 µs; contínuo	24-67	70,51% (0-100%)
Cognitive improvement after long-term electrical stimulation of bilateral anterior thalamic nucleus in refractory epilepsy patients ⁴	Oh et al. (2012)	9	100-185 Hz; 1,5-3,1 V; 90-150 µs; contínuo	22-60	57,9% (35,6-90,4%)
Anterior thalamic nucleus deep brain stimulation (DBS) for drug-resistant complex partial seizures (CPS) with or without generalization: long-term evaluation and predictive outcome ²	Piacentino et al. (2015)	6	140 Hz; 4 V; 90µs; contínuo	3 - 36	≥ 50%
Long-term efficacy and safety of thalamic stimulation for drug-resistant partial epilepsy ⁶	Salanova et al. (2015)	105*	145 Hz; 5 V; 90 µs; 1 min on - 5 min off / sem estímulo	13 - 48	69%
Anterior nucleus deep brain stimulation for refractory epilepsy: insights into patterns of seizure control and efficacious target ⁸	Krishna et al. (2015)	16	100 - 185Hz; 2,4 - 7 V; 90 µs; 1 min on - 5 min off	13 - 48	11,5% (-400-99%) **
Outcome based definition of the anterior thalamic deep brain stimulation target in refractory epilepsy ¹⁴	Lehtimäki et al. (2016)	15	140 Hz; 5 V; 90 µs; 1 min on - 5 min off	3 - 60	50% (para 60% dos participantes)

*Durante o seguimento, 30 dos 105 participantes deixaram de ser acompanhados (incluindo 5 mortes, não relacionadas à implantação dos eletrodos e ou à estimulação elétrica). **Redução média na frequência de crises para todo o coorte (n=16).

Tabela 4. Metanálise de dados coletados (Média aritmética dos valores coletados nos 12 artigos)

N	Parâmetros	Tempo de seguimento (meses)	Redução média da frequência de crises
24,8	122 - 145 Hz 3,75 - 5,69 V 87,5 - 100 µs	10,9 - 45,41	55,1%

indivíduos completaram os estudos e não tiveram efeitos adversos graves, sendo que a redução média na frequência das crises foi de 75,6%, mostrando uma melhora importante da qualidade de vida.

Também em 2007, Lim et al.⁵ propuseram um estudo a partir da implantação de um eletrodo estimulatório quadripolar bilateralmente no NAT de quatro pacientes. A localização do eletrodo foi confirmada, em todos os casos, por meio de exame

de RM pós-operatória e o estímulo elétrico foi ativado em 2 a 4 semanas após o procedimento de implantação, seguindo os parâmetros listados na Tabela 1. Os quatro pacientes (um homem com convulsões generalizadas, e três mulheres com convulsões parciais e generalização secundária) foram acompanhados por um período médio de seguimento de 43,8 meses e apresentaram 49% de redução na frequência mensal média de crises convulsivas. A simples inserção dos eletrodos de DBS produziu uma redução média mensal de 67% na frequência das crises. Um paciente permaneceu livre de convulsões por 15 meses, em uso de medicações anticonvulsivantes. Um paciente teve uma pequena hemorragia frontal e, um segundo paciente apresentou erosão expansiva ao longo do couro cabeludo.

Os vários resultados favoráveis publicados nos artigos da Tabela 1 encorajaram o planejamento do SANTE, um estudo controlado, duplo-cego randomizado, envolvendo 110 participantes com epilepsia refratária que se submetem a implantes cerebrais profundos para a estimulação elétrica do NAT¹.

Um mês após o implante bilateral no NAT os pacientes foram randomizados para receber um regime de estimulação (n=54) ou de não estimulação (n=55). Os parâmetros utilizados para o grupo sob estimulação elétrica estão descritos na Tabela 2. Depois de um período de 3 meses de fase cega, todos os participantes passaram a receber estimulação (todos sob os mesmos parâmetros). A partir do 13º mês todos os participantes entraram na fase de seguimento, na qual os medicamentos antiepilépticos poderiam variar livremente, assim como os parâmetros de estimulação. No último mês da fase cega o grupo estimulado teve uma redução de 29% maior na frequência de crises, quando comparado com o grupo controle. Crises complexas parciais e convulsões “mais severas” foram significativamente reduzidas pela estimulação. Em 2 anos, houve redução percentual média de 56% na frequência de crises; 54% dos pacientes tiveram redução de convulsões de, pelo menos 50%, e 14 pacientes permaneceram livres de crises por pelo menos, 6 meses. Ocorreram 5 mortes, mas nenhuma devido à implantação ou estimulação. Nenhum participante apresentou hemorragia sintomática ou infecção cerebral. Dois participantes apresentaram crises convulsivas agudas e transientes associadas à estimulação. Não foram observadas diferenças de humor ou de cognição entre os dois grupos, mas os pacientes do grupo estimulado estavam mais susceptíveis a reportarem depressão ou problemas de memória como efeitos adversos¹.

A Tabela 2 mostra também um estudo relativo ao seguimento de longo prazo (5 anos) de alguns participantes (n=105 no início, sendo que 30 tiveram o acompanhamento descontinuado) do estudo SANTE. Nesse seguimento posterior, de Salanova et al., foi observado que a redução média na frequência mensal de crises epiléticas após 5 anos, quando comparada à frequência de base (pré-implantação) foi de 69%. A taxa de “respondedores” (pacientes que tiveram 50% ou mais de redução na frequência das crises) foi de 68% e 16% dos participantes tiveram um período livre de crises por, pelo menos 6 meses durante os 5 anos de seguimento. Não houve relatos de efeitos adversos relacionados ao implante do eletrodo ou hemorragias intracranianas⁶.

Em 2012, Lee et al.⁷ demonstraram que a frequência mensal de crises após 3 meses da cirurgia de DBS, assim como no período de seguimento final reduziu significativamente (p= 0,002 e p= 0,001, respectivamente). Não houve grandes complicações, como hemorragias intracranianas associadas ao procedimento.

Krishna et al.⁸ descreveram três padrões de controle das crises, em relação aos pacientes que apresentaram resposta ao tratamento: o primeiro foi o de redução sustentada (> 50%) da frequência das crises sem que houvesse o início da estimulação (efeito de inserção prolongado); o segundo foi em relação ao efeito da estimulação imediata: um aumento na redução da frequência das crises imediatamente associado ao início da estimulação e, por fim, a estimulação de efeito retardado: uma diminuição na frequência média de crises com estimulação contínua, após falha inicial na redução das crises. Pode-se observar um efeito de inserção em 56% dos pacientes. Curiosamente, em uma série de casos relatando o resultado das crises após o fim da bateria, um paciente com ANT-DBS e 3 anos de estimulação contínua não demonstrou uma alteração na frequência das crises mesmo após 6 meses do ocorrido, o que denota um efeito de inserção prolongado, modulação definitiva da rede epilética ou ainda, o reflexo do curso natural da epilepsia. Lee et al.⁷ observaram apenas um efeito de estimulação prolongado, pois o desenho do estudo exclui a possibilidade de um efeito de inserção prolongado, no qual os resultados a curto prazo estão notavelmente associados ao controle das crises a longo prazo. Em relação ao funcionamento cognitivo a longo prazo, Oh et al. relataram leve melhora nas tarefas de fluência e atraso na memória verbal⁴.

A revisão da literatura de Li e Cook traz alguns pontos chave sobre o ANT-DBS como, por exemplo, que esse processo, assim como a estimulação do hipocampo, reduziu de 46% a 90% a taxa

de convulsões e de 48 a 95% entre metade de todos os pacientes estudados, sendo que a eficácia da estimulação profunda de outros sítios ainda permanece inconclusiva. Foi observado também que, em mais de 70% dos pacientes que receberam o ANT-DBS ou a estimulação do hipocampo, entre os estudos existentes, são respondedores. Por fim, notou-se que os efeitos colaterais e complicações do DBS para epilepsia resistente à medicação são similares aos observados na terapia com DBS para outras indicações.

CONCLUSÃO

A estimulação cerebral profunda do núcleo anterior do tálamo (ANT-DBS) para epilepsia refratária a medicamentos é uma terapia segura e bem tolerada, em que deve-se enfatizar o monitoramento da depressão e da função de memória. Além disso, o ANT-DBS é uma modalidade de tratamento eficaz, mesmo quando os procedimentos curativos ou técnicas neuromodulativas menos invasivas falham. Quando comparado a outras terapias como a estimulação do nervo vago (VNS), o ANT-DBS mostra uma resposta ao tratamento ligeiramente superior, o que exige testes comparativos diretos. Com base nas evidências disponíveis, as terapias com ANT-DBS e VNS são atualmente superiores em comparação às técnicas de neuromodulação não invasiva, como estimulação do nervo vago transcutânea (t-VNS) e estimulação magnética transcraniana repetitiva (rTMS).

Apesar de sua eficácia clínica, o ANT-DBS para epilepsia resistente a medicamentos ainda enfrenta grandes desafios. A otimização do protocolo DBS procedural, incluindo técnicas de imagem, procedimento cirúrgico e algoritmos para adaptação dos parâmetros de estimulação, poderia ajudar a reduzir a variabilidade da resposta ao tratamento. Pesquisas adicionais deverão fornecer uma melhor compreensão das redes neuronais fisiológicas normais em comparação com as redes epileptogênicas, a fim de obter mais informações sobre o mecanismo de ação ANT-DBS na epilepsia relacionada à localização. Idealmente, um conhecimento mais aprofundado das redes epileptogênicas pode explicar a resposta diferencial de DBS de diferentes alvos anatômicos em diferentes tipos de crises. Estudos clínicos randomizados em busca do alvo ideal em populações bem definidas de pacientes com epilepsia, possivelmente poderão permitir a estratificação ideal ao aplicar

a terapia de neuromodulação intracraniana naqueles em que existe resistência à terapia medicamentosa.

REFERÊNCIAS

1. Fisher R, Salanova V, Witt T, et al. Electrical stimulation of the anterior nucleus of thalamus for treatment of refractory epilepsy. *Epilepsia*. 2010;51(5):899-908. <http://dx.doi.org/10.1111/j.1528-1167.2010.02536.x>. PMID:20331461.
2. Piacentino M, Durisotti C, Garofalo PG, et al. Anterior thalamic nucleus deep brain Stimulation (DBS) for drug-resistant complex partial seizures (CPS) with or without generalization: long-term evaluation and predictive outcome. *Acta Neurochir (Wien)*. 2015;157(9):1525-32, discussion 1532. <http://dx.doi.org/10.1007/s00701-015-2498-1>. PMID:26153778.
3. Bouwens van der Vlis TAM, Schijns OEMG, Schaper FLWVJ, et al. Deep brain stimulation of the anterior nucleus of the thalamus for drug-resistant epilepsy. *Neurosurg Rev*. 2019;42(2):287-96. <http://dx.doi.org/10.1007/s10143-017-0941-x>. PMID:29306976.
4. Oh YS, Kim HJ, Lee KJ, Kim YI, Lim SC, Shon YM. Cognitive improvement after long-term electrical stimulation of bilateral anterior thalamic nucleus in refractory epilepsy patients. *Seizure*. 2012;21(3):183-7. <http://dx.doi.org/10.1016/j.seizure.2011.12.003>. PMID:22209542.
5. Lim SN, Lee ST, Tsai YT, et al. Electrical stimulation of the anterior nucleus of the thalamus for intractable epilepsy: a long-term follow-up study. *Epilepsia*. 2007;48(2):342-7. <http://dx.doi.org/10.1111/j.1528-1167.2006.00898.x>. PMID:17295629.
6. Salanova V, Witt T, Worth R, et al. Long-term efficacy and safety of thalamic stimulation for drug-resistant partial epilepsy. *Neurology*. 2015;84(10):1017-25. <http://dx.doi.org/10.1212/WNL.0000000000001334>. PMID:25663221.
7. Lee KJ, Shon YM, Cho CB. Long-term outcome of anterior thalamic nucleus stimulation for intractable epilepsy. *Stereotact Funct Neurosurg*. 2012;90(6):379-85. <http://dx.doi.org/10.1159/000339991>. PMID:22922474.
8. Krishna V, King NK, Sammartino F, et al. Anterior nucleus deep brain stimulation for refractory epilepsy: insights into patterns of seizure control and efficacious target. *Neurosurgery*. 2016;78(6):802-11. <http://dx.doi.org/10.1227/NEU.0000000000001197>. PMID:26813858.
9. Andrade DM, Zumsteg D, Hamani C, et al. Long-term follow-up of patients with thalamic deep brain stimulation for epilepsy. *Neurology*. 2006;66(10):1571-3. <http://dx.doi.org/10.1212/01.wnl.0000206364.19772.39>. PMID:16540602.
10. Upton AR, Cooper IS, Springman M, et al. Suppression of seizures and psychosis of limbic system origin by chronic stimulation of anterior

- nucleus of the thalamus. *Int J Neurol*. 1985;19-20:223-30. PMID: 2980675.
11. Kerrigan JF, Litt B, Fisher RS, et al. Electrical stimulation of the anterior nucleus of the thalamus for the treatment of intractable epilepsy. *Epilepsia*. 2004;45(4):346-54. <http://dx.doi.org/10.1111/j.0013-9580.2004.01304.x>. PMID:15030497.
12. Lee KJ, Jang KS, Shon YM. Chronic deep brain stimulation of subthalamic and anterior thalamic nuclei for controlling refractory partial epilepsy. *Acta Neurochir Suppl*. 2006;99:87-91. http://dx.doi.org/10.1007/978-3-211-35205-2_17. PMID:17370771.
13. Osorio I, Overman J, Giftakis J, Wilkinson SB. High frequency thalamic stimulation for inoperable mesial temporal epilepsy. *Epilepsia*. 2007;48(8):1561-71. <http://dx.doi.org/10.1111/j.1528-1167.2007.01044.x>. PMID:17386053.
14. Lehtimäki K, Möttönen T, Järventausta K, et al. Outcome based definition of the anterior thalamic deep brain stimulation target in refractory epilepsy. *Brain Stimul*. 2016;9(2):268-75. <http://dx.doi.org/10.1016/j.brs.2015.09.014>. PMID:26680105.
15. Hodaie M, Wennberg RA, Dostrovsky JO, Lozano AM. Chronic anterior thalamus stimulation for intractable epilepsy. *Epilepsia*. 2002;43(6):603-8. <http://dx.doi.org/10.1046/j.1528-1157.2002.26001.x>. PMID:12060019.
16. Li MCH, Cook MJ. Deep brain stimulation for drug-resistant epilepsy. *Epilepsia*. 2018;59(2):273-90. <http://dx.doi.org/10.1111/epi.13964>. PMID:29218702.

CORRESPONDING AUTHOR

Gabriela de Paiva Ascani

Medical student

ABC Medicine School – FMABC

Santo André, São Paulo, Brazil

E-mail: gabiascani@gmail.com

Funding: PIBIC-CNPq.

Conflicts of interest: nothing to declare.

Early Deep Brain Stimulation of Globus Pallidus Internus in Primary Meige's Syndrome: a successful case report and literature review

Estimulação Cerebral Profunda Precoce do Globo Pálido Interno na Síndrome de Meige Primária: relato de caso bem sucedido e revisão da literatura

Fernanda Lopes Rocha Cobucci¹
Melissa Esposito Gomes Rigueiral¹
Rafael Caiado Vencio²
Daniela Rumi Fujita³
Giovana Cassia de Almeida Motta³
Isabela Caiado Vencio⁴
Raphael Vinicius Gonzaga Vieira²
Cesar Cozar Pacheco⁵
Cassiano Marchi⁵
Nilton Alves Lara Júnior⁶
Paulo Roberto Franceschini⁷
Paulo Henrique Pires de Aguiar⁸

ABSTRACT

Background: Meige's Syndrome is cranial dystonia in adults that associates orofacial dystonia with blepharospasm. The whole pathophysiology has not been fully elucidated yet but it is already known that it courses with abnormal excitability of the sensorimotor cortex and brainstem interneuronal pathways associated with environmental factors and genetic predisposition. There are different types of possible therapies that include both pharmacological and invasive procedures as therapeutic proposals. The Deep Brain Stimulation of Globus Pallidus Internus (GPi-DBS) emerged as an established form of invasive neuromodulation for Meige's Syndrome which has been demonstrated to have a remarkable effect on symptoms improvement. **Case presentation:** a case of Meige's Syndrome previously treated with conventional procedures for the condition, such as pharmacological treatment, blepharopexy, botulinum toxin, all without success, was reported. After multiple failures, the patient was submitted to a GPi-DBS, which evolved with an improvement of 80% of the symptoms, improving also the patient's quality of life. Also, a literature review of other cases of GPi-DBS, which almost 100% demonstrated a significant improvement of the condition was performed. **Conclusion:** GPi-DBS has been used as an important tool to treat Meige's Syndrome, showing high efficacy in the symptoms improvement and quality of the patients' life.

Keywords: Deep Brain Stimulation; Meige's Syndrome; Globus pallidus internus; Blepharospasm; Dystonia

RESUMO

Introdução: A Síndrome de Meige é uma distonia craniana que acomete adultos e associa distonia orofacial com blefaroespasma. Toda a fisiopatologia ainda não foi totalmente elucidada, mas já se sabe que cursa com excitabilidade anormal do córtex sensorio-motor e vias interneuronais do tronco encefálico associadas a fatores ambientais e predisposição genética. Existem diferentes tipos de tratamentos possíveis que incluem tanto vias farmacológicas quanto invasivas como propostas terapêuticas. A Estimulação Cerebral Profunda do Globus Pallidus Internus (GPi-DBS) surgiu como uma forma estabelecida de neuromodulação invasiva para a Síndrome de Meige, demonstrando ter um efeito significativo na melhora dos sintomas. **Relato de Caso:** um caso de Síndrome de Meige previamente tratado com procedimentos convencionais para a condição, como tratamento farmacológico, blefaropexia, toxina botulínica, todos sem sucesso. Após múltiplas falhas, o paciente foi submetido a um GPi-DBS, que evoluiu com melhora de 80% dos sintomas, melhorando também sua qualidade de vida. Além disso, também foi realizada uma revisão da literatura de outros casos de GPi-DBS, que, em quase 100% dos casos, foi demonstrada uma melhora significativa do quadro. **Conclusão:** o GPi-DBS tem sido utilizado como uma importante ferramenta no tratamento da Síndrome de Meige, apresentando alta eficácia na melhora dos sintomas e na qualidade de vida dos pacientes.

Palavras-Chave: Estimulação cerebral profunda; Síndrome de Meige; Globo Pálido Interno; Blefaroespasma; Distonia

¹ Medical student, Medical School of ABC, São Paulo, SP, Brazil.

² Medical student, Department of Neurosurgery, Santa Paula Hospital, São Paulo, SP, Brazil.

³ Medical student, Faculty of Medical Sciences of Santa Casa de São Paulo, São Paulo, SP, Brazil.

⁴ Medical student, Pontifical Catholic University of Sorocaba, São Paulo, SP, Brazil.

⁵ MD, Neurosurgeon, Professor, Department of Medicine, Faculty of Medical Sciences of Santa Casa de São Paulo, São Paulo, SP, Brazil.

⁶ MD, PhD, Neurosurgeon, Department of Functional Neurosurgery, Santa Casa de São Paulo Hospital, São Paulo, SP, Brazil.

⁷ MD, PhD, Neurosurgeon, Santa Paula Hospital, São Paulo, SP, Brazil.

⁸ MD, PhD, Neurosurgeon, Department of Neurosurgery, Santa Paula Hospital, São Paulo; Professor, Faculty of Medical Sciences of Santa Casa de São Paulo; Professor, Medical School of ABC, São Paulo, SP, Brazil

Received Jan 12, 2022

Accepted Mar 23, 2022

INTRODUCTION

Meige's syndrome is one of the segmental forms of cranial dystonia in adults that associates orofacial dystonia with involuntary eye closure. A complete understanding of the pathophysiology and underlying causes have not yet been fully elucidated in the literature. Abnormal excitability of the sensorimotor cortex and brainstem inter-neuronal pathways associated with environmental factors/triggers and genetic predisposition of unknown genes could be related to this condition according to several studies¹. This proposed mechanism would, therefore, cause plastic changes and reduction of cortical inhibition². Dystonias are also associated with an asymmetry of function within the basal ganglia, especially within the Globus Pallidus Internus (GPi).

There are two types for this condition: 1) primary or idiopathic, which has dystonia as the unique clinical sign associated with a genetic component¹, and 2) secondary, which is usually a late manifestation described as Tardive Dystonia (TD), in some cases associated with other neurological disorders or related to neuroleptic drugs causing a blockage of the dopamine receptors, altering its function causing denervation supersensitivity².

Treatment includes both pharmacological therapy and invasive procedures. Pharmacological therapy includes anticholinergic medications. The clinical effect varies for this type of dystonia, but it is widely accepted its low efficacy for most of the patients^{3,4}. Another established and efficacious treatment for this condition is botulinum toxin^{1,2,5,6}. When patients develop resistance, side effects to botulinum toxin or when this treatment losses efficiency over time, an adjunct or associated treatment is the Deep Brain Stimulation². GPi Deep Brain Stimulation (DBS) of Globus Pallidus Internus (GPi) is an established form of invasive neuromodulation for Meige's Syndrome with a variable range of improvement reported in the literature^{4,7,8}.

In this study we will report a case of a patient diagnosed with Meige's Syndrome successfully treated with GPi-DBS, in addition to conducting a literature review about this alternative therapy, demonstrating its proven high efficacy in these conditions.

CASE PRESENTATION

White male patient, 66 years old. More than 3 years ago he started involuntary orofacial muscle contractions and constant blepharospasm which usually worsened with emotional distress (Figure 1). Past medical history of hypertension, dyslipidemia, benign hepatic nodule, hepatitis C and polycythemia vera.

After 1 year of the initial symptoms, he was given a formal diagnosis of Meige's Syndrome by a neurologist specialist in movement disorders. He failed medical treatment with Levodopa for 1 month, Trazodone (2 months of use) and Lorazepam. His neurological symptoms negatively impacted his quality of life and activities of daily living, leading him to seek a psychiatrist. Approximately 1.5 years after the initial symptoms he underwent a blepharopexy which resulted in no benefit. Among the conventional procedures for this condition, he was also treated with botulinum toxin injections (total 6 different and separate attempts every 2-3 months) which he was also refractory. Subsequently, he was referred to our Functional Neurosurgery DBS service and Multidisciplinary Meeting (MDT). The recommendation was to proceed with DBS procedure since he failed previous treatments. We underwent then a bilateral asleep posteroventral GPi DBS



Figure 1. Blepharospasm and orofacial dystonia before surgery.

implantation with microelectrode recordings (MER) on February 2021. In our department, we routinely use a 3 Teslas Magnetic Resonance Image of the brain with specific DBS sequences (Volumetric T1-, T2-weighted sequences, Susceptibility Weighted Image (SWI) and a Fast Gray Matter Acquisition T1 Inversion Recovery (FGATIR) sequences to better visualize the target of choice (MRI is performed routinely in the same week the patient is operated on).

DBS planning is performed on the day before using direct target on the MRI confronted with the indirect target parameters of the atlas. For dystonia patients are anesthetized before the implantation of the stereotactic frame (in our unit Leksell Frame). Subsequently, a volumetric CT scan is taken and imaging fusion with the MRI is performed. In this case the implantation of the leads (Medtronic lead type 3387) was performed after a bilateral single MER needle passage followed by an intraoperative image intensifier. MERs, in this case, were consistent with the anatomical target. Internalization of the system with the extensions and Internal Pulse Generator (IPG) is routinely performed the same day after placing the leads. Subsequently, after the procedure, a volumetric CT scan without the frame is performed to fuse with the previous MRI scans and measure target precision and also to rule out complications (bleeding and pneumocephalus). We routinely use pre-operative antibiotics until day 5 of the procedure to minimize the risk of infection. In dystonia, we use rechargeable IPG which, in this case, was an Activa RC implanted in the left infraclavicular region. Skin non absorbable surgical sutures are removed in 2 weeks. This patient experienced a mild improvement of the symptoms following 2 weeks from his procedure due to micro lesioning effect from lead placement. (Figures 2 to 4). Initial programming 2 to 3 days after removal of the sutures as outpatient with the Neuromodulation team was performed and during this appointment lead contact test and mapping were completed. Phosphenes were observed for contact 0 bilateral and no side effects were recorded for the remaining contacts. The best contact was number 1 bilateral which was initially programmed with 80 microseconds (μ s) of pulse width (PW), 1.5 milliamps (mA) and 130 Hz of frequency. In our center, we routinely use constant current as opposed to voltage-dependent.

The subsequent follow-up (FU) appointments occurred every 2-3 weeks in which adjustments of the parameters were carried out. On his last FU appointment an adjustment of the parameters was made keeping the same contacts bilaterally, 130 Hz, increased intensity to 2.4 mA and also PW to 140 μ s since GPi is a large

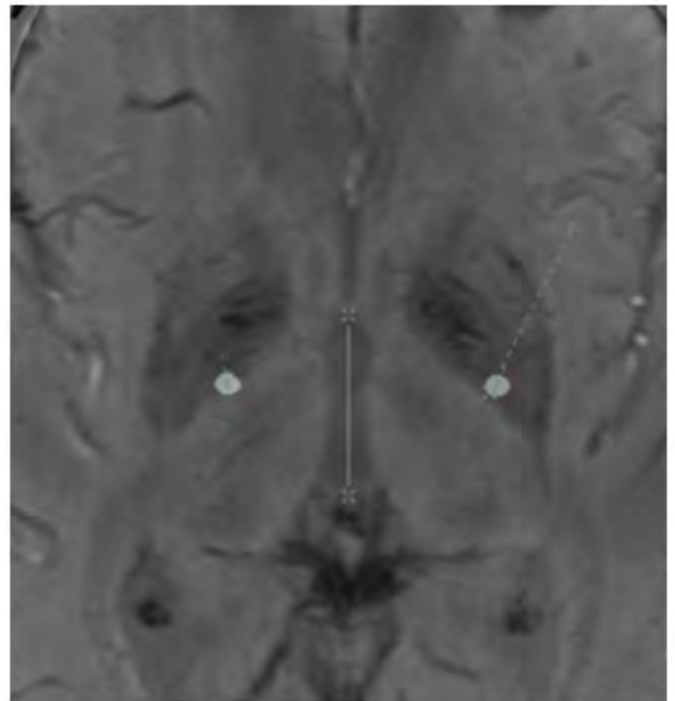


Figure 2. Post-operative fusion of the MRI and CT scans showing adequate placement of the DBS electrodes within the GPi on axial imaging sequences.

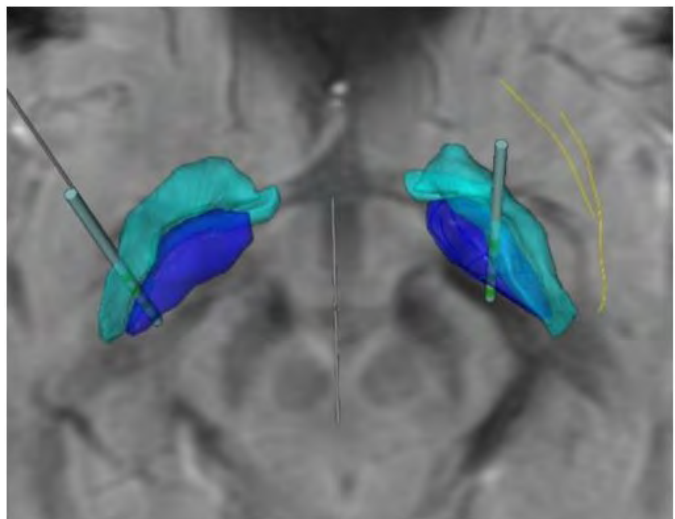


Figure 3. Post-operative fusion of the MRI and CT scans showing adequate placement of the DBS electrodes within the GPi.

target and usually requires a large PW to stimulate more fibers. On every FU adjustment, he reported improvements in his symptoms. After more than four weeks, electrodes were set at

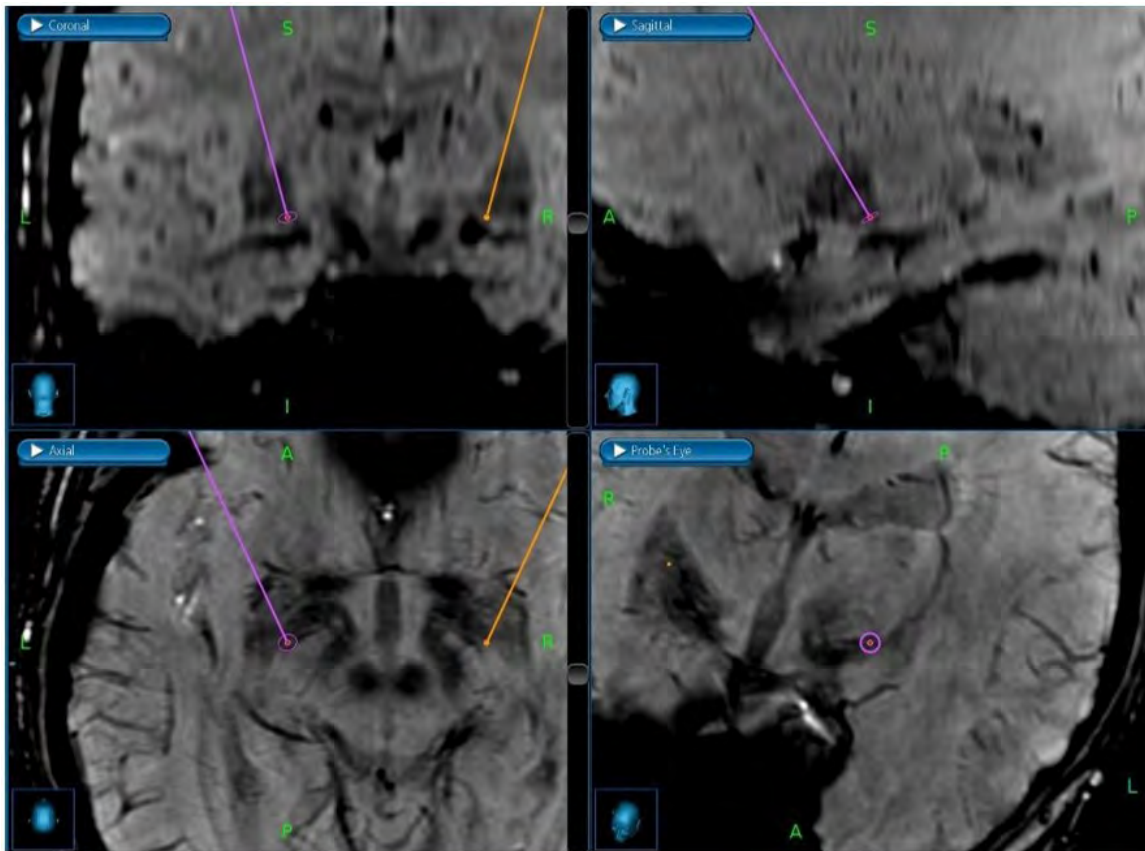


Figure 4. Preoperative planning on Stealth Station showing 3 Tesla MRI SWI sequences and direct visualization of the right and left GPi. In this case, indirect parameters based on the atlas were consistent with the GPi location. The right GPi parameters based on anterior commissure-posterior commissure line (ACPC line) were X: 21 mm, Y: 2 mm and Z: -4 mm. For the left GPi parameters were: X: -21 mm, Y: 2 mm and Z: -4 mm. The entry point (burr holes) for both sides was 3 cm lateral to the midline and 1 cm anterior to the coronal suture.



Figure 5. Right GPi-DBS programming settings.



Figure 6. Left GPI-DBS programming settings.

Activa RC NKG743502

Date:

A Group		A Group	
<input checked="" type="radio"/> Left GPI 1,5 mA 80 μs 130 Hz	<input type="radio"/> Right GPI 1,5 mA 80 μs 130 Hz	<input type="radio"/> Left GPI 1,5 mA 80 μs 130 Hz	<input checked="" type="radio"/> Right GPI 1,5 mA 80 μs 130 Hz
B Group		B Group	
<input checked="" type="radio"/> Left GPI 1,8 mA 100 μs 130 Hz	<input type="radio"/> Right GPI 1,8 mA 100 μs 130 Hz	<input type="radio"/> Left GPI 1,8 mA 100 μs 130 Hz	<input checked="" type="radio"/> Right GPI 1,8 mA 100 μs 130 Hz
C Group		C Group	
<input checked="" type="radio"/> Left GPI 2,4 mA 140 μs 130 Hz	<input checked="" type="radio"/> Right GPI 2,4 mA 140 μs 130 Hz	<input type="radio"/> Left GPI 2,4 mA 140 μs 130 Hz	<input type="radio"/> Right GPI 2,4 mA 140 μs 130 Hz
D Group		D Group	

Figure 7. Active group C showing left and right DBS GPI programming and previous groups tested during FU.



Figure 8. Patient after surgery at his last follow-up with 80% improvement of his symptoms.

130 Hz, 140 μ s and 2,4 mA bilaterally (Figures 5 to 7). At this moment, in time with this program, the patient subjectively reported an improvement of 80% of the symptoms (Figure 8), presenting only blepharospasm in situations of emotional distress, physical fatigue and during exposure to flashing lights.

BACKGROUND

Meige's syndrome was first described by Dr. Henri Meige in 1910, who initially observed and detailed the characteristic involuntary muscle movements of the face in patients with this condition². This type of clinical presentation with blepharospasm and oromandibular dystonia combined, received the name of Meige's Syndrome¹. Blepharospasm occurs, in most of the cases, bilaterally and synchronously, from excessive contraction of the orbicularis oculi and adjacent muscles, including conjugator supercilii and procerus^{3,5}. Blepharospasm is the most common and incapacitating characteristic of this type of dystonia². The oromandibular component involves the lower facial and

masticatory muscles, generating characteristic tonic-clonic movements¹. There are no diagnostic tests for Meige's Syndrome and neuroradiologic assessment or blood tests are unrevealing. Thus, making the diagnosis based on clinical and neurologic evaluation by the movement disorders specialist³.

Patients with blepharospasm complain of eye irritation / dryness, burning sensation, and photophobia that increases during blinking or intermittent spasms^{3,9,10}. Patients also report that blepharospasms become more intense with bright or flickering lights and they usually disappear during sleep^{3,11}. Symptoms begin usually unilaterally and become bilateral in about 25% of the patients². Blepharospasms normally have a tonic and/or clonic spasms. During tonic spasms, the eye stays closed for a prolonged time and for clonic spasms, the orbicularis oculi muscle contracts preventing full eye opening^{2,6}. Patients normally develop sensory tricks to alleviate spasms such as singing, talking, touching eyelids or humming^{2,9}. Spasms can progressively become worse and also involve cervical, limbs and oromandibular muscles or segments². Over time, actions like lip pursing, chewing, jaw thrusting, grimacing, jaw opening, and jaw-closing/clenching become more difficult with involvement of lower facial muscles, and consequently affecting negatively the patient's quality of life and activities of daily living, for example, safe driving becomes a difficult activity^{1,3}. In rare and more advanced or complex cases, spasms and progressively more severe symptoms can lead to the involvement of respiratory muscles².

Some studies suggested that patients with Meige's Syndrome are more likely to develop other movement disorders, like essential tremor, chorea, akathisia, palatal myoclonus or even Parkinson's Disease, since basal ganglia are affected in both situations². These patients are also more likely to develop psychiatric conditions arising from poor health-related quality of life, for instance, depression, anxiety or social distance⁶. However, very few studies have reported changes in quality of life or mental status⁶. Alternatively, other studies suggest a connection between depression and Meige's Syndrome, possibly related to a genetically inherited abnormality of the monoamine metabolism^{11,12}.

CLASSIFICATION

The most recent classification of dystonia distinguishes primary and secondary forms. Primary dystonia usually appears without an

underlying identifiable cause³, being idiopathic or caused by a genetic disorder¹. Secondary dystonia is usually a tardive manifestation², related to stroke, trauma, neurodegenerative conditions or medications³, like neuroleptics, antiemetics, dopamine agonists and antipsychotics, that cause a blockage of dopamine receptor altering its function causing denervation supersensitivity². In the primary one, it is believed that there is no neuronal loss, unlike the acquired or secondary dystonia, in which neurological manifestation can result from brain lesions from multiple possible causes^{13,14}. Some factors can be associated as predictors of the development of Meige's Syndrome, such as cranioccephalic trauma, age at onset (usually fifth to sixth decade), women¹, sunlight exposure or ophthalmology diseases⁹. In the most recent classification of dystonia from Albanese et al., age at onset, body distribution symptoms, temporal pattern, the presence or not of movement disturbances and other neurologic manifestations are important to correctly study and classify the movement disorder^{1,14}.

PHYSIOPATHOLOGY

Meige's Syndrome's physiopathology has not been completely elucidated yet, but many studies describe the hypothesis of an association between environmental triggers and genetic predisposition causing plastic changes, impairment of central sensorimotor integration^{3,15} and reduction of cortical inhibition². Thus, dystonia is associated with a lesion of a complex neural network involving basal ganglia, cerebellum, thalamus, globus pallidus and sensorimotor cortex, more specifically, the primary motor and ventral premotor cortex^{1,2,8,13}. In this proposed mechanism, cerebral lesions cause hyperactivity in the striatum and hypothalamus and affect the sensitivity of the dopamine receptor, culminating in dopaminergic and cholinergic abnormalities, resulting in imbalances of excitatory and inhibitory pathways. The reduced inhibition causes uncontrolled muscle activity, which leads to symptoms and clinical presentation^{1,16}.

Dystonia is, therefore, a network disorder involving the basal ganglia, cerebellum and thalamo-cortical circuits^{8,13}, which is composed of a direct, indirect and a hyper direct pathway where both GPi and STN are situated. The unbalanced neurotransmitters in the basal ganglia and thalamus and the voluntary motor controlling dysfunction are involved in Meige's Syndrome, resulting in the imbalances of the inhibitory and excitatory pathways⁶.

OUTCOME MEASURES

The most important clinical scales to study and graduate dystonias are the Burke-Marsden Dystonia Rating Scale (BFMDRS), Jankovic Rating Scale (JRS) and Global Dystonia Severity Rating Scale (GSDRS). BFMDRS is a universal tool commonly used in these cases that evaluate both movement and disability factors. The movement component of this scale divides the body into nine parts in which scores vary from 0 to 120 according to the degree of dystonia. The disability part includes assessment of daily activities, such as eating, hygiene, dressing, walking, speech, handwriting, swallowing and feeding; scores vary from 0 (complete independence) to 30 (complete dependence)¹⁷. JRS assesses blepharospasm, graduating severity and frequency of symptoms, rating both factors from 0 (no severity and no frequency) to 4 (severe, incapacitating spasms of eyelids and possibly other facial muscles / patients are functionally "blind" due to persistent eye closure more than 50% of the waking time)¹⁸. Finally, the GDSRS divides the body into 10 areas and rates each one from 0 (no dystonia) to 10 (severe symptoms). The body parts involved are eyes and upper and lower face, jaw, tongue, larynx, neck, shoulder and proximal arm, distal arm and hand, pelvis, upper leg, distal leg, foot and trunk¹⁹.

DISCUSSION

In our literature review, we found 64 cases published since 2004. From the patients with Meige's Syndrome who underwent bilateral GPi-DBS, 52% were women^{10,15,20-33}. And 60% of these patients were between 50 and 69 years old^{6,20,21,23-25,27,29,31,32,34-37}. (Table 1). Almost 100% of the patients in our review, that had significantly improved clinically after DBS implantation, with only one case reported having a worsening of symptoms²⁴.

Treatment for dystonias includes pharmacological therapy and invasive procedures. Anticholinergic or dopaminergic drugs, as well as benzodiazepines, neuroleptic, Baclofen and atypical antipsychotic medications, can be partially effective for some patients¹⁻³. Orofacial/Oromandibular dystonia typically responds poorly to oral medications, although Zolpidem may be helpful in some cases³.

Table 1. Studies published reporting Deep Brain Stimulation in Meige's Syndrome patients.

Author	Diagnosis	Target	Gender	Age at first surgery	Duration of symptoms	Medications	BFMDRS	BFMDRS Improvement (%)
Aires et al. ²⁰	Meige's syndrome	Bilateral GPI-DBS	Female	76y-o	8y	Tetrabenazine and Botulinum toxin injections unsuccessfully for 5 years	After 24 months post operative the BFMDRS-D score was 1/30, the BDS was 2/8 and JRS was 1/30	*
	Oromandibular dystonia	Bilateral GPI-DBS	Female	68y-o	18y	Clobazam, Trihexyphenidyl hydrochloride, Amantadine hydrochloride unsuccessfully for 4 years	After 24 months post-operative BFMDRS-M was scoring 2 and BFMDRS-D was 3	*
Bain et al. ³⁶	Generalised dystonia and blepharospasm	GPI-DBS **	Male	48y-o	Began at 15y and at 40y was generalized	Levodopa, Trihexyphenidyl, Baclofen, Olanzapine, and Alcohol were unhelpful. Botulinum toxin improved cervical dystonia	BFMDRS decreasing from 72 pre-operatively to 16	77.77
Bereznai et al. ²¹	Segmental dystonia	Bilateral GPI-DBS	Male	62y-o	4y	Botulinum toxin A, Diazepam, Clonazepam, Tetrabenazine, Tripridex, Trihexyphenidyl, Baclofen, Levodopa, Apomorphine, Amantadine, Clozapine, and Pimozide were helpful for only a short period and were not continued	Dystonic arm and shoulder movements disappeared almost completely. Torticollis was significantly improved. When the patient sat or walked, there was still a slight bending of the trunk backwards	*
	DYT 1 generalized dystonia	Bilateral GPI-DBS	Female	22y-o	14y	Trihexyphenidyl, Tetrabenazine, Baclofen, and Levodopa caused no meaningful improvement	Significant decrease in muscular tension of the lower extremities but also of the upper limbs. Severe in-turning of the foot completely disappeared on the right side and markedly improved on the left side. The patient could stand and walk without canes, and could run for longer distances	*
	Segmental dystonia	Bilateral GPI-DBS	Female	78y-o	18y	Tetrabenazine, Trihexyphenidyl, and Tripridex had no benefit; Tizandine was not tolerated	Stimulation had no immediate effect on the patient's symptoms during the first 6 months, but a clear decrease of Meige's syndrome and cervical dystonia was seen thereafter, when stimulation amplitude was increased	*
	Segmental dystonia	Bilateral GPI-DBS	Male	50y-o	6y	Therapy with botulinum toxin A injections had no effect	After 24 hours of chronic stimulation, segmental dystonia disappeared almost completely. Over a period of 3 months some of the symptoms (laterocollis, blepharospasm, and upper limb dystonia) reemerged, but to a lesser extent	*
	Cervical dystonia	Bilateral GPI-DBS	Male	44y-o	6y	Numerous pharmacological and Botulinum toxin A treatments provided only modest improvement	Clinic movements were almost absent, whereas slight laterocollis was still present. Lorazepam was reduced to 1 mg daily	*
	Cervical dystonia	Bilateral GPI-DBS	Female	58y-o	15y	Trihexyphenidyl, Baclofen, Levodopa, and Clonazepam were not beneficial and therapy with Botulinum toxin A injections was helpful only for a short period	The patient's severe retrocollis disappeared completely after surgery. On examination 3 months after surgery there was only a slight horizontal tremor of the head, which had no clinical significance.	*
Bhojar et al. ³⁴	Meige's syndrome	GP-DBS **	Male	60y-o	3y	Tetrabenazine and Clonazepam had no benefit	The patient showed dramatic improvement in his symptoms	*

y-o = years-old; y = year; mo = month; *Not cited by the article; ** Not specified by the author.

Table 1. Continued...

Author	Diagnosis	Target	Gender	Age at first surgery	Duration of symptoms	Medications	BFMDRS	BFMDRS Improvement (%)
Blomstedt et al. ²²	Meige's syndrome	Bilateral GPI-DBS	Female	*	22y	Clonazepam, Baclofen, Botulinum toxin had no benefit.	BFMDRS improved from 34 to 6 in the post-operative.	82.35
			Female	*	12y	Trihexyphenidyl, Clonazepam, Botulinum toxin had no benefit.	BFMDRS improved from 28 to 6 in the post-operative.	78.57
			Male	*	10y	Baclofen, Clonazepam, Botulinum toxin had no benefit.	BFMDRS improved from 15 to 4 in the post-operative.	73.33
Chung et al. ²³	Tongue dystonia	Bilateral GPI-DBS	Female	58y-o	7y	Trihexyphenidyl and Clonazepam had no benefit. Botulinum toxin injections on genioglossus muscle, it did not showed good response.	BFMDRS improved from 12.5 to 1 in the post-operative. The patient improved 92% compared with its baseline.	92
Evidente et al. ⁵	*dysarthria and mumbled when read and spoke	Bilateral GPI-DBS	Female	54y-o	9y	Medications (*) and Botulinum toxin injections had no benefit.	BFMDRS improved from 13 to 1 in the post-operative. The patient improved 92.3% and become free from symptoms. BFM improved from 4 to 0 and JRS from 6 to 0 in the post-operative	92.3
	Tardive blepharospasm	Bilateral GPI-DBS	Male	35y-o	2y (starts at 6y-o, stopped at 15y-o and returned at 33y-o)	Risperidone, Olanzapine, Quetiapine, Lurasidone and Clonazepam had no benefit. Also botulinum toxin A and B		100
Foote et al. ²⁹	Meige's syndrome	Bilateral GPI-DBS	Male	47y-o	5y	Trihexyphenidyl, Baclofen, Lorazepam, Diazepam, Olanzapine, Quetiapine and Botulinum toxin (A and B) injections had no benefits.	Clinical examination revealed only a minimally notable increase in blinking rate and no visible craniofacial dystonia. The patient reported had a 75% improvement in all symptoms.	75
Hebb et al. ²³	Idiopathic cranial dystonia (Meige syndrome)	Bilateral GPI-DBS	Female	49y-o	10y	Clonazepam and Lorazepam had no benefit	GDRS scores: from 47 (preoperative) to 10 (1 year postoperative with bilateral GPI function) and 10 (5 years postoperative with left GPI and right on)	*
Houser and Waltz ¹⁰	Blepharospasm and oromandibular dystonia (Meige's Syndrome). Preceded by a dry eyes sensation.	Bilateral GPI-DBS	Female	44y-o	*: The patient developed oromandibular dystonia 2y after the initial blepharospasm	Trazodone, Anticholinergics, Benzodiazepines, Levodopa (L-dopa), Botulinum toxin had no benefit	BFM score changed from 44 to 10 (75% reduction) and the UDRS from 17 to 2.5 (85% reduction)	75
Inoue et al. ²⁶	Blepharospasm and oromandibular dystonia (Meige's Syndrome), trunk bending toward the right; mild dystonic tremor in the right arm.	Bilateral GPI-DBS	Female	61y-o	18y	Thalamotomy bilateral and sequential pharmacological trials unsatisfactory	Preoperative scores BFMDRS Movement and Disability Scales of 35 and 23, respectively, after the surgery, BFMDRS movement and disability scores of 5 and 4, respectively. Improvement more than 80% even of the 10-years follow-up	80
Kashyap et al. ²⁶	Orolingual dyskinesia; Parkinsonism	Bilateral STN-DBS	Female	75y-o	9y	Tetabenazine, Benztropine, oral Lidocaine, Thioridazine, TBZ, Fluoxetine, Quetiapine, Trazodone, Propranolol, Amantadine, Sinemet, Pramipexole and Primidone had no benefit	Initial near-complete resolution of tremors. Two years after implantation, leg tremors not at all bothersome and able to feed herself. Able to exercising, but she still has a fear of falling and uses a walker for ambulation. Continued to need TBZ and Valbenazine	*

y-o = years-old; y = year, mo = month; *Not cited by the article; ** Not specified by the author.

Table 1. Continued...

Author	Diagnosis	Target	Gender	Age at first surgery	Duration of symptoms	Medications	BFMDRS	BFMDRS Improvement (%)
Limotai et al. ²⁷	Blepharospasm and cranio-cervical dystonia (Meige's Syndrome); Limb dystonia.	Bilateral GPI-DBS	Male	48y-o	6y	History of medication refractory (**)	The patient improved more than 20% at a 6-month follow-up visit compared with its baseline.	more than 20%
	Blepharospasm and cranio-cervical dystonia (Meige's Syndrome); Limb dystonia	Bilateral GPI-DBS	Male	26y-o	19y	*	Improved less than 20% at a 6-month follow-up visit. Post operative presented improvement in his dystonia, but his speech and swallowing problems persisted	less than 20%
	Blepharospasm and cervical dystonia (Meige's Syndrome); Right arm dystonia; Myoclonus	Unilateral left GPI-DBS	Female	58y-o	18y	Botulinum toxin and Levitracetam had no benefit	Improved more than 20% at a 6-month follow-up visit compared with the baseline	more than 20%
	Blepharospasm and cranio-cervical dystonia (Meige's Syndrome)	Bilateral GPI-DBS	Female	71y-o	10y	Botulinum toxin B had no benefit	Improved more than 20% at a 6-month follow-up visit, with little evidence of dystonia post-operative	more than 20%
	Meige's syndrome	Bilateral GPI-DBS	Male	68y-o	5y	*	Improved less than 20% at a 6-month follow-up visit compared with the baseline	less than 20%
	Blepharospasm and cranio-cervical dystonia (Meige's Syndrome) and Head tremors.	Bilateral GPI-DBS	Male	67y-o	10y	*	Improved more than 20% at a 6-month follow-up visit compared with the baseline	more than 20%
Luthra et al. ⁶	Blepharospasm and cranio-cervical dystonia, orofacial dystonia (Meige's Syndrome)	Bilateral GPI-DBS	Male	69y-o	8/9y	Botulinum toxin and Clonazepam had no benefit	BFMDRS and JRS scores improved from 8 to 0 (100% compared with baseline). BFMDRS total motor score improved from 15 to 1.5(90% compared with baseline). Improved BFMDRS neck subscore from 4 to 0.5 (88% compared with baseline)	100
Markaki et al. ²⁸	Bilateral blepharospasm and orofacial dystonia (Meige's Syndrome)	Bilateral GPI-DBS	Female	49y-o	7y	History of medication refractory (Dopaminergic, Anticholinergics drugs, Benzodiazepine, Dopamine depletors, Baclofen) and botulinum toxin injections had no benefit	BFMDRS movement score improved from 10 to 3 and the disability score improved from 15 to 1. The patient showed 84% reduction of the total score	84

y-o = years-old; y = year. mo = month; *Not cited by the article;** Not specified by the author.

Table 1. Continued...

Author	Diagnosis	Target	Gender	Age at first surgery	Duration of symptoms	Medications	BFMDRS	BFMDRS Improvement (%)
Reese et al. ²⁹	Blepharospasm and oromandibular dystonia (Meige's Syndrome)	Bilateral GPI- DBS	Female	72y-o	12y	Trihexyphenidyl, Clonazepam, Tetrabenazine, Botulinum toxin had no benefit	BFMDRS improved from 22 baseline to 14 in a short-term follow-up and to 10 in a long-term follow-up	54.5
			Male	65y-o	6y	Levodopa, Lorazepam, Baclofen, Trihexyphenidyl and Zolpidem had no benefit.	BFMDRS improved from 22 baseline to 5 in a short-term follow-up and to 2,5 in a long-term follow-up	88.63
			Female	68y-o	12y	b	BFMDRS improved from 20 baseline to 9 in a short-term follow-up and to 8 in a long-term follow-up.	60
			Male	66y-o	4y	Trihexyphenidyl, Tetrabenazine and Botulinum toxin had no benefit	BFMDRS improved from 15 baseline to 7 in a long-term follow-up	53.33
			Male	62y-o	5y	Botulinum toxin and Trihexyphenidyl had no benefit.	BFMDRS improved from 26 baseline to 18 in a short-term follow-up and to 16 in a long-term follow-up.	38.46
			Male	71y-o	4y	Trihexyphenidyl had no benefit.	BFMDRS improved from 26 to 14 in a short-term follow-up	46.15
			Female	60y-o	5y	Botulinum toxin, Tripride, Trihexyphenidyl and Zolpidem had no benefit	BFMDRS improved from 20 baseline to 12 in a long-term follow-up	40
			Male	64y-o	18y	Botulinum toxin and Trihexyphenidyl had no benefit	BFMDRS improved from 18 baseline to 8 in a long-term follow-up	55.55
			Female	61Years	7y	Tiaprider, Trihexyphenidyl, Botulinum toxin and Levodopa had no benefit	BFMDRS improved from 22 baseline to 9 in a short-term follow-up and to 14 in a long-term follow-up	36.36
			Male	62y-o	13y	Trihexyphenidyl, Pimozid, Tetrabenazine, Botulinum toxin A and B and Lorazepam had no benefit.	BFMDRS improved from 22 baseline to 16 in a short term follow-up and 11 in a long-term follow-up	50
			Female	66y-o	10y	Botulinum toxin A and B, Clozapin, Valproic acid, Clonazepam and Levodopa had no benefit.	BFMDRS improved from 20 baseline to 14 in a short and long-term follow-up	30
			Female	57y-o	7y	Trihexyphenidyl, Botulinum toxin and Biperiden had no benefit.	BFMDRS improved from 24 baseline to 5 in a long-term follow-up	79.16
Romito et al. ³⁵	Blepharospasm and oromandibular dystonia (Meige's Syndrome).	Bilateral GPI- DBS	Male	68y-o	12y	Benzodiazepines, Trihexyphenidyl and Botulinum toxin had no benefit.	BFMDRS improved from 66 to 4 at 12 months postoperative	81.81
Santos et al. ³⁶	Blepharospasm	Bilateral GPI- DBS	Male	63y-o	5y	Clonazepam and Botulinum toxin had no benefit	After 21 months the JRS of 1 (severity) and 2 (frequency) on the left eye and of 1 (severity and frequency) on the right eye. BFMDRS decrease from 43 score to 19. The patient had a new decrease to 2 with bipolar stimulation	*
Shu et al. ³⁰	Meige's syndrome	Bilateral GPI- DBS	Female	71y-o	4y	Drugs ** and Botulinum toxin had no benefit	Good clinical effect was observed even 60 months post operative BFMDRS improved from 32 to 7.5.	55.81
Tai et al. ³¹	Meige's syndrome	Bilateral GPI- DBS	Female	66y-o	3y	Anticholinergics, Benzodiazepines, Neuroleptics, Valproic acid and Botulinum toxin had no benefit		76.56

y-o = years-old; y = year; mo = month; *Not cited by the article; ** Not specified by the author.

Table 1. Continued...

Author	Diagnosis	Target	Gender	Age at first surgery	Duration of symptoms	Medications	BFMDRS	BFMDRS Improvement (%)
Reza Vagefi et al. ³⁷	Meige's syndrome	Bilateral GPI-DBS	Male	69y-o	10y	Levodopa, Baclofen, Clonazepam and Botulinum toxin had no benefit	He had some improvement of lower facial spasms and swallowing, with jaw and neck pain, but blepharospasm were worse. Nine months myectomy surgery was indicated	*
Valálik et al. ³²	Meige's syndrome	Bilateral GPI-DBS	Female	66y-o	4y	Injections of botulinum toxin A and B and Sequential pharmacological trials refractory	BFMDRS improved to 25.5 to 2.5 in 6 months post operative and to 3 after 3 years of the surgery	90.19
Zauber et al. ⁴⁰	Cranio-cervical dystonia; Blepharospasm	Bilateral GPI-DBS	Male	42y-o	8 mo	Oral medications and botulinum toxin had no benefit	BFMDRS improved to 20 to 6, showing a 70% improvement in the dystonia at the cost of mild parkinsonism	70
Horisawa et al. ²⁴	Meige's syndrome	bilateral GPI-DBS	Male	20	16y	Trihexyphenidyl, clonazepam	BFMDRS-M improved from 24 baseline to 1 in a short and long-term follow-up	95.8
			Male	33	1y	Botulinum toxin, monoclonal antibody	BFMDRS-M improved from 18 baseline to 1 in a short and long-term follow-up	94.4
			Male	51	2y	Tiaprider, baclofen, clonazepam, etizolam, alprazolam, trihexyphenidyl	BFMDRS-M improved from 23 baseline to 14 in a short and long-term follow-up	40.9
			Male	50	7y	Botulinum toxin, etizolam, haloperidol, clonazepam, trihexyphenidyl, quetiapine, baclofen, levodopa	BFMDRS-M improved from 26 baseline to 10 in a short and long-term follow-up	61.5
			Female	58	14y	Botulinum toxin, clonazepam	BFMDRS-M improved from 12 baseline to 11 in a long-term follow-up	8.3
			Male	60	9y	Botulinum toxin, trihexyphenidyl	BFMDRS-M improved from 11 baseline to 5 in a short and long-term follow-up	54.5
			Male	59	7y	Botulinum toxin, clonazepam, alprazolam, chlorpromazine, haloperidol, trihexyphenidyl	BFMDRS-M improved from 22 baseline to 1 in a short and long-term follow-up	95.5
			Male	45	3y	Botulinum toxin, amantadine, tiapride, clonazepam	BFMDRS-M improved from 15.5 baseline to 3.5 in a short and long-term follow-up	77.4
			Male	43	6y	Botulinum toxin	BFMDRS-M improved from 7 baseline to 4 in a short and long-term follow-up	42.9
			Female	52	3y	Botulinum toxin	BFMDRS-M improved from 15.5 baseline to 2 in a short and long-term follow-up	87.1
			Female	50	5y	Botulinum toxin	BFMDRS-M improved from 10 baseline to 1 in a short and long-term follow-up	90
			Male	49	5y	Botulinum toxin, trihexyphenidyl, clonazepam	BFMDRS-M improved from 17.5 baseline to 2.5 in a short and long-term follow-up	85.7
			Female	40	1y	Botulinum toxin, trihexyphenidyl	BFMDRS-M improved from 14 baseline to 0.5 in a short and long-term follow-up	96.4
			Male	39	1y	Botulinum toxin	There was no BFMDRS-M improvement. It was 14 on the preop and 14 at the last follow-up	0
			Female	31	7y	Trihexyphenidyl, haloperidol, clonazepam, diazepam, botulinum toxin, monoclonal antibody	BFMDRS-M improved from 10 baseline to 8 in a long-term follow-up.	20
			Female	52	4y	Botulinum toxin, tiapride, baclofen, levodopa, biperiden	Worsening of symptoms was observed, with BFMDRS-M from 22 to 29	-24.1

y-o = years-old; y = year; mo = month; *Not cited by the article; ** Not specified by the author.

Invasive procedures include botulinum toxin injections into the involved muscles or surgical interventions like myotomy or myomectomy. Partial or full resection of the muscle can relieve symptoms in over 80% of patients. Nevertheless, these procedures are ablative, non-reversible and have complications including bruising, numbness, eyebrow or eyelash loss and since the neurologic component is not addressed there is a high chance of recurrence. Therefore, muscle procedures are reserved for patients with severe symptoms that are not controlled with toxin injections or for cases that failed other modalities³.

Other procedures for dystonia include Neuromodulatory interventions or Deep Brain Stimulation (DBS), a reversible and non-ablative procedure as opposed to ablative and irreversible procedures to the brain named pallidotomy, which could be the best choice for some selected patients³. If patients are or become refractory to oral medication, botulinum toxin injection is usually the chosen therapy. Botulinum toxin is usually more effective for the blepharospasm component rather than oromandibular dystonia^{1,2}. Despite improvement of the symptoms, some patients have a diminished response over time, and some may develop antibodies that make it difficult to continue this treatment modality⁴. In our review, around 70.83% of the patients have been treated with botulinum toxin injections before being referred to DBS surgery. Some cases didn't respond well to botulinum toxin or lost efficacy over time^{5,6,10,20-23,27-32,35-39}. DBS is normally recommended when patients fail conservative management or botulinum toxin^{1,12,13,41}.

GPi-DBS treatment is level A of evidence according to the US Food and Drugs Administration (FDA)⁴¹ for patients with primary generalized or segmental dystonia. Idiopathic or inherited, isolated, focal, tardive dystonia and myoclonus-dystonia overall have also good to excellent results with DBS¹². Two different multicenter, randomized, controlled studies, demonstrated significant improvements at a 3-month follow-up after bilateral GPi-DBS^{42,43}.

Mechanisms of DBS for dystonia are multifactorial: long-term neuronal reorganization, and transient neuromodulatory effects and synaptic plasticity are involved⁶. In other words, DBS system works modulating the cortical excitability, interrupting the pathological activity which enables neuroplasticity phenomenon and promotes changes of the number and connections of the cortical neurons^{13,15}.

Preoperative higher BFMDRS scores indicate higher improvements. Studies showed a cut of improvement of 30% in symptoms. However, other studies demonstrate that severity of symptoms in the pre-surgical stage predicted poor outcome⁶. The effects of GPi-DBS on speech and swallowing are variable and not so beneficial when compared for blepharospasm^{3,12,13,27}. Short-term follow-up in patients with Meige's Syndrome treated with DBS are positive^{12,21,24,25,29,35}, as well as for long-term FU. In a retrospective study from Reese et al.²⁹ 12 patients with Meige's Syndrome were analyzed²⁹. The BFMDR improved 53% at 6.5 years from implantation. The BFMDR eyes improved 47%, BFMDR mouth 56% and BFMDR speech/swallowing improved 64%. Another study from Horisawa et al²⁴. reported 58.9% improvement in BFMDR scores at 66-month FU. Bilateral GPi-DBS has been successful when patients become refractory conservative management².

Adverse effects of GPi-DBS can result from mispositioned lead or mistarget, since GPi is surrounded by the GP externus, putamen, internal capsule, ansia lenticularis, optical tract, amygdala, ventral pallidum, zona incerta (ZI) and MFB.

Beneficial effect from the DBS stimulation tend to occur on the long term since it requires neuromodulatory effect within the correct part of the GPi. Current spread outside the GPi can produce side effects when, for example, the internal capsule is stimulated, patients demonstrate long tract symptoms (tonic contraction of contralateral facial muscles) or stimulation-induced hypokinesia in non-dystonic body segments^{6,12,13}. Bradykinesia, parkinsonism and freezing of gait are less frequent with GPi stimulation, however, can occur in 6 long-term follow-up¹², but most of which can be improved or completely resolved with DBS parameter adjustment⁶. Other side effects include dysarthria, sensory disturbances, cranial nerves deficits or phosphenes when there's current spread to the visual tracts which are ventral to GPi⁷. DBS is considered to be an efficient and low risk procedure, but it has possible complications including infections, hardware complications and neurological deficits.

The hypokinesia, bradykinesia or gait difficulties that some DBS patients present could be related to an exacerbated stimulation of the ventral portion of the GPi, even though it usually improves dystonic symptoms^{7,13,41}, by generating a suppression of the hyperkinesia induced by the levodopa. These mechanisms have not been completely elucidated yet, but the modification of neuronal activity in the ascending pallido-thalamofrontal

connections seems to have some relation in these symptoms¹². The bradykinetic effect could be related to the frequency settings of the DBS postoperatively. Stimulation frequencies below 100 Hz were associated with fewer symptoms, but also to less clinical benefits⁴¹.

GPI is still the most utilized target for DBS in dystonias. Neuromodulation of the posteroventral lateral portion of GPI is considered to be the best target for DBS, because is the area where the basal ganglia output pathways converge⁴¹. It is also based on good outcomes and knowledge of pallidotomy for dystonia¹². The highest the volume of activated tissue (VTA) is stimulated in the GPI, better the results would be postoperatively¹². Improvement of symptoms usually takes up to 6 months of FU⁴, which depends on the postoperative programming of DBS⁷. Most of the Neurosurgical units still choose GPI over other targets such as STN for generalized or segmental dystonia including Meige's Syndrome. STN as target is also an effective and safe location according to some authors^{8,44}. For primary dystonia, both targets were associated with important improvements on outcome measures in the short-term. STN is a smaller target and is also associated with more side effects due to the fibers and tracts surrounding this area⁴⁴. Liu et al.⁸ compared outcomes of GPI-DBS and STN DBS for primary dystonia and demonstrated that both of them improved this condition in the short-term, whereas STN-DBS was associated with more side effects.

Many centers have published their own DBS programming algorithm to facilitate doctors and health professionals when they start working with these patients postoperatively^{7,44}. The first DBS programming normally varies from 2 days to 1 month after surgery⁴⁴.

The programming algorithms follows the same recommendations as for Parkinson's Disease (PD) or Essential Tremor with some modifications. For instance, a high frequency around 185 Hz has been recommended and demonstrated to be effective in GPI-DBS. There is also debate and discussion when selecting the best contact for chronic stimulation but usually after a lead mapping (test of every contact for side effects e benefits of stimulation), the most ventral contact is programmed since the VAT will probably be more efficient. Cheung et al.⁴⁵ recently identified a small area located squarely in the middle of the GPI as a potential specific therapeutic target for DBS for dystonia, whereas recent evidence from his group suggests that most efficient DBS electrodes displayed close anatomic proximity to the pallidothalamic

tracts (*ansa* and *fasciculus lenticularis*) between the GPI and the pyramidal tract. Thus, stimulation is most commonly initiated in the ventral region of the GPI above the optic tract (contacts 0 and 1) with a short pulse width (60–120 μ s), high frequency (130–185 Hz) and amplitude just prior to eliciting adverse effects. Due to the anatomical location of the target, delayed side effects are less likely to occur than with STN-DBS or VIM-DBS, therefore favoring a top-down approach and starting the stimulation with the highest tolerated voltage or current-mA. The use of high- vs. low-frequency stimulation in dystonia has shown mixed results. Alterman et al. suggested that the use of 60 Hz stimulation could be beneficial in some patients. Moro et al. concluded that high-amplitude and high-frequency stimulation predict better outcomes for cervical dystonia. Various pulse widths have been recommended in GPI-DBS as well. Coubes et al. recommend the using of a large pulse width of 450 μ s to stimulate more fibers. However, another study comparing 60, 120, and 450 μ s did not show any significant differences between the three groups⁷. In conclusion, an optimal combination of frequency, voltage and pulse width is always tailored to each patient and has to be done individually in the careful and time-consuming work of health professionals involved with movement disorders⁴⁶.

CONCLUSION

DBS for Meige's Syndrome using GPI as target is still an efficient and low risk invasive neuromodulatory modality of treatment for this condition. Early DBS for these patients, when they fail conservative treatment, could result in excellent improvements in outcome measures possibly related to prompt neuromodulation effect within the basal ganglia specially the GPI. The use of other targets such as the STN could still be efficient for this condition either as a primary target or as a rescue form of treatment when GPI fails.

REFERENCES

1. Ma H, Qu J, Ye L, Shu Y, Qu Q. Blepharospasm, oromandibular dystonia, and meige syndrome: clinical and genetic update. *Front Neurol.* 2021;12:630221. <http://dx.doi.org/10.3389/fneur.2021.630221>.

2. Pandey S, Sharma S. Meige's syndrome: history, epidemiology, clinical features, pathogenesis and treatment. *J Neurol Sci.* 2017;372:162-70. <http://dx.doi.org/10.1016/j.jns.2016.11.053>.
3. Karp B, Alter K. Botulinum toxin treatment of blepharospasm, orofacial/oromandibular dystonia, and hemifacial spasm. *Semin Neurol.* 2016;36(1):84-91.
4. Lyons MK, Birch BD, Hillman RA, Boucher OK, Evidente VGH. Long-term follow-up of deep brain stimulation for Meige syndrome. *Neurosurg Focus.* 2010;29(2):E5.
5. Evidente VGH, Ponce FA, Evidente MH, Lambert M, Garrett R. Tardive blepharospasm may respond to bilateral pallidal deep brain stimulation. *Tremor Other Hyperkinet Mov (N Y).* 2021;11(1):10. <http://dx.doi.org/10.5334/tohm.594>. PMID:33777498.
6. Luthra NS, Mitchell KT, Volz MM, Tamir I, Starr PA, Ostrem JL. Intractable blepharospasm treated with bilateral pallidal deep brain stimulation. *Tremor Other Hyperkinet Mov (N Y).* 2017;7(0):472. <http://dx.doi.org/10.5334/tohm.361>. PMID:28975046.
7. Koeglsperger T, Palleis C, Hell F, Mehrkens JH, Bötzel K. Deep brain stimulation programming for movement disorders: current concepts and evidence-based strategies. *Front Neurol.* 2019;10:410.
8. Liu Y, Zhu G, Jiang Y, Wang X, Chen Y, Meng F, Zhang K, Yang A, Liu H, Zhang X, Zhang J. Comparison of Short-Term Stimulation of the Globus Pallidus Interna and Subthalamic Nucleus for Treatment of Primary Dystonia. *World Neurosurg.* 2019 Mar;123:e211-e217. doi: 10.1016/j.wneu.2018.11.137. Epub 2018 Nov 24. PMID: 30481618.
9. Jochim A, Li Y, Gora-Stahlberg G, et al. Altered functional connectivity in blepharospasm/orofacial dystonia. *Brain Behav.* 2018;8(1):e00894.
10. Houser M, Waltz T. Meige syndrome and pallidal deep brain stimulation. *Mov Disord.* 2005;20(9):1203-5. <http://dx.doi.org/10.1002/mds.20522>. PMID:15954126.
11. Tolosa ES. Clinical Features of Meige's Disease (Idiopathic Orofacial Dystonia): A Report of 17 Cases. *Arch Neurol.* 1981;38(3):147-51.
12. Cury RG, Kalia SK, Shah BB, Jimenez-Shahed J, Prashanth LK, Moro E. Surgical treatment of dystonia. *Expert Rev Neurother.* 2018;18(6):477-92. <http://dx.doi.org/10.1080/14737175.2018.1478288>. PMID:29781334.
13. Macerollo A, Sajin V, Bonello M, et al. Deep brain stimulation in dystonia: state of art and future directions. *J Neurosci Methods.* 2020;340:108750.
14. Albanese A, Bhatia K, Bressman SB, et al. Phenomenology and classification of dystonia: a consensus update: Dystonia: Phenomenology and classification. *Mov Disord.* 2013;28(7):863-73. <http://dx.doi.org/10.1002/mds.25475>.
15. Ni Z, Kim SJ, Phielipp N, et al. Pallidal deep brain stimulation modulates cortical excitability and plasticity. *Ann Neurol.* 2018;83(2):352-62. <http://dx.doi.org/10.1002/ana.25156>.
16. Ruge D, Tisch S, Hariz MI, et al. Deep brain stimulation effects in dystonia: Time course of electrophysiological changes in early treatment. *Mov Disord.* 2011;26(10):1913-21. <http://dx.doi.org/10.1002/mds.23731>.
17. Kuiper MJ, Vrijenhoek L, Brandsma R, et al. The Burke-Fahn-Marsden Dystonia Rating Scale is Age-Dependent in Healthy Children. *Mov Disord Clin Pract.* 2016;3(6):580-6. <http://dx.doi.org/10.1002/mdc3.12339>.
18. Jankovic J, Orman J. Botulinum A toxin for cranial-cervical dystonia: a double-blind, placebo-controlled study. *Neurology.* 1987;37(4):616-23. <http://dx.doi.org/10.1212/WNL.37.4.616>. PMID:3561773.
19. Comella CL, Leurgans S, Wu J, Stebbins GT, Chmura T, THE DYSTONIA STUDY GROUP. Rating scales for dystonia: A multicenter assessment. *Mov Disord.* março de 2003;18(3):303-12. <http://dx.doi.org/10.1002/mds.10377>.
20. Aires A, Gomes T, Linhares P, Cunha F, Rosas MJ, Vaz R. The impact of deep brain stimulation on health related quality of life and disease-specific disability in Meige Syndrome (MS). *Clin Neurol Neurosurg.* 2018;171:53-7. <http://dx.doi.org/10.1016/j.clineuro.2018.05.012>. PMID:29807200.
21. Bereznai B, Steude U, Seelos K, Bötzel K. Chronic high-frequency globus pallidus internus stimulation in different types of dystonia: a clinical, video, and MRI report of six patients presenting with segmental, cervical, and generalized dystonia. *Mov Disord.* 2002;17(1):138-44. <http://dx.doi.org/10.1002/mds.1250>. PMID:11835451.
22. Blomstedt P, Tisch S, Hariz MI. Pallidal deep brain stimulation in the treatment of Meige syndrome. *Acta Neurol Scand.* 2008;118(3):198-202. <http://dx.doi.org/10.1111/j.1600-0404.2008.00999.x>. PMID:18336624.
23. Chung JC, Kim JP, Chang WS, Kim HY, Chang JW. Bilateral pallidal stimulation for "sticking-out tongue" feature in patients with primary focal tongue protrusion dystonia. *Neuromodulation.* 2014;17(2):133-7. <http://dx.doi.org/10.1111/ner.12077>. PMID:23682929.
24. Horisawa S, Ochiai T, Goto S, et al. Long-term outcome of pallidal stimulation for Meige syndrome. *J Neurosurg.* 2018;130(1):84-9. <http://dx.doi.org/10.3171/2017.7.JNS17323>.
25. Inoue N, Nagahiro S, Kaji R, Goto S. Long-term suppression of Meige syndrome after pallidal stimulation: a 10-year follow-up study. *Mov Disord.* 2010;25(11):1756-8. <http://dx.doi.org/10.1002/mds.23166>. PMID:20589876.
26. Kashyap S, Ceponiene R, Savla P, Bernstein J, Ghanchi H, Ananda A. Resolution of tardive tremor after bilateral subthalamic nucleus deep brain stimulation placement. *Surg Neurol Int.* 2020;11:444. http://dx.doi.org/10.25259/SNI_723_2020. PMID:33408929.
27. Limotai N, Go C, Oyama G, et al. Mixed results for GPi-DBS in the treatment of cranio-facial and cranio-cervical dystonia symptoms. *J Neurol.* 2011;258(11):2069-74. <http://dx.doi.org/10.1007/s00415-011-6075-0>. PMID:21553081.
28. Markaki E, Kefalopoulou Z, Georgiopoulou M, Paschali A, Constantoyannis C. Meige's syndrome: a cranial dystonia treated with bilateral pallidal deep brain stimulation. *Clin Neurol Neurosurg.* 2010;112(4):344-6. <http://dx.doi.org/10.1016/j.clineuro.2009.12.005>. PMID:20061080.
29. Reese R, Gruber D, Schoenecker T, et al. Long-term clinical outcome in meige syndrome treated with internal pallidum deep brain stimulation.

- Mov Disord. 2011;26(4):691-8. <http://dx.doi.org/10.1002/mds.23549>. PMID:21312284.
30. Shu W, Li Y, Li J, Zhang Y. Interleaving programming in pallidal deep brain stimulation improves outcomes in a patient with Meige syndrome. *Br J Neurosurg*. 2018;32(6):661-2. <http://dx.doi.org/10.1080/02688697.2018.1504883>. PMID:30526116.
31. Tai CH, Wu RM, Liu HM, Tsai CW, Tseng SH. Meige syndrome relieved by bilateral pallidal stimulation with cycling mode: case report. *Neurosurgery*. 2011;69(6):E1333-7. <http://dx.doi.org/10.1227/NEU.0b013e31822a9ad2>. PMID:21712740.
32. Valálik I, Jobbágy A, Bognár L, Csókay A. Effectiveness of unilateral pallidotomy for meige syndrome confirmed by motion analysis. *Stereotact Funct Neurosurg*. 2011;89(3):157-61. <http://dx.doi.org/10.1159/000323341>. PMID:21494067.
33. Hebb MO, Chiasson P, Lang AE, Brownstone RM, Mendez I. Sustained relief of dystonia following cessation of deep brain stimulation. *Mov Disord*. 2007;22(13):1958-62. <http://dx.doi.org/10.1002/mds.21616>. PMID:17674409.
34. Bhojar KV, Gujjar P, Shinde S, Kotak N. Anesthetic management of deep brain stimulator implantation in Meige's syndrome. *J Anaesthesiol Clin Pharmacol*. 2012;282012(1):111-3. <http://dx.doi.org/10.4103/0970-9185.92459>. PMID:22345957.
35. Romito LM, Elia AE, Franzini A, Bugiani O, Albanese A. Low-voltage bilateral pallidal stimulation for severe meige syndrome in a patient with primary segmental dystonia: case report. *Neurosurgery*. 2010;67(3 Suppl Operative):onsE308; discussion onsE. <http://dx.doi.org/10.1227/01.NEU.0000381768.04640.46>.
36. Santos AF, Veiga A, Augusto L, Vaz R, Rosas MJ, Volkman J. Successful Treatment of Blepharospasm by Pallidal Neurostimulation. *Mov Disord Clin Pract (Hoboken)*. 2016;3(4):409-11. <http://dx.doi.org/10.1002/mdc3.12297>. PMID:30713932.
37. Reza Vagefi M, Cheng Lin C, McCann JD, Anderson RL. Exacerbation of blepharospasm associated with craniocervical dystonia after placement of bilateral globus pallidus internus deep brain stimulator. *Mov Disord*. 2008;23(3):454-6. <http://dx.doi.org/10.1002/mds.21889>. PMID:18074391.
38. Bain PG, Liu X, Aziz TZ. Increase in the tactile catchment area of a sensory trick for alleviating blepharospasm following pallidal DBS. *Mov Disord*. 2009;24(4):624-6. <http://dx.doi.org/10.1002/mds.22374>. PMID:19185017.
39. Foote KD, Sanchez JC, Okun MS. Staged deep brain stimulation for refractory craniofacial dystonia with blepharospasm: case report and physiology. *Neurosurgery*. 2005;56(2):E415, discussion E415. <http://dx.doi.org/10.1227/01.NEU.0000147978.67424.42>. PMID:15670394.
40. Zauber SE, Watson N, Comella CL, Bakay RA, Metman LV. Stimulation-induced parkinsonism after posterovenral deep brain stimulation of the globus pallidus internus for craniocervical dystonia. *J Neurosurg*. 2009;110(2):229-33. <http://dx.doi.org/10.3171/2008.6.17621>. PMID:18976055.
41. Moro E, Gross RE, Krauss JK. What's new in surgical treatment for dystonia?. *Mov Disord*. 2013;28(7):1013-20. <http://dx.doi.org/10.1002/mds.25550>.
42. Kupsch A, Benecke R, Müller J, et al. Pallidal deep-brain stimulation in primary generalized or segmental dystonia. *N Engl J Med*. 2006;355(19):1978-90. <http://dx.doi.org/10.1056/NEJMoa063618>.
43. Vidailhet M, Vercueil L, Houeto JL, et al. Bilateral deep-brain stimulation of the globus pallidus in primary generalized dystonia. *N Engl J Med*. 2005;352(5):459-67. PMID:15689584.
44. Kupsch A, Tagliati M, Vidailhet M, et al. Early postoperative management of DBS in dystonia: Programming, response to stimulation, adverse events, medication changes, evaluations, and troubleshooting. *Mov Disord*. 2011;26(S1):S37-53. <http://dx.doi.org/10.1002/mds.23624>.
45. Cheung T, Noecker AM, Alterman RL, McIntyre CC, Tagliati M. Defining a therapeutic target for pallidal deep brain stimulation for dystonia. *Ann Neurol*. 2014;76:22-30. <https://doi.org/10.1002/ana.24187>.
46. Vercueil L, Houeto JL, Krystkowiak P, et al. Effects of pulse width variations in pallidal stimulation for primary generalized dystonia. *J Neurol*. 2007;254(11):1533-7. <http://dx.doi.org/10.1007/s00415-007-0578-8>.

CORRESPONDING AUTHOR

Fernanda Lopes Rocha Cobucci

Medical student

Medical School of ABC

São Paulo, Brazil

E-mail: fernanda.cobucci@aluno.fmabc.net

Funding: *nothing to declare.*

Conflicts of interest: *nothing to declare.*

Amyotrophic Lateral Sclerosis and Cervical Myelopathy overlap: a concise review for the spine surgeon

Sobreposição de Esclerose Lateral Amiotrófica e Mielopatia Cervical: uma revisão concisa para o cirurgião de coluna

Clara Sasse Scherer¹

Emily Stephani Keil¹

Marco Antônio Machado Schlindwein¹

Carlos Fernando Pereira da Silva Herrero²

Marcus Vinicius Magno Gonçalves³

ABSTRACT

Amyotrophic Lateral Sclerosis is a progressive condition caused by motor neuron deterioration in the spinal cord and brain. Cervical spondylotic myelopathy is a secondary spinal cord dysfunction, non-traumatic and progressive. Due to their similar initial symptoms and higher incidence in older individuals, it is not uncommon for an overlap of amyotrophic lateral sclerosis and cervical spondylotic myelopathy. The purpose of this paper is to differentiate amyotrophic lateral sclerosis of cervical spondylotic myelopathy and to elucidate, if possible, the overlap presentation of them. In summary, the amyotrophic lateral sclerosis and the cervical spondylotic myelopathy occur in similar epidemiology and can co-occur in almost half of amyotrophic lateral sclerosis patients. Their diagnosis must consider imaging exams, symptoms and clinical red flags that help to differentiate these two conditions, thus avoiding iatrogenic issues and misdiagnosis, which are associated with worse prognosis, inappropriate surgeries, and higher costs in healthcare.

Keywords: Amyotrophic lateral sclerosis; Spine surgery; Cervical myelopathy

RESUMO

A esclerose lateral amiotrófica é uma condição progressiva causada pela deterioração do neurônio motor na medula espinhal e no cérebro. A mielopatia espondilótica cervical é uma disfunção medular secundária, não traumática e progressiva. Devido aos sintomas iniciais semelhantes e maior incidência em indivíduos mais velhos, não é incomum a sobreposição de esclerose lateral amiotrófica e mielopatia espondilótica cervical. O objetivo deste trabalho é diferenciar a esclerose lateral amiotrófica da mielopatia espondilótica cervical e elucidar, se possível, a apresentação sobreposta destas. Em resumo, a esclerose lateral amiotrófica e a mielopatia espondilótica cervical ocorrem em pacientes com epidemiologia semelhante e podem coexistir em quase metade dos pacientes com esclerose lateral amiotrófica. O diagnóstico deve considerar os exames de imagem, sintomas e alertas clínicos que ajudam a diferenciar essas duas condições evitando, assim, iatrogenias e erros de diagnóstico, que estão associados a pior prognóstico, cirurgias inadequadas e maiores custos no atendimento.

Palavras-Chave: Esclerose lateral amiotrófica; Cirurgia na coluna; Mielopatia cervical

¹ Medical student, University of the Region of Joinville – UNIVILLE, Joinville, SC, Brazil.

² MD, University of Sao Paulo, USP, Ribeirão Preto, SP, Brazil.

³ MD, PhD, Neurologist, Department of Medicine, University of the Region of Joinville, UNIVILLE, Joinville, SC, Brazil.

Received Apr 17, 2022

Accepted May 15, 2022

INTRODUCTION

Amyotrophic Lateral Sclerosis (ALS) is a progressive condition caused by the deterioration of motor neurons in the spinal cord and brain, which epidemiology is large but geographically limited. In a literature review made by Chiò et al.¹, the most prevalent mean age of ALS onset was 62 years. ALS has a clinical spectrum that englobes spasticity, muscle atrophy, fasciculations, facial weakness, low palatal elevation, dysarthria, tongue fasciculations atrophy, slow speech, palm omental, and jaw jerk reflexes, as well as frontotemporal dementia². Cervical Spondylotic Myelopathy (CSM) is a non-traumatic and progressive secondary spinal cord dysfunction. According to Young, it is the most common cause of spinal cord dysfunction in adults³. It presents clinical motor manifestations, similar to ALS symptoms, except for the absence of the bulbar deficits and frontal dementia.

Due to their similar initial symptoms and higher incidence in older individuals, it is not uncommon an overlap of ALS and CSM⁴. When that happens, it can be late for the proper ALS diagnosis, resulting in inadequate treatment and, consequently, a possibility of iatrogenic action. The purpose of this paper is to differentiate ALS from CSM and to elucidate, if possible, their overlap presentation.

MATERIAL AND METHODS

A non-systematic review was performed with search on public databases: PubMed, Medline, ScienceDirect, SciELO and Cochrane. The searching process was performed using keywords related to Amyotrophic Lateral Sclerosis, Cervical Spondylotic Myelopathy and overlap of Amyotrophic Lateral Sclerosis and Cervical Spondylotic Myelopathy. The most relevant papers written in English were selected.

RESULTS

Amyotrophic lateral sclerosis

ALS is a motor neuron disease (MND) characterized by degenerative changes of upper and lower motor neurons with the

involvement of the brainstem and multiple spinal cord regions of innervation. ALS is caused by specific mechanisms of neuronal death that are currently unknown. Evidence supports the presence of autoimmune mechanisms that contribute to ALS pathogenesis⁵.

Many clinical presentations of ALS have been described, including lower motor signs like weakness in members, muscle atrophy and fasciculations. Also, upper motor signs like spasticity, facial weakness, low palatal elevation, dysarthria, tongue fasciculations and tongue atrophy. Bulbar signs involve slow speech and palm omental and jaw-jerk reflexes⁶. According to Picher-Martel et al.⁷, up to 50% of ALS patients may have symptoms of frontotemporal dementia, which include personality change, behavioral abnormalities, language dysfunction, and memory impairment.

Approximately 30% of patients with ALS have a cognitive impairment, and 10% of them have frontotemporal dementia, mostly the behavioral variant. The cognitive profile of ALS patients presents with deficits in fluency, language, social cognition, executive functions, and verbal memory with sparing of visual perception⁸. The higher-level executive impairment does not follow the same rate of declines as physical disability. Scientific evidence reveals that the relative resistance of pure oculomotor function is an objective mean of assessing extra motor cerebral involvement in ALS⁹.

Diagnosis of ALS is primarily determined by clinical manifestations, electromyography (EMG) and laboratory testing. It requires at least one of the following: the progression of upper motor neurons and lower motor neurons dysfunction in at least one limb or body region or lower motor neuron dysfunction in 1 region identified by clinical examination and/or by EMG in 2 regions (lumbosacral, bulbar, thoracic, cervical). The EMG findings consist of sharp waves and/or fibrillation and neurogenic potentials¹⁰. Laboratory tests are done in patients with ALS to exclude other conditions.

ALS has no curative treatment, and there are no studies with significant scientific evidence for interventions to manage the symptoms resulting from lower motor signs. The cornerstones of the management of ALS patients are focused on symptom control: drug therapy in case of pain and/or spasticity, mechanical ventilation for supporting respiratory function, treatment for sialorrhea, enteral tube feeding for supporting nutrition, therapeutic exercise, and multidisciplinary care¹¹.

Cervical spondylotic myelopathy

Cervical Spondylotic myelopathy (CSM) is in the group of non-traumatic spinal cord injuries (NTSCI), which epidemiology varies around the globe. In a literature review made by New et al.¹², the vertebral column degenerative disorders, including CSM, contribute to 64% of the cases of NTSCI in North America, while in Europe, the incidence is 31%; in North Africa/Middle East is 27%. In Asia, the South shows 16%, the Pacific 59%, Australasia 22%, while in Southwest and Oceania there are no numbers. Moreover, finally, Africa brings 27% in the East, 13% in the East, 22% in the West, and 4% in the Southern. Latin America has no data in this study. Thus, there is a lack of data on the exact incidence of CSM, with few population-based studies, except for those covering rates and discussions on surgical treatments of the condition.

CSM is caused by non-traumatic, progressive, and chronic cord compression. In other words, it is a secondary spinal cord dysfunction, which involves spasticity, hyperreflexia, neck pain or stiffness, wide-based ataxic gait, ascending paresthesia in the upper or lower extremities, lower extremity weakness, finger/hand clumsiness, pathologic reflexes, clonus and Babinski sign. Besides, in severe disease, there is a sphincter dysfunction^{13,14}. Degenerative disorders are more common at C5 and C6 or C6 and C7.

Diagnosis is suspected by one or more symptoms between hand clumsiness, gait imbalance, numbness, weakness, and bladder dysfunction besides cervical spinal cord signs like fine motor dysfunction of the hands, hyperreflexia, gait ataxia, sensory deficits, and focal weakness. Still, one must have the proof of compression on MRI¹⁵, which is the standard criterion for current diagnosis, made by the view of the spinal cord and nerve. Computed tomography and radiographs are helpful only to bring information about dynamic changes, quality, and bone alignment, which can be used for surgical guidance. Electromyography, likewise, holds value in the differential diagnosis of other neurological disorders, excluding the hypotheses of peripheral disorders, neuropathy, amyotrophic lateral sclerosis, and multiple sclerosis. Furthermore, finally, the somatosensory evoked potential, due to the more direct assessment of spinal cord dysfunction, can collaborate to CSM diagnosis, as Milligan and the authors approached it in their review.

There are no studies with significant scientific evidence for its determination regarding treatment, but it is known that Cervical

Spondylotic Myelopathy is a progressive disease. Therefore, at some point in the evolution of the disease, it will require surgical intervention, even with conservative treatment at first. Instead, it is empirical and presents scientific scarcity for further protocol, being made from physiotherapy, immobilization through the cervical collar, massages, medication with anti-inflammatories, and the removal of high impact activities^{15,16}. In surgical treatment, functional and clinical improvement are achieved, with some authors even indicating it for all patients diagnosed with CSM. The indication of each surgery is performed and evaluated by the surgeon by analyzing the patient's physiological and pathological characteristics, which can be facilitated using the JOA and Nurick scales, for example. Likewise, the risks and complications of each surgery are identified and studied by the surgeon. In anterior approaches, with the advantage of shorter operation time and risk of infection, the most indicated surgeries are anterior cervical discectomy with fusion (ACDF), which is the standard for interventions for degenerative cervical diseases, anterior cervical corpectomy with fusion (ACCF), and cervical arthroplasty. In the posterior approach, laminectomy with fusion and laminoplasty present similar evidence of prognosis achieved by patients, with the advantage of the absence of technical problems compared to those found in the anterior approach, such as obesity and short neck. When multiple cervical levels are affected, the posterior approach is preferred, and in exceptional cases, one can intervene with combined approaches¹⁷.

Cervical spondylotic myelopathy and amyotrophic lateral sclerosis overlap

As exposed, CSM and ALS are two clinical entities with very different natural histories. While ALS is usually a fatal condition with most patients dying in the first two years from diagnosis with variation depending on clinical phenotype and country of the patient, CSM is a treatable condition although a morbid one¹⁸⁻²¹.

An overlap of CSM and ALS is not uncommon because they are two conditions with a higher incidence in older individuals. One study shows that 4.2% of patients with ALS are submitted to a surgical procedure before diagnosis. Retrospectively, 81% of patients' symptoms were classified as clearly related to ALS. An important feature was that unilateral foot drop represented the symptom that leads to surgery in 21% of the cases⁴.

In a large national study in South Korea, it was found that ALS account for 0.19% of all myelopathies diagnosed patients, but 41.9% of ALS patients had concomitant myelopathy. CSM accounted

for 10% of these cases and associated higher surgery rates among other myelopathies diagnosed²².

In another study, Yamada et al.²³ found similar results with a prevalence of 48% of CSM in patients who have already ALS diagnosis, showing that the overlap of the conditions is common, and the presence of one of them does not exclude the diagnosis of the other one. Another study found that 13% of patients with ALS undergo unnecessary surgery for many reasons, including CSM before ALS diagnosis²⁴.

A more recent study with a larger sample found that 8.6% received inappropriate surgery, with CSM being the second more common reason before lumbar spine surgery. Interestingly, most of the patients (41/43) who undergo surgery had a limb onset ALS, and the other two patients with a bulbar onset had a cervical spine one²⁵.

In the study of Kim et al.²², it was found that 10% of patients with ALS undergo surgery, with the cervical spine being the second most common reason. In this study, it was found that 11% of the patients underwent conservative treatment for their symptoms, with a precise diagnosis made just for 24% of them. The other ones received treatment for their symptoms only²⁶.

Patients with ALS and myelopathy have higher surgery rates than patients with myelopathy isolated, showing that symptoms severity can mislead to inappropriate surgery²². A crucial piece of data found by Cellura et al.²⁷ brings that CSM was the most common misdiagnosis in ALS patients made by a neurologist, showing that even fellowship-trained neurologists are prone to diagnostic confusion between ALS and CSM. On the other hand, ALS diagnosed patients that had a cervical disease, although unusual, have been reported as well. In addition, patients first diagnosed with ALS were further evaluated to have CSM from various causes²⁸.

Surgery had no benefits in 89% of patients with ALS, and, obviously, even in the tiny sample that did refer to any benefits, these benefits were promptly overshadowed by ALS progression. Furthermore, the benefits of surgery in the small responsive sample were restricted to pain symptoms⁴.

Clinical, electrodiagnosis, and other differentiation tools

It is essential to address that sensibility symptoms are well-known differentiators between ALS and CSM⁴. However, the important overlap of the two conditions can shadow these differences because ALS patients can have sensibility symptoms, especially pain due to muscle atrophy and weakness²⁹ or caused by CSM itself. Therefore, a careful sensory, physical examination can help to show a spine level characteristic pattern and help to support CM diagnosis over ALS, although it should not be the only sign to close the diagnosis^{30,31}.

With that in mind, it is essential to remember that CSM can have a pure motor onset with no sensory symptoms, which, in this setting, should be borne in mind a particular CSM phenotype, the Cervical Spondylotic Amyotrophy (CSA) that presents with no sensory signs and is associated with upper limb atrophy^{30,32}. In these cases of CSA, the disease tends to be restricted to one upper limb, although bilateral disease can be present, and there is not such atrophy or symptomatology in the lower limbs³². In addition, the hand muscle atrophy pattern can help to differentiate ALS and distal type CSA³³.

In cases with no sensory affection and only motor presentation, the differentiation of ALS from CSM is clinically easier to be made when patients have a bulbar onset. In these cases, the misdiagnosis can occur but it is rarely made²⁶. The problem is that only 23% of ALS have bulbar onset in disease, although bulbar symptoms are common with disease progression and should be actively investigated in older patients reaching a spine surgeon for cervical pathology complaints with limb weakness⁶.

Other symptom that may help differentiate ALS from CSM is sphincter dysfunction, that points towards CSM in detrimental to ALS³⁰.

Although it is still challenging to be evaluated in inexperienced hands, an emerging picture that is growing is that of cognitive and executive function alterations in ALS⁸. As a neurodegenerative condition that shares pathophysiological mechanisms with frontotemporal dementia, ALS when presented with this board can be helpful in a diagnostic challenge between ALS and CSM. Among the signs, it presents deficits in verbal fluency, semantic memory, and in almost every other cognitive domain^{29,34}.

In this field, executive dysfunction – eye-tracking alterations, anti-saccadic tests, and other oculomotor alterations – can be altered in ALS patients compared to controls. Therefore, it may pose an additional sign to differentiate ALS and its mimics, even though further studies results are needed^{9,35,36}.

Usual imaging studies should not differentiate ALS and CSM once the overlap between these conditions is not uncommon^{4,23}. However, diffusion coefficient in MRI evaluation apparently can differentiate CSM from ALS, as demonstrated in a study conducted by Koike et al.³⁷.

The main exam used to differentiate ALS and CSM is the electroneuromyography (EMG). With that aim, especially in lower limbs, EMG can show lower motor neuron degeneration in areas with clinically preserved function³⁸. Important sensory nerve conduction alterations are not uncommon in ALS and should not exclude the diagnosis when present³⁹.

Hand muscle's pattern of affection in EMG is different in ALS and CSA and can help to differentiate the conditions. The ulnar/median compound muscle action potential (CMAP) ratio is a helpful tool as well. It is customary in patients with CM and less in patients with motor neuron disease⁴⁰. The repetitive nerve stimulation with CMAPs decrements in at least one proximal muscle is again much more common in ALS patients (91%) than CSA patients (32.6%)⁴¹.

In a recent study, Zheng et al.⁴² evaluated the motor unit number index (MUNIX) to quantify the split hand phenomenon to help to differentiate CSA and ALS. The authors found a larger area under the curve using MUNIX when compared to CMAP.

DISCUSSION

ALS and CSM are conditions that occur in similar patient's epidemiology and can co-occur in almost half of ALS patients^{19,23}. Once ALS is an inexorably severe condition, surgery in these patients brings no results except diagnostic delay and an iatrogenic procedure⁴⁽²²⁾. Surgery is associated with diagnostic delay as well²⁵.

As exposed earlier, ALS diagnosis is not simple, and confusion with CSM is not restricted to a single specialty and occurs even with fellowship-trained neurologists²⁷.

Limb-onset disease accounts for significant diagnostic errors and a higher risk of surgery. Specifically, orthopedists were associated with diagnostic delay in limb onset ALS patients, and it could be associated with a higher surgery rate in patients with ALS overlapped with CSM^{22,25,43}.

Even though ALS is an incurable condition nowadays, multidisciplinary care in reference is associated with lower costs in disease conduction and better outcomes for the patients⁴⁴.

This fact highlights the importance of being aware that symptoms can help to differentiate cervical spine affections from ALS, since spine surgeons are frequently the first or second physicians seen by an ALS patient. In addition, by relying solely on imaging techniques to diagnose, CSM can severely affect patients if they have ALS overlapped. Thus, spine surgeons should be aware of clinical aspects that help to differentiate these two conditions and their significant EMG alterations, thus preventing unnecessary surgery and improving care in such burdensome conditions.

Importantly, when facing a patient with signs of MND which might be attributed to a cervical myelopathy, the Ockham rule doesn't fit. Therefore, the only and simplest answer that addresses all the symptoms is perhaps the wrong one.

CONCLUSIONS

In conclusion, imaging studies cannot be the only reliable exam to diagnose CSM, especially in patients with suspected ALS. Misdiagnosis is associated with worse prognosis, inappropriate surgeries, and higher costs in ALS patients' care.

REFERENCES

1. Chiò A, Logroscino G, Traynor BJ, et al. Global epidemiology of amyotrophic lateral sclerosis: a systematic review of the published literature. *Neuroepidemiology*. 2013;41(2):118-30. <http://dx.doi.org/10.1159/000351153>. PMID:23860588.
2. Brown RH, Al-Chalabi A. Amyotrophic lateral sclerosis. *N Engl J Med*. 2017;377(2):162-72. <http://dx.doi.org/10.1056/NEJMra1603471>. PMID:28700839.
3. Shedid D, Benzel EC. Cervical spondylosis anatomy: pathophysiology and biomechanics. *Neurosurgery*. 2007;60(1, Suppl. 1):S7. <http://dx.doi.org/10.1227/01.NEU.0000215430.86569.C4>. PMID:17204889.
4. Yoshor D, Klugh A 3rd, Appel SH, Haverkamp LJ. Incidence and characteristics of spinal decompression surgery after the onset of symptoms of amyotrophic lateral sclerosis. *Neurosurgery*. 2005;57(5):984-9. <http://dx.doi.org/10.1227/01.NEU.0000180028.64385.d3>. PMID:16284567.
5. Ralli M, Lambiase A, Artico M, Vincentiis M, Greco A. Amyotrophic lateral sclerosis: autoimmune pathogenic mechanisms, clinical features, and therapeutic perspectives. *Isr Med Assoc J*. 2019;21(7):438-43. PMID:31507117.
6. Walhout R, Verstraete E, van den Heuvel MP, Veldink JH, van den Berg LH. Patterns of symptom development in patients with motor neuron disease. *Amyotroph Lateral Scler Frontotemporal Degener*. 2018;19(1-2):21-8. <http://dx.doi.org/10.1080/21678421.2017.1386688>. PMID:29037065.
7. Picher-Martel V, Valdmanis PN, Gould PV, Julien JP, Dupré N. From animal models to human disease: a genetic approach for personalized medicine in ALS. *Acta Neuropathol Commun*. 2016;4(1):70. <http://dx.doi.org/10.1186/s40478-016-0340-5>. PMID:27400686.
8. Beeldman E, Raaphorst J, Twennaar MK, de Visser M, Schmand BA, de Haan RJ. The cognitive profile of ALS: a systematic review and meta-analysis update. *J Neurol Neurosurg Psychiatry*. 2016;87(6):611-9. <http://dx.doi.org/10.1136/jnnp-2015-310734>. PMID:26283685.
9. Proudfoot M, Menke RAL, Sharma R, et al. Eye-tracking in amyotrophic lateral sclerosis: a longitudinal study of saccadic and cognitive tasks. *Amyotroph Lateral Scler Frontotemporal Degener*. 2015;17(1-2):101-11. <http://dx.doi.org/10.3109/21678421.2015.1054292>. PMID:26312652.
10. Hulisz D. Amyotrophic lateral sclerosis: disease state overview. *Am J Manag Care*. 2018;24(15, Suppl.):S320-6. PMID:30207670.
11. Ng L, Khan F, Young CA, Galea M. Symptomatic treatments for amyotrophic lateral sclerosis/motor neuron disease. *Cochrane Database Syst Rev*. 2017;1(1):CD011776. <http://dx.doi.org/10.1002/14651858.CD011776.pub2>. PMID:28072907.
12. New PW, Cripps RA, Lee BB. Global maps of non-traumatic spinal cord injury epidemiology: towards a living data repository. *Spinal Cord*. 2014;52(2):97-109. <http://dx.doi.org/10.1038/sc.2012.165>. PMID:23318556.
13. Pinto EM, Teixeira A, Frada R, Atilano P, Oliveira F, Miranda A. Degenerative cervical myelopathy: a review of current concepts. *Coluna/Columna*. 2020;19(4):302-7. <http://dx.doi.org/10.1590/s1808-185120201904233163>.
14. Kane SF, Abadie KV, Willson A. Degenerative cervical myelopathy: recognition and management. *Am Fam Physician*. 2020;102(12):740-50. PMID:33320508.
15. Milligan J, Ryan K, Fehlings M, Bauman C. Degenerative cervical myelopathy diagnosis and management in primary care. *Can Fam Physician*. 2019;65(9):619-24. PMID:31515310.
16. Coutinho TP, Iutaka AS, Cristante AF, et al. Functional assessment of patients with cervical myelopathy who underwent surgical treatment. *Coluna/Columna*. 2014;13(1):23-6. <http://dx.doi.org/10.1590/S1808-18512014130100217>.
17. Nagib Valente Chaar V, De Freitas Escudeiro A, Carneiro Marinho P, et al. Tratamento cirúrgico das doenças degenerativas da coluna cervical: revisão de literatura. *J Bras Neurocir*. 2019;30(1):41-52. <http://dx.doi.org/10.22290/jbnc.v30i1.1821>.
18. Traynor BJ, Codd MB, Corr B, Forde C, Frost E, Hardiman OM. Clinical features of amyotrophic lateral sclerosis according to the El Escorial and Airlie House diagnostic criteria: a population-based study. *Arch Neurol*. 2000;57(8):1171-6. <http://dx.doi.org/10.1001/archneur.57.8.1171>. PMID:10927797.
19. Sabatelli M, Madia F, Conte A, et al. Natural history of young-adult amyotrophic lateral sclerosis. *Neurology*. 2008;71(12):876-81. <http://dx.doi.org/10.1212/01.wnl.0000312378.94737.45>. PMID:18596241.
20. Chen L, Zhang B, Chen R, et al. Natural history and clinical features of sporadic amyotrophic lateral sclerosis in China. *J Neurol Neurosurg Psychiatry*. 2015;86(10):1075-81. <http://dx.doi.org/10.1136/jnnp-2015-310471>. PMID:26124198.
21. Chancellor AM, Slattery JM, Fraser H, Swingler RJ, Holloway SM, Warlow CP. The prognosis of adult-onset motor neuron disease: a prospective study based on the Scottish Motor Neuron Disease Register. *J Neurol*. 1993;240(6):339-46. <http://dx.doi.org/10.1007/BF00839964>. PMID:8336173.
22. Kim JM, Park JH, Kim HS, et al. Epidemiology and diagnostic process of amyotrophic lateral sclerosis as distinct from myelopathy: 5-year cohort study of whole-population in South Korea. *Amyotroph Lateral Scler Frontotemporal Degener*. 2018;19(7-8):547-54. <http://dx.doi.org/10.1080/21678421.2018.1491600>. PMID:30421999.
23. Yamada M, Furukawa Y, Hirohata M. Amyotrophic lateral sclerosis: frequent complications by cervical spondylosis. *J Orthop Sci*. 2003;8(6):878-81. <http://dx.doi.org/10.1007/s00776-003-0712-0>. PMID:14648282.
24. Srinivasan J, Scala S, Jones HR, Saleh F, Russell JA. Inappropriate surgeries resulting from misdiagnosis of early amyotrophic lateral sclerosis. *Muscle Nerve*. 2006;34(3):359-60. <http://dx.doi.org/10.1002/mus.20555>. PMID:16609978.

25. Lee JW, Kang SW, Choi WA. Clinical course of amyotrophic lateral sclerosis according to initial symptoms: an analysis of 500 cases. *Yonsei Med J.* 2021;62(4):338-43. <http://dx.doi.org/10.3349/yjmj.2021.62.4.338>. PMID:33779087.
26. Kang S-W, Kim S, Choi W, Park J-H, Lee Y, Yu SJ. Survey on the diagnostic process of amyotrophic lateral sclerosis. *J Korean Acad Rehab Med.* 2011;35:110-4.
27. Cellura E, Spataro R, Taiello AC, La Bella V. Factors affecting the diagnostic delay in amyotrophic lateral sclerosis. *Clin Neurol Neurosurg.* 2012;114(6):550-4. <http://dx.doi.org/10.1016/j.clineuro.2011.11.026>. PMID:22169158.
28. Storti B, Diamanti S, Tremolizzo L, et al. ALS mimics due to affection of the cervical spine: from common compressive myelopathy to rare CSF epidural collection. *Case Rep Neurol.* 2021;13(1):145-56. <http://dx.doi.org/10.1159/000512810>. PMID:33790773.
29. Hervieu-Bègue M, Rouaud O, Graule Petot A, Cateau A, Giroud M. Semantic memory assessment in 15 patients with amyotrophic lateral sclerosis. *Rev Neurol.* 2016;172(4-5):307-12. <http://dx.doi.org/10.1016/j.neurol.2015.10.009>. PMID:27157419.
30. Toledano M, Bartleson JD. Cervical spondylotic myelopathy. *Neurol Clin.* 2013;31(1):287-305. <http://dx.doi.org/10.1016/j.ncl.2012.09.003>. PMID:23186905.
31. Karadimas SK, Erwin WM, Ely CG, Dettori JR, Fehlings MG. Pathophysiology and natural history of cervical spondylotic myelopathy. *Spine.* 2013;38(22, Suppl. 1):S21-36. <http://dx.doi.org/10.1097/BRS.0b013e3182a7f2c3>. PMID:23963004.
32. Luo W, Li Y, Xu Q, Gu R, Zhao J. Cervical spondylotic amyotrophy: a systematic review. *Eur Spine J.* 2019;28(10):2293-301. <http://dx.doi.org/10.1007/s00586-019-05990-7>. PMID:31037421.
33. Fang J, Liu MS, Guan YZ, et al. Pattern differences of small hand muscle atrophy in amyotrophic lateral sclerosis and mimic disorders. *Chin Med J.* 2016;129(7):792-8. <http://dx.doi.org/10.4103/0366-6999.178953>. PMID:26996473.
34. Abrahams S, Leigh PN, Harvey A, Vythelingum GN, Gris  D, Goldstein LH. Verbal fluency and executive dysfunction in amyotrophic lateral sclerosis (ALS). *Neuropsychologia.* 2000;38(6):734-47. [http://dx.doi.org/10.1016/S0028-3932\(99\)00146-3](http://dx.doi.org/10.1016/S0028-3932(99)00146-3). PMID:10689049.
35. Cozza F, Lizio A, Greco LC, et al. Ocular involvement occurs frequently at all stages of amyotrophic lateral sclerosis: preliminary experience in a large Italian cohort. *J Clin Neurol.* 2021;17(1):96-105. <http://dx.doi.org/10.3988/jcn.2021.17.1.96>. PMID:33480204.
36. Sharma R, Hicks S, Berna CM, Kennard C, Talbot K, Turner MR. Oculomotor dysfunction in amyotrophic lateral sclerosis: a comprehensive review. *Arch Neurol.* 2011;68(7):857-61. <http://dx.doi.org/10.1001/archneurol.2011.130>. PMID:21747027.
37. Koike Y, Kanazawa M, Terajima K, et al. Apparent diffusion coefficients distinguish amyotrophic lateral sclerosis from cervical spondylotic myelopathy. *Clin Neurol Neurosurg.* 2015;132:33-6. <http://dx.doi.org/10.1016/j.clineuro.2015.02.009>. PMID:25746320.
38. Lenglet T, Camdessanch  JP. Amyotrophic lateral sclerosis or not: keys for the diagnosis. *Rev Neurol.* 2017;173(5):280-7. <http://dx.doi.org/10.1016/j.neurol.2017.04.003>. PMID:28461025.
39. Pugdahl K, Fuglsang-Frederiksen A, Johnsen B, et al. A prospective multicentre study on sural nerve action potentials in ALS. *Clin Neurophysiol.* 2008;119(5):1106-10. <http://dx.doi.org/10.1016/j.clinph.2008.01.010>. PMID:18313354.
40. Jin X, Jiang JY, Lu FZ, Xia X-L, Wang L-X, Zheng C-J. Electrophysiological differences between Hirayama disease, amyotrophic lateral sclerosis and cervical spondylotic amyotrophy. *BMC Musculoskelet Disord.* 2014;15(1):349. <http://dx.doi.org/10.1186/1471-2474-15-349>. PMID:25319248.
41. Zheng C, Jin X, Zhu Y, Lu F, Jiang J, Xia X. Repetitive nerve stimulation as a diagnostic aid for distinguishing cervical spondylotic amyotrophy from amyotrophic lateral sclerosis. *Eur Spine J.* 2017;26(7):1929-36. <http://dx.doi.org/10.1007/s00586-017-5060-4>. PMID:28364332.
42. Zheng C, Song J, Zhu Y, et al. Motor unit number index (MUNIX) in the quantitative assessment of severity and surgical outcome in cervical spondylotic amyotrophy. *Clin Neurophysiol.* 2019;130(9):1465-73. <http://dx.doi.org/10.1016/j.clinph.2019.05.023>. PMID:31288157.
43. Kano O, Iwamoto K, Ito H, et al. Limb-onset amyotrophic lateral sclerosis patients visiting orthopedist show a longer time-to-diagnosis since symptom onset. *BMC Neurol.* 2013;13(1):19. <http://dx.doi.org/10.1186/1471-2377-13-19>. PMID:23394455.
44. Galvin M, Ryan P, Maguire S, et al. The path to specialist multidisciplinary care in amyotrophic lateral sclerosis: a population-based study of consultations, interventions and costs. *PLoS One.* 2017;12(6):e0179796. <http://dx.doi.org/10.1371/journal.pone.0179796>. PMID:28640860.

CORRESPONDING AUTHOR

*Emily Stephani Keil**Medical student**University of the Region of Joinville – UNIVILLE**Joinville, Santa Catarina, Brazil**E-mail: emily.univille@gmail.com**Funding: nothing to disclose.**Conflicts of interest: nothing to disclose.*

Fratura do seio frontal. Condutas e desafios: uma revisão de literatura

Frontal sinus fracture. Conducts and challenges: a literature review

Lauro Roberto de Azevedo Setton¹

Antonio Roberto Ferreira Setton^{2,3}

Yuri Mark dos Santos Ribeiro⁴

Mathias Luca Melo Alves¹

Carlos Umberto Pereira⁵

RESUMO

Introdução: A fratura do seio frontal (FSF) é uma entidade de grande importância devido à sua incidência e tratamentos complexos, ocorre em cerca de 5 a 15% dos traumas de face e se apresenta quatro vezes mais em homens. O tratamento da FSF varia da observação clínica até o processo cirúrgico de cranialização do seio. **Objetivo:** O presente estudo pretende nortear a comunidade médica a respeito do cenário atual do manejo das fraturas de seio frontal, principais condutas e desafios. **Metodologia:** Trata-se de uma revisão de literatura utilizando as bases de dados PubMed, Google Scholar e Scielo utilizando os termos: “Frontal sinus”, “Frontal bone” e “Facial injuries”. Foram selecionados artigos de 2006 a 2022, resultando em 52 artigos que foram submetidos à revisão sistemática. **Resultados:** Existe uma grande controvérsia com relação ao tratamento específico empregado nos casos de FSF, devendo-se levar em conta que mais benefícios têm se mostrado em técnicas conservadoras em detrimento das terapêuticas mais agressivas. **Conclusão:** A literatura tem demonstrado um melhor desfecho nos tratamentos conservadores em detrimento dos cirúrgicos quando estes são passíveis de eleição e os prejuízos da falta de uma classificação unificada para as fraturas de seio frontal em especial na população pediátrica.

Palavras-Chave: Seio frontal; Traumatismo cranioencefálico; Tratamento; Complicações

ABSTRACT

Introduction: The frontal sinus fracture (FSF) is an entity of great importance due to its incidence and complex treatment, it occurs in about 5-15% of facial traumas and presents four-fold number more in men. The treatment of FSF varies from clinical observation to the surgical process of sinus cranialization. **Objective:** The present study aims to guide the medical community regarding to the current scenario of the management of frontal sinus fractures, main conducts and challenges. **Methodology:** This is a literature review using PubMed, Google Schollar and Scielo databases using the terms: “Frontal sinus”, “Frontal bone” and “Facial injuries”. Articles from 2006 to 2022 were selected, resulting in 52 articles that were submitted to a systematic review. **Results:** There is a great controversy regarding to the specific treatment used in cases of FSF, considering that more benefits have been shown in conservative techniques at the expense of more aggressive therapies. **Conclusion:** The literature has shown a better outcome in conservative treatments over surgical ones when these are amenable to be chosen and the disadvantages of the lack of a unified classification for frontal sinus fractures, especially in the pediatric population.

Keywords: Frontal sinus; Traumatic brain injury; Treatment; Complications

¹ Medical student, University Tiradentes, Aracaju, SE, Brazil.

² MD, PhD, Otorrhinolaryngologist, Service of ORL, Hospital de Urgências de Sergipe – HUSE, Aracaju, SE, Brazil.

³ Auxiliar coordinator, Program of Hearing Health, São Jose Hospital, Aracaju, SE, Brazil.

⁴ MD, Emergency Medicine, Ala Vermelha, Hospital de Urgências de Sergipe – HUSE, Aracaju, SE, Brazil.

⁵ MD, PhD, Neurosurgeon, Neurosurgery Service, Hospital de Urgências de Sergipe – HUSE, Aracaju, SE, Brazil.

Received May 31, 2022

Accepted Jul 12, 2022

INTRODUÇÃO

A fratura do seio frontal (FSF) é uma entidade de grande importância para a saúde pública devido à sua incidência e aos mecanismos de trauma implicados. Deste modo, a incidência tem predominância pelo sexo masculino¹⁻⁶, com uma proporção de 4:1 e entre a segunda e terceira décadas de vida^{1-3,5}. Além disso, alguns estudos relatam uma relação íntima entre o trauma de face e a baixa escolaridade dos pacientes. Segundo Muller et al.⁷, seu trabalho demonstrou uma taxa de 64% dos pacientes com ensino médio incompleto ou inferior a este⁷⁻⁹. Sabe-se atualmente que a grande maioria das FSF apresentam uma origem traumática, podendo ela estar relacionada a agressões físicas, ferimento por arma de fogo (FAF), acidentes automobilísticos e acidentes de trabalho, em especial na construção civil, sendo o acidente automobilístico a causa mais comum, acometendo cerca de 58,3% a 70% dos casos, e vale ressaltar que cerca de 41,7% dos pacientes estão associados ao consumo alcoólico antes do acidente^{1-5,7,10-30}. Em contrapartida, estudos atuais têm apontado para uma redução das taxas correspondentes aos acidentes automobilísticos devido à obrigatoriedade por lei do uso do cinto de segurança e produção de carros constando com mecanismo de airbag. Sendo assim, associado a este fato, é possível perceber um aumento proporcional nas taxas correspondentes à violência interpessoal em detrimento dos acidentes automobilísticos^{7,31,32}. Deste modo, o impacto social e econômico da FSF é algo que deve ser considerado, pois tais fraturas representam cerca de 5 a 15% de todas as fraturas faciais^{5,8,10-18,21,23,24,26,27,29,30,33-41}.

Apesar da rigidez da tábua externa do osso frontal, associado ao fato da mesma estar resguardada por estruturas como proeminência supraorbital a qual confere maior resistência a mesma, as lesões mais comuns acometem a tábua externa de forma associada ou não à tábua interna^{13,27,42}. Deste modo, as fraturas ocorrem mais comumente nas tábuas externa e interna de forma conjunta em $\frac{2}{3}$ dos casos; de forma isolada na tábua externa em $\frac{1}{3}$; e de forma isolada na tábua interna em menos de 1% dos casos. Visto isso, as fraturas que apresentam maior gravidade são as que atingem a tábua interna do osso frontal ou assoalho do seio e ducto nasofrontal^{8-11,13,18,21,24,27,41}. As FSF na população pediátrica são extremamente raras devido a alguns fatores, a exemplo da fiscalização dos pais, maior elasticidade do esqueleto, pneumatização incompleta do SF e proporção pequena entre face e crânio, tais fatores também acarretam uma maior taxa de condutas conservadoras para a população pediátrica^{10,22}.

METODOLOGIA

Trata-se de uma revisão de literatura realizada por meio de pesquisas nas bases de dados: PubMed, Scielo e Google Scholar. Foram utilizados como descritores: “Frontal sinus”, “Frontal Bone” e “Facial Injuries”, selecionando artigos com recorte temporal de 2006 a 2022, resultando em um total de 52 artigos que preencheram os critérios de inclusão levando em conta suas citações, seus respectivos impactos e conteúdo de acordo com o tema.

Embriologia e anatomia do SF

O seio frontal (SF) é uma estrutura de grande importância que está situada na transição do esplanocrânio e neurocrânio articulando-se a partir das suturas frontozigomática, frontonasal e frontomaxilar^{1,10}. Trata-se de uma estrutura derivada do recesso frontal que é parte do meato médio e células etmoidais, e encontra-se situada entre a tábua interna do osso frontal, que é uma estrutura laminar e fina contendo cerca de 0,1 a 4 mm de espessura, e tábua externa do osso frontal a qual apresenta cerca de 2 a 12 mm de espessura e forma parte das regiões da sobrancelha, glabella e fronte^{10-13,33,36,43}. O SF está intimamente relacionado com estruturas nobres como o teto da órbita, células etmoidais, nariz e principalmente a fossa cerebral anterior^{8,10,11,13-15,43-45}. Tem sua formação iniciada a partir da 4ª semana de gestação^{16,17,33,43}, porém geralmente está ausente ao nascimento sendo o último dentre os seios paranasais a se desenvolver completamente entre os 10 e 15 anos de idade, com sua máxima pneumatização dos 18 aos 20 anos^{8,10,11,13,15,33-35,43}. Já é possível visualizá-lo radiograficamente desde os 3 aos 6 anos^{11,17,33,43}, e vale ressaltar que o SF é associado a algumas variações anatômicas. Assim, apenas 1 a 10% da população não apresenta SF^{11,33,43} e 4 a 5% apresenta apenas uma célula aerada¹¹, além disso, apenas 15% da população apresenta o ducto nasofrontal verdadeiro¹⁰.

Em sua morfologia, o SF possui altura de cerca de 28 a 32 mm, com largura e profundidade de 20 mm, formando um espaço de 5 a 16 ml^{8,17,33}, porém o tamanho e a forma do seio variam entre os indivíduos sobretudo nos lados direito e esquerdo de uma mesma pessoa, além disso, o mesmo apresenta paredes irregulares e recortadas em suas margens e um septo interno, podendo haver pequenas septações de osso completo, ou não, que o separam em múltiplos subcompartimentos^{8,11,33}. Nota-se um vasto suprimento arterial o qual ocorre às custas dos ramos das artérias esfenopalatina, oftálmica, supraorbital, supratrocLEAR e etmoidal anterior^{17,33}. A drenagem venosa é de grande importância

devido à sua comunicação com o arcabouço intracraniano, sendo feita a partir das veias diploicas, já a inervação sensorial do SF é de responsabilidade de ramos do nervo trigêmeo^{17,33}.

Fisiopatologia da FSF

As lesões ocorrem quando a energia cinética transferida para o corpo excede a tolerância do tecido. A probabilidade de lesão está relacionada à quantidade de energia transferida e à condição do tecido subjacente^{1-4,8,12,18-23}. O SF contém epitélio respiratório pseudoestratificado colunar ciliado recoberto por uma camada de mucina^{8,12,17,21,36} e sabe-se que os cílios batem a uma taxa de cerca de 250 a 600 ciclos por minuto, direcionando o fluxo de mucina da face medial para a lateral do SF⁸, a quantidade de drenagem de mucina é de cerca de 5 g/cm. A drenagem do SF é prejudicada quando o ducto nasofrontal fica danificado ou obstruído, pois o muco pode posteriormente acumular-se atrás do ducto obstruído e uma mucocela pode desenvolver-se e atuar como um tumor em expansão⁸. Dessa forma, o ambiente se torna propício ao desenvolvimento anaeróbico de bactérias, aumentando o risco de sinusite frontal envolvendo o conteúdo intracraniano⁸.

É sabido, atualmente, que as FSF ocorrem por meio de mecanismos de alto impacto com grande dissipação de energia necessitando de um vetor de força com uma média de 360 a 990 kg, logo, nota-se que o trauma nessa região requer maior força para fraturar do que qualquer outro osso facial^{8,10,11,14,17,33,35,36,42}. Segundo Buller et al.⁴⁶, há uma relação inversa entre o tamanho do seio e a força necessária para fraturá-lo. Logo, quanto maior o SF, menor a força necessária para lesá-lo⁴⁶. As fraturas de SF de maior impacto frequentemente acometem a tábua interna do osso frontal e, infelizmente, tais fraturas estão intimamente ligadas a injúria da órbita ocular, sistema nervoso central, terço médio da face e partes moles adjacentes, sendo assim, com relação às fraturas da face associadas a fratura do SF temos: fraturas expostas (20,8%); fraturas nasais (16,7 a 41,7%); fraturas de arco zigomático (33,3%); fraturas maxilares (12,5%) e fraturas de mandíbula (8,4%)^{7,11,18}. Em cerca de 76% dos pacientes que sofrem FSF com acometimento de tábua externa e interna cursam com alteração do nível de consciência, a qual pode-se associar com injúrias importantes do sistema nervoso como hemorragias intracranianas (10 a 20,9%); pneumoencéfalo (8 a 33%); fistulas liquóricas (12,5 a 25%); fraturas de base de crânio (12,5%) e compressão do nervo óptico (4,2%)^{11,24,47}. Além disso, 42% dos pacientes acometidos pela fratura de tábua interna irão cursar com comprometimento neurológico permanente, 59% com comprometimento da órbita e 25% apresentarão mortalidade

aumentada^{24,25}. O paciente com FSF apresenta fratura panfacial na grande maioria dos casos. Assim, nas fraturas de seios frontal e seios etmoidais cerca de 70% destes irão apresentar fraturas compostas e 35% apresentarão anosmia^{11,24}.

Diagnóstico

A FSF deve ser suspeitada em qualquer paciente que apresente lesão na região superior da face¹⁰ e deve ser manejada inicialmente como qualquer trauma a partir do protocolo de XABCDE garantindo via aérea pérvia, oxigenação e respiração adequada, estabilidade hemodinâmica e triagem neurológica rápida¹⁴. Após a triagem inicial segue-se com o exame clínico o qual apresenta dor, edema, equimose periorbital, hematoma, lacerações na frente, alterações de sensibilidade, crepitações, irregularidades ósseas e outros achados menos comuns, como exoftalmia, enoftalmia e perda de projeção do terço médio da face^{1,4,9,33,48}. Atualmente, tem-se o consenso de que o exame clínico associado a exames complementares, como radiografia simples, tomografia computadorizada (TC) e ressonância magnética (RM), são de essencial importância para guiar o tratamento do paciente com FSF^{11,33}. A utilização de raios-X de crânio com incidências anteroposterior, perfil, Towne, Waters e Caldwell, é de fundamental importância para o atendimento inicial do paciente traumatizado²¹, porém a utilização da TC de crânio e face com cortes sagitais, coronais e axiais somados à reconstrução tridimensional constituem-se como o padrão-ouro. Além disso, a videoendoscopia nasal promove uma melhor avaliação e melhor tomada de decisão para o desfecho do paciente^{1,5,10,11,17,44}.

Determinadas condutas devem ser tomadas na abordagem inicial do paciente no momento da admissão como, por exemplo, avaliação de sinais vitais e nível de consciência, assim como, realizar uma avaliação mais detalhada do acometimento do SF palpando a região da glabella, crista supraorbital e tecidos moles do fronte verificando a presença de afundamentos e lacerações, além de descrição de possíveis hemorragias intra e extracranianas, anestesia em região supraorbital e presença de equimose peripalpebral^{8,10,11}. A presença de irregularidades na região da frente no primeiro atendimento sugerem possível acometimento olfatório e a presença de lacerações é indicativo de lesão de estruturas mais profundas. Vale ressaltar que, quando existe afundamento na região supraorbital deve-se cogitar a injúria de SF, ducto nasofrontal, nervos supraorbitais e formação de fístula liquórica.

A suspeita da formação de fistula liquórica deve ser levantada em qualquer lesão de base de crânio, mas em especial na saída de secreção hialina da cavidade nasal “runny nose” e deve ser confirmada através de exames direcionados^{1,19}. Um dos principais modos de diagnóstico inicial da fistula liquórica é por meio das fitas (*dipstick*) as quais são utilizadas no controle glicêmico da diabetes, a fita funciona mensurando a glicemia presente na secreção e seu resultado é dado a partir da comparação entre a glicemia da secreção e a glicemia sanguínea, caso a glicemia da secreção seja superior a 30 mg/dL ou represente $\frac{2}{3}$ da glicemia sanguínea, o resultado aponta a favor de fistula liquórica¹⁹. Apesar da fita *dipstick* ser um método rápido e não invasivo, a mesma apresenta taxas consideráveis de falso-positivo e falso-negativo, seus resultados podem ser falseados na presença de lágrimas no momento da coleta da amostra e em vigência de quadro de meningite, o qual reduz a taxa de glicose presente no LCR, sendo assim, devido a tais motivos o método tem perdido credibilidade nos últimos anos¹⁹.

De forma mais específica, temos o teste de dosagem de Beta 2-transferina que tem se demonstrado nos últimos anos ser um teste de alta especificidade e sensibilidade para o diagnóstico preciso da fistula liquórica, sendo necessários apenas 50 microlitros para dosar a proteína específica do LCR. Sendo assim, é considerado o teste de escolha para conferir tal diagnóstico, porém infelizmente, trata-se de um método ainda indisponível em alguns centros o que dificulta a sua utilização^{10,11,19,37}.

Outros exames de imagem e métodos invasivos, os quais permitem uma maior assertividade quanto ao diagnóstico da fistula, são a TC de seios da face, endoscopia nasal, TC com cisternografia, RM de seios da face e a administração de fluoresceína intratecal para melhor visualização da lesão no ato cirúrgico. A utilização da fluoresceína intratecal é feita a partir da administração de 2 ml de fluoresceína a 5% no espaço subaracnoideo e o paciente é posicionado em Trendelenburg durante cerca de 30 min. Feito isso, o paciente é avaliado endoscopicamente sob a utilização de luz ultravioleta com o objetivo de discriminar locais de falha óssea em que a fluoresceína irá se apresentar com uma coloração amarelada. Vale ressaltar que a fluoresceína é passível de causar complicações, a exemplo de fraqueza em extremidades, convulsões, torpor e déficit de nervos cranianos, mas são complicações raras e reversíveis.

Classificação das fraturas de SF

Ainda nos dias atuais não dispomos de uma classificação universal para as FSF, porém alguns autores propõem algumas classificações

baseadas na avaliação clínica, achados radiológicos, localização, extensão do trauma, grau de depressão da fratura e envolvimento do ducto nasofrontal^{8,12,24,37}. Deste modo, temos a classificação de Gonty a qual classifica as fraturas em tipo 1, 2, 3 e 4 e seus subtipos (Quadro 1)⁸. Além disso, temos outras classificações mais diretas como a classificação proposta por Naidu et al.³⁷ em que as fraturas são dispostas em tipos A, B e C. A fratura tipo A envolve a parede anterior do SF, a tipo B envolve a parede posterior do seio e a tipo C acomete a região frontobasal, porém não compromete o SF³⁷. Devido às diferenças anatômicas e o constante processo de desenvolvimento, os pacientes pediátricos possuem uma classificação própria, tal como a classificação proposta por Lopez et al.²², na qual temos as fraturas classificadas em tipos I, II e III. Sendo assim, temos as fraturas tipo I nas quais é possível observar fraturas do osso frontal com base na calvária, sem extensão para a borda ou teto orbitário, as fraturas tipo II são fraturas do osso frontal estendendo-se até a borda supraorbitária e teto orbitário e, por fim, as fraturas tipo III que se apresentam como fraturas frontais com extensão caudal e/ou contralateral no terço médio da face, geralmente envolvendo a lâmina cribiforme, ossos nasais, complexo naso-órbito-etmoidal/zigomaticomaxilar, paredes orbitais medial ou inferior, seio em desenvolvimento ou via de saída nasofrontal (Quadro 1).

DISCUSSÃO

O tratamento da FSF varia da observação até o processo de cranialização do seio, o tratamento como um todo consiste basicamente no processo de redução da fratura e fixação óssea a qual pode necessitar de utilização de enxertos ósseos de osso parietal, gordura abdominal, fâscia temporal, pericrânio, fosfato de cálcio, hidroxiapatita e outros materiais, como prótese de polietileno prototipada e placas de titânio^{3,11,12,19,26,31,34,38,48}. Deste modo, o tratamento da FSF deve ser realizado o quanto antes e ser pensado a partir da gravidade do trauma e o acometimento ou não das tábuas externa e interna, compartimento intracraniano e ducto nasofrontal^{1,4,12,13,20,26,27,31,34,35,39,43,49}. As principais justificativas para o tratamento da FSF são proteção estrutural dos conteúdos intracranianos, isolamento do compartimento intracraniano do trato aerodigestivo, prover um seio funcional, restauração da função estética, prevenção de complicações de natureza infecciosa ou inflamatória pós-operatórias e redução do tempo de internamento^{1,4,12,13,20,26,27,31,34,35,39,43,49}. O tempo até o tratamento é fator crucial para definir o prognóstico do paciente, pois o atraso

Quadro 1. Classificação de Gonty para FSF.

Tipo 1 – Fraturas da parede anterior
1. Fratura isolada da parede anterior
2. Acompanhada de fraturas do rebordo supraorbital
3. Acompanhada de fraturas do complexo nasoetmoidal
Tipo 2 – Fratura das paredes anterior e posterior
1. Fratura linear
a. Transversal
b. Vertical
2. Fraturas cominutivas
a. Envolvendo ambas as paredes
b. Acompanhada de fratura no complexo nasoetmoidal
Tipo 3 – Fratura de parede posterior
Tipo 4 – Fraturas cominutivas muito graves de toda a área frontal, envolvendo a órbita, a base nasal e o etmoide – Fratura do Seio Frontal “Through-and-Through”

Fonte: Castro et al.⁹.

até a realização do mesmo em casos de indicação clara de conduta cirúrgica, maiores que 48 horas estão associadas ao maior risco de infecções severas. Além disso, fraturas com mais de 21 dias podem apresentar dificuldades no momento da redução, assim como fraturas com mais de 30 dias são tratadas como sequelas^{5,19,36}. A profilaxia de infecções, a exemplo da meningite, têm se mostrado como um dos pilares para o tratamento, a profilaxia é realizada utilizando-se cefalosporinas de 3ª geração como Ceftriaxone 1g de 12/12h associado a vancomicina por via intravenosa; tal associação de fármacos torna-se necessária devido a crescente resistência bacteriana ao Ceftriaxone^{19,36}.

Ademais, a abordagem da FSF pode ser feita tanto por via aberta como por via endoscópica^{10,45}, esta última tem ganhado destaque nos últimos 20 anos devido à melhora da tecnologia que permeia a videoendoscopia, o acesso endoscópico pode ser realizado de forma transnasal, transorbital e transcraniana e é considerado o padrão-ouro para tratamento de falhas da base anterior do crânio^{25,32,40,50}. A técnica endoscópica está atrelada a um tratamento menos invasivo com menores incisões, menor risco de complicações a exemplo das infecções, parestesia e cicatrizes, e uma melhor visualização intraoperatória em alguns casos, o que resulta em uma recuperação pós-operatória mais rápida e um resultado esteticamente satisfatório^{17,21,24,40,50,51}. Devido à redução da visualização do seio em alguns casos, o método endoscópico sofre intensa variação devido a curva de aprendizado e experiência do cirurgião, sendo assim, trata-se de

uma técnica desafiadora em casos de defeitos grandes e localizados muito lateralmente ou superiormente no SF^{9,10,12,37,50}. Em casos de lesões grandes com anatomia desfavorável ainda são preferíveis as técnicas abertas com cranialização ou técnica de osteoplastia somada a obliteração do ducto nasofrontal, porém sabe-se que as técnicas abertas apresentam maiores taxas de complicações, sendo as principais de causa infecciosa ou obstrutiva. Sendo assim, há relatos na literatura de complicações em 10 a 17% dos pacientes, sendo a cefaleia crônica a complicação mais comum que se torna presente em cerca de 50% dos pacientes vítimas de trauma^{24,27,50,51}. A abordagem endoscópica do SF pode ser planejada utilizando a classificação de sinusotomias de Wolfgang Draf, as quais podem ser divididas em 3 níveis sendo o segundo nível subdividido em A e B (Quadro 2). Deste modo, temos: Draf I: remoção completa das células etmoidais anteriores e processo uncinado que circunda o recesso frontal até o óstio do seio frontal; Draf II: ressecção do assoalho do SF do septo nasal até a lâmina papirácea, remoção da face anterior do recesso frontal; e Draf III: remoção da porção inferior do septo interfrontal, a parte superior do septo nasal, e o assoalho do SF entre as paredes mediais das órbitas^{32,33,51}. Ademais, ambas as técnicas, tanto via endoscópica como via aberta, apresentam resultados satisfatórios e possibilitam a utilização de enxertos como gordura, pericrânio e substitutos sintéticos de dura-máter na presença de lesão dural¹¹ (Quadro 2).

Há uma grande controvérsia com relação ao tratamento específico, o qual deve ser empregado nos casos de FSF, mas

Quadro 2. Tabela de classificação das sinusotomias frontais de Wolfgang Draf.

Tipo	Descrição
Draf I	Etmoidectomia anterior, sem instrumentação do seio frontal
Draf IIa	Limpeza de sinusotomia frontal padrão de tecido da lâmina papirácea até o corneto médio
Draf IIb	Abertura da lâmina papirácea ao septo
Draf III (Lothrop modificado)	Remoção do septo intersinusal frontal, bico frontal e septo superior, da lâmina papirácea para a lâmina contralateral

Fonte: Arnold e Tatum³³.

deve-se levar em conta que mais benefícios têm se mostrado em técnicas conservadoras. As técnicas mais agressivas, as quais têm como objetivo a cranialização do seio com enxerto de gordura e remoção de toda a sua mucosa rebatendo-a de modo a obliterar o ducto nasofrontal, ficam restritas a casos seletos em que haja fratura associada à lesão da pele e fragmentos ósseos insuficientes para realizar a reconstrução da tábua interna, devido à maior possibilidade de desenvolvimento de complicações pós-operatórias^{9,11,25,28,32-34}. Nos últimos 30 anos, têm-se observado a tendência por optarem por condutas mais conservadoras na grande maioria dos casos devido à maior conservação da forma, estética, função e drenagem do seio¹⁰. Outrossim, quando indicada a cirurgia, a mesma deve ser realizada o quanto antes visando a redução do risco de infecção, retração de cicatriz e distorção do esqueleto da face¹⁰.

Fratura da tábua externa

O manejo da FSF de tábua externa é bem variado dentro das especialidades que a tratam e apresenta suas peculiaridades com relação aos níveis de acometimento¹⁷. As fraturas que cursam com pequenas irregularidades em adultos ou fraturas com moderada irregularidade em pacientes pediátricos podem ser tratadas de forma conservadora^{10,22}. Sendo assim, em fraturas que apresentam deslocamento significativo, em geral maior que 2 mm, é sugerida a realização de cirurgia endoscópica sob anestesia geral ou local, porém atualmente a conduta conservadora é preferível para tais casos. Deve-se ponderar os riscos e benefícios da intervenção devido à possibilidade de deslocamento do fragmento ósseo e agravamento do resultado estético^{11,17,27}. A correção cirúrgica da fratura deve ser acompanhada de elevação e redução da mesma, seguida de elevação do periósteo e posicionamento de parafusos e miniplacas de titânio, porém alguns autores afirmam não ser necessária tal fixação, caso a fratura consiga ser reduzida a sua posição anatômica^{10,12,18,24}. Ademais, em fraturas em que haja um deslocamento severo da tábua externa, geralmente > 6mm, ou fraturas cominutivas, está indicada a redução por via aberta e fixação interna para reduzir o risco de mucocelos e corrigir o

deslocamento. Sendo assim, tal procedimento é realizado por meio de uma incisão hemicoronal ou bicoronal ou bitemporal ou em asa de borboleta para que seja visualizada toda a tábua externa e, assim, o seio seja copiosamente irrigado e a mucosa afetada excisada. Posteriormente, há a fixação dos fragmentos ósseos com microplacas bioabsorvíveis, vale ressaltar que tal procedimento está relacionado ao desenvolvimento de alopecia, atrofia do músculo temporal, parestesias e uma recuperação mais prolongada^{10,11,19,23,27,32,34,35,40}. Algumas deformidades podem ocorrer no processo de reconstrução cirúrgica, devido à falha na organização dos fragmentos ósseos, atrofia do tecido ao redor devido ao trauma de alta energia e exposição das placas metálicas de fixação óssea. Assim, o intervalo de até 10 dias até a cirurgia de correção é crucial para um resultado estético satisfatório^{17,24,31,36}. Nos casos em que haja uma depressão aparente da tábua externa, em geral de 4 a 6 mm, está indicada a técnica de “camuflagem” que consiste na inversão do fragmento ósseo como medida de reduzir o grau de depressão e melhorar o resultado estético para o paciente. Tal técnica está associada a redução ou ausência de necessidade de aplicação de enxertos e acesso direto ao SF para avaliação da perviabilidade do ducto nasofrontal, porém, apesar dos grandes benefícios, a mesma está atrelada a um alto custo o que torna sua aplicabilidade restrita a alguns serviços^{12,27,40}.

Fratura da tábua interna

Diferentemente das fraturas de tábua externa de SF, a fratura de tábua interna está condicionada a uma conduta cirúrgica mais agressiva, maior número de complicações e uma recuperação mais tardia do traumatizado. As FSF envolvendo a tábua interna estão comumente atreladas a um mecanismo de trauma mais severo e fratura concomitante de tábua externa. Sabe-se que a presença da lesão da tábua interna está extremamente relacionada a injúrias do sistema nervoso central e comunicação entre o SNC e a cavidade nasal. Além disso, há também relação com um maior tempo de tratamento com intensa dedicação ao manejo de complicações agudas^{7,10}. Devido à maior severidade do quadro geral, trazido pela fratura de tábua interna, medidas drásticas são

propostas a exemplo da cranialização do SF³⁰, a qual é indicada na presença de fraturas com deslocamento maior que uma tábua ou > 6mm, ou fraturas envolvendo a tábua externa e interna, associado à impossibilidade de reconstrução de tábua interna ou fraturas acometendo uma área >25% da tábua interna^{3,10,12,27,35}. A cranialização do seio consiste na remoção total da tábua interna, obliteração do ducto nasofrontal utilizando a mucosa presente no seio ou pericrânio, cauterização de toda mucosa do seio e reconstrução da base do crânio. Vale ressaltar que, o procedimento recebe tal nome por permitir que o lobo frontal ocupe a posição que antes pertencia ao SF^{10,12}, e que tal procedimento de correção da falha em vigência de lesão da dura-máter deve ser realizado num período de no máximo 3 a 4 horas devido ao aumento da proliferação bacteriana e maior predisposição a infecções⁵². Ademais, com o aumento das técnicas conservadoras para manejo das FSF é imprescindível que se cogite tal possibilidade, porém a conduta conservadora quando empregada na presença de acometimento de tábua interna está atrelada à necessidade de um acompanhamento intenso durante anos após o evento traumático, devendo ser indicada com cautela¹⁰.

Fraturas associadas ao ducto nasofrontal

O ducto nasofrontal trata-se de uma estrutura tubular ou, em 85% dos casos, apenas um ósteo o qual se estende do SF até a região do meato médio e suas paredes são formadas por limites ósseos de estruturas anatômicas adjacentes; a grande importância dessa estrutura é a drenagem do SF propriamente dito¹⁶. As fraturas envolvendo o ducto nasofrontal podem ser observadas em 25 a 50% e podem ser cogitadas quando há o acometimento das células etmoidais, borda medial superior da órbita, teto da órbita, assoalho medial do SF, além de tomografia de crânio com sinais sugestivos de líquido dentro do seio^{10,26,32}. As fraturas de ducto nasofrontal (FDNF) estão relacionadas a um maior número de complicações pós-operatórias quando comparados a grupos que não cursam com fratura de ducto nasofrontal^{10,26,32}. Os ductos nasofrontais de formato tubular e de maior extensão apresentam maior suscetibilidade ao trauma. Tal fato é de extrema importância para o prognóstico do paciente já que quando obstruído o mesmo perde sua capacidade de drenagem, o que implica em chances expressivas de formação de mucocelos as quais expõem o paciente a um maior risco de desenvolvimento de meningite¹⁶. Portanto, as FDNF devem ser ponderadas no momento da escolha terapêutica do paciente, pois a maior predisposição a infecções e demais complicações leva a um prejuízo significativo no prognóstico do paciente. Na presença de trauma importante de tábua externa somado ao trauma do ducto nasofrontal deve se proceder com abertura do seio para correção da fratura e teste de patência

do ducto nasofrontal, já nos casos de fraturas de tábua externa cominutivas menores que 4 mm, juntamente à fratura do ducto nasofrontal pode-se proceder com abordagem via endoscópica endonasal para correção da deformidade ductal¹⁸. Ademais, as FDNF não associadas a fraturas importantes de tábua interna e/ou externa, podem ser manejadas de forma conservadora, pois quando tratadas de forma expectante e associado a suporte medicamentoso com 4 semanas de antibióticos, corticoides orais e esteroides nasais tópicos, o restabelecimento da ventilação do SF ocorre em 71,4% dos pacientes, já com 6 semanas esses números atingem 88%^{24,25,35}.

Fechamento do seio frontal

O fechamento do SF deve ser feito de forma primorosa com uma escolha racional da técnica empregada para que se reduza a possibilidade de infecções e recidivas, além de defeitos estéticos³². Sendo assim, podem ser utilizados materiais como próteses prototipadas e enxertos ósseos de osso parietal, além de miniplacas de titânio, fios de mononylon e fios de titânio¹¹. A presença de fistulas liquóricas em contato com cavidades contaminadas como a cavidade nasal, assim como acometimento do nervo óptico e órbita, predispõem ao desenvolvimento de infecções. Logo, é necessário que tais pacientes sejam operados dentro do período de 3 a 7 dias desde o seu diagnóstico, pois, infelizmente, as complicações por infecção não são incomuns dentro do ambiente intra-hospitalar. Nos casos em que há falhas maiores que 5 mm e formação de fistulas é válido utilizar uma técnica de reconstrução em três camadas, sendo elas intradural, epidural e extracraniana associada a enxerto de gordura, já os defeitos menores podem ser reparados usando técnica de duas camadas, sendo elas epidural e extracraniana³². É recomendado que se utilize uma inclinação de cabeceira de 30° associada ao uso de antibióticos com capacidade de transpassar a barreira hematoencefálica (BHE) e acetazolamida para manter a pressão intracraniana dentro dos níveis normais como medida de evitar recidivas^{10,11,51}.

Complicações da fratura de SF

As complicações da FSF estão diretamente relacionadas à presença de comorbidades no paciente, grau da lesão traumática e agilidade de execução do tratamento necessário²⁶. Estudos, como o de Al-Moraissi et al.²⁶, apontaram que cerca de 7 a 11% dos pacientes apresentam complicações quando tratados cirurgicamente, já os pacientes com indicação de tratamento conservador complicam em cerca de 7% dos casos. Vale ressaltar que os pacientes submetidos à obliteração do SF cursam

com as maiores taxas de complicações encontradas, cerca de 10,6%²⁶. A maior parte das complicações está relacionada à obstrução de um orifício de drenagem que foi preservado ou ao crescimento da mucosa do recesso frontal através de um orifício inadequadamente ocluído em um seio que foi obliterado¹. Além disso, as complicações da FSF podem ser divididas temporalmente em transoperatórias, precoces e tardias, sendo assim, as complicações transoperatórias são representadas por sangramentos intracranianos, convulsões, danos neurológicos, formação de hematomas e lesões oftalmológicas. As complicações precoces ocorrem nos primeiros 6 meses após a FSF e correspondem a sinusites, fístulas liquóricas, meningites, irregularidades cosméticas, pneumoencéfalo, osteomielite, abscessos cerebrais e trombose do seio cavernoso. Por sua vez, as complicações tardias são aquelas que ocorrem 6 meses ou mais após lesão inicial, tendo como suas representantes mais expressivas a mucocèle e mucopiocele^{8,17,20,26,34-36,41}.

Dentre as principais complicações transoperatórias temos a fístula liquórica que é definida como um vazamento persistente de fluido claro das narinas espontaneamente ou com inclinação da cabeça anteriormente por pelo menos 30 segundos que resulta da comunicação do espaço subaracnoideo com a cavidade nasal por meio de uma falha óssea na base do crânio^{25,49,51}, tal complicação normalmente se apresenta desde às 48 horas pós-injúria até 3 meses após²⁵. As fístulas liquóricas impõem uma grande importância devido à dificuldade de realizar seu acesso cirúrgico³² e à predisposição a gerar quadros de meningite de repetição. A fístula deve ser corrigida assim que detectada, pois quando detectada imediatamente no tempo intraoperatório e corrigida, as chances de fechamento da mesma são superiores a 90%, e quando consideramos uma reabordagem para revisão posterior as taxas de sucesso são superiores a 97%^{25,43}, além disso, algumas complicações podem ser ligadas com a presença de fístula liquórica como abscesso cerebral e mucocèle¹⁶.

Mucocèle é uma das complicações mais frequentes, a qual ocorre devido à drenagem ineficaz do seio e pode se apresentar até anos após o trauma. Sendo assim, a mucocèle resulta do crescimento da mucosa remanescente no seio e da sua produção de muco na condição de obstrução da drenagem, que deveria ser realizada pelo ducto nasofrontal, além disso, a secreção quando aprisionada pode ser infectada gerando quadros de mucopiocele e piocele^{21,36,41}. Os sintomas da mucocèle são benignos, a cefaleia é o sintoma mais comum encontrado neste

quadro, já como outros sintomas menos comuns temos obstrução nasal, diplopia, coriza, edema na região frontal e estrabismo. O tratamento da mucocèle consiste basicamente na remoção do foco infeccioso e restabelecimento da drenagem eficiente do seio, isso pode ser feito a partir da remoção completa da mucosa do seio somada à obliteração de ducto nasofrontal e, em alguns casos, cranialização do seio, porém estudos apontam taxas de recorrência de 6 a 25%^{32,36}.

Outra complicação da FSF é a osteomielite do osso frontal. Deste modo, esta é geralmente causada de forma secundária a um quadro de sinusite crônica. Após a introdução dos antibióticos no tratamento das fraturas de SF, as taxas de osteomielite têm decrescido de forma expressiva, sendo atualmente uma complicação rara. Ademais, o tratamento da osteomielite baseia-se no desbridamento do tecido necrótico como forma de melhorar a vascularização da região e, assim, permitir uma melhor cicatrização e penetração dos antibióticos. Posteriormente ao desbridamento pode-se realizar a reconstrução a partir de retalhos vasculares microcirúrgicos³⁶. Além disso, temos a fratura de órbita com herniação da gordura orbital e aprisionamento dos músculos extrínsecos do olho, uma complicação grave, pois, além de levar a uma maior predisposição a infecções devido à exposição da órbita a cavidade nasal, a mesma tende a produzir uma limitação e distúrbios de mobilidade visual a curto e longo prazo⁴³.

CONCLUSÃO

A FSF ainda se mostra um grande desafio, pois quando tratada de forma incorreta ou em tempo inadequado a mesma está relacionada a um pior prognóstico e diversas complicações, como maior tempo de hospitalização, infecções e fístulas liquóricas. A literatura tem apontado para um melhor desfecho nos tratamentos conservadores em detrimento dos cirúrgicos. Além disso, a falta de uma classificação unificada para as FSF tanto em pacientes adultos quanto pediátricos gera uma variabilidade na tomada de decisão por parte do corpo de cirurgiões de diferentes centros de referência de tratamento. A falta de dados concretos a respeito do *follow-up* dos pacientes vítimas de FSF limita o conhecimento sobre a incidência das complicações tardias.

REFERÊNCIAS

1. Santos DFS, Santos ES, Gomes GMF Jr, et al. Osteossíntese de fratura de parede anterior do seio frontal - relato de caso. *BJHR*. 2020;3(3):6957-64. <http://dx.doi.org/10.34119/bjhrv3n3-230>.
2. Fernandes BDR, Mandarino S, Gomes-Ferreira PHS, et al. Tratamento de fratura do seio frontal, por meio de cranialização, obliteração, redução e fixação das fraturas: relato de caso clínico. *Arch Health Invest*. 2019;8:7. <http://dx.doi.org/10.21270/archi.v7i0.3910>.
3. Rodrigues CMC, Sol I, Almeida VL, et al. Abordagem cirúrgica imediata de fratura de seio frontal: relato de caso. *Rev Odontol Bras Central*. 2020;29(88):39-42. <http://dx.doi.org/10.36065/robrac.v29i88.1377>.
4. Matos FS, Pereira LA, Loureiro CE, Borges EFD, Moreira CVA, Azevedo RA. Diferentes abordagens cirúrgicas para reconstrução de fraturas em osso frontal: relato de 04 casos. *BJHR*. 2020;3(1):153-70. <http://dx.doi.org/10.34119/bjhrv3n1-011>.
5. Rodrigues MFB, Rocha LLA, Souza DD, Acioly RF, Carvalho DC, Rocha CCL. Tratamento tardio de fratura fronto-naso-órbito-etmoidal: relato de caso. *BJD*. 2020;6(7):49924-31. <http://dx.doi.org/10.34117/bjdv6n7-589>.
6. Lima ACA, Hadad H, Capalbo da Silva R, et al. Tratamento de fratura da parede anterior de seio frontal causada por acidente desportivo. *Arch Health Invest*. 2019;7. <http://dx.doi.org/10.21270/archi.v7i0.3910>.
7. Muller VA, Bruksch GK, Sória GS, et al. Functional recovery time after facial fractures: characteristics and associated factors in a sample of patients from southern Brazil. *Rev Col Bras Cir*. 2021;48:e20202581. <http://dx.doi.org/10.1590/0100-6991e-20202581>. PMID:33470368.
8. Banica B, Ene P, Dabu A, Ene R, Cirstoiu C. Rationale for management of frontal sinus fractures. *Maedica (Bucur)*. 2013;8(4):398-403. PMID:24790677.
9. Castro CML, Melo IA, Souza EO No, et al. Tratamento cirúrgico de fratura do osso frontal: relato de caso. *BJD*. 2021;7(3):26169-77. <http://dx.doi.org/10.34117/bjdv7n3-357>.
10. Schultz K, Braun T, Truong T. Frontal sinus fractures. *Seminars in Plastic Surgery*. 2017;31(2):080-4. <http://dx.doi.org/10.1055/s-0037-1601371>.
11. Montovani JC, Nogueira EA, Ferreira FD, Lima Neto AC, Nakajima V. Cirurgia das fraturas do seio frontal: estudo epidemiológico e análise de técnicas. *Rev Bras Otorrinolaringol*. 2006;72(2):204-9. <http://dx.doi.org/10.1590/S0034-72992006000200009>.
12. Silva JRD, Mourão CFDA, Rocha HVD Jr, Magacho LF, Moraes GFD, Homs N. Treatment of frontal bone fracture sequelae through inversion of the bone fragment. *Rev Col Bras Cir*. 2016;43(6):472-5. <http://dx.doi.org/10.1590/0100-69912016006011>. PMID:28273220.
13. Hoshal SG, Dedhia RD, Strong EB. Frontal sinus fractures: a contemporary approach in the endoscopic era. *Facial Plast Surg Clin North Am*. 2022;30(1):71-83. <http://dx.doi.org/10.1016/j.fsc.2021.08.006>. PMID:34809888.
14. Pavoni RF, Sangalette BS, Capelari MM, Toletto JL No, Toledo GL. Limite de atuação em cirurgia bucomaxilofacial – fratura da parede anterior do seio frontal. *BJD*. 2022;8(3):17485-93. <http://dx.doi.org/10.34117/bjdv8n3-131>.
15. Srinivasa R, Furtado SV, Sansgiri T, Vala K. Management of frontal bone fracture in a tertiary neurosurgical care center—a retrospective study. *J Neurosci Rural Pract*. 2022;13(1):060-6. <http://dx.doi.org/10.1055/s-0041-1740615>.
16. Bisson EF, Ravindra VM, Neil JA, Shah LM, Schmidt RH. Surgical management of traumatic frontal sinus fractures: case series from a single institution and literature review. *Surg Neurol Int*. 2015;6:141. <http://dx.doi.org/10.4103/2152-7806.163449>. PMID:26392917.
17. Santos RD, Cavalheiro PM, Comunello IF, Campos M, Luckmann G. Redução de seio frontal: abordagem bucomaxilofacial na terapia de reabilitação das fraturas panfaciais. *RFO Rev Fac Odontologia*. 2018;23(1):42-7. <http://dx.doi.org/10.5335/rfo.v23i1.7613>.
18. Elkahwagi M, Eldegwi A. What is the role of the endoscope in the sinus preservation management of frontal sinus fractures? *J Oral Maxillofac Surg*. 2020;78(10):1811.e1-9. <http://dx.doi.org/10.1016/j.joms.2020.04.025>. PMID:32446806.
19. Onişor-Gligor F, ŢenŢ PA, Bran S, Juncar MA. A Naso-Orbito-Ethmoid (NOE) Fracture Associated with Bilateral Anterior and Posterior Frontal Sinus Wall Fractures Caused by a Horse Kick: Case Report and Short Literature Review. *Medicina (Kaunas)*. 2019;55(11):731. <http://dx.doi.org/10.3390/medicina55110731>. PMID:31717521.
20. Pontes KT, Andrade TI, Araújo FR, Andrade JS, Brainer DLB. Tratamento cirúrgico de fratura do seio frontal utilizando enxerto de pericrânio para obliteração de ducto nasofrontal: relato de caso. *Arch Health Invest*. 2020;9(5):460-3. <http://dx.doi.org/10.21270/archi.v9i5.5040>.
21. Jaźwiec AM, Rechner B, Komorowska-Timek E. Endoscopy-assisted frontal sinus obliteration. *J Craniofac Surg*. 2018;29(5):e513-5. <http://dx.doi.org/10.1097/SCS.0000000000004562>. PMID:29762325.
22. Lopez J, Pineault K, Pradeep T, et al. Pediatric frontal bone and sinus fractures: cause, characteristics, and a treatment algorithm. *Plast Reconstr Surg*. 2020;145(4):1012-23. <http://dx.doi.org/10.1097/PRS.0000000000006645>. PMID:32221225.
23. Kinzinger M, Steele TO, Chin O, Strong EB. Degree of frontal bone exposure via upper blepharoplasty incision. considerations for frontal sinus fracture. *Otolaryngol Head Neck Surg*. 2019;160(3):468-71. <http://dx.doi.org/10.1177/0194599818825456>. PMID:30667301.
24. Grayson JW, Jeyarajan H, Illing EA, Cho DY, Riley KO, Woodworth BA. Changing the surgical dogma in frontal sinus trauma: transnasal endoscopic repair. *Int Forum Allergy Rhinol*. 2017;7(5):441-9. <http://dx.doi.org/10.1002/alr.21897>. PMID:28207197.

25. Banks C, Grayson J, Cho DY, Woodworth BA. Frontal sinus fractures and cerebrospinal fluid leaks: a change in surgical paradigm. *Curr Opin Otolaryngol Head Neck Surg.* 2020;28(1):52-60. <http://dx.doi.org/10.1097/MOO.0000000000000602>. PMID:31834027.
26. Al-Moraissi EA, Alyahya A, Ellis E. Treatment of frontal sinus fractures: a systematic review and meta-analysis. *J Oral Maxillofac Surg.* 2021;79(12):2528-36. <http://dx.doi.org/10.1016/j.joms.2021.06.013>. PMID:34252369.
27. Dedhia RD, Morisada MV, Tollefson TT, Strong EB. Contemporary management of frontal sinus fractures. *Curr Opin Otolaryngol Head Neck Surg.* 2019;27(4):253-60. <http://dx.doi.org/10.1097/MOO.0000000000000546>. PMID:31116143.
28. Al-Shami H, Alnemare AK, Mahfoz TB, Salah AM. Traumatic frontal sinus fractures management: experience from high-trauma centre. *Korean J Neurotrauma.* 2021;17(1):15-24. <http://dx.doi.org/10.13004/kjnt.2021.17.e3>. PMID:33981639.
29. Hahn HM, Lee YJ, Park MC, Lee IJ, Kim SM, Park DH. Reduction of closed frontal sinus fractures through suprabrow approach. *Arch Craniofac Surg.* 2017;18(4):230-7. <http://dx.doi.org/10.7181/acfs.2017.18.4.230>. PMID:29349046.
30. Tonini KR, Hergesel de Oliva A, Borges Duailibe de Deus C, et al. Fratura de osso frontal associada à fratura de teto orbitário do tipo blow-in. *Arch Health Invest.* 2019;7
31. Tallal RE, Estawrow MA. Obliteration and contouring of comminuted anterior wall frontal sinus fracture using pericranial flaps. *Plast Reconstr Surg - Global Open.* 2021;9(8):e3670. <http://dx.doi.org/10.1097/GOX.00000000000003670>.
32. Bozkurt G, Zocchi J, Russo F, et al. Frontal sinus preservation during cerebrospinal fluid leak repair. *J Craniofac Surg.* 2019;30(8):e763-8. <http://dx.doi.org/10.1097/SCS.0000000000005764>. PMID:31348205.
33. Arnold MA, Tatum SA 3rd. Frontal sinus fractures: evolving clinical considerations and surgical approaches. *Craniofacial Trauma Reconstr.* 2019;12(2):85-94. <http://dx.doi.org/10.1055/s-0039-1678660>. PMID:31073357.
34. Teslenko VB, Barros MAN, Cavalcanti HA, Reis GN. Tratamento cirúrgico de fratura fronto-naso-órbita-etmoidal e terço médio através de abordagem coronal: relato de caso. *Arch Health Invest.* 2021;10(3):4803. <http://dx.doi.org/10.21270/archi.v10i3.4725>.
35. Vincent A, Wang W, Shokri T, Gordon E, Inman JC, Ducic Y. Management of frontal sinus fractures. *Facial Plast Surg.* 2019;35(6):645-50. <http://dx.doi.org/10.1055/s-0039-3399521>. PMID:31783422.
36. Kim YW, Lee DH, Cheon YW. Secondary reconstruction of frontal sinus fracture. *Arch Craniofac Surg.* 2016;17(3):103-10. <http://dx.doi.org/10.7181/acfs.2016.17.3.103>. PMID:28913266.
37. Naidu PB, Rajan VT, Truman Srinivas, Selvakumar K. Linear frontal bone fractures - clinical and surgical profile: a retrospective study. *Trauma Mon.* 2016;23(1). <http://dx.doi.org/10.5812/traumamon.41657>.
38. Eledeissi A, Ahmed M, Helmy E. Frontal Sinus Obliteration Utilizing Autogenous Abdominal Fat Graft. *Open Access Maced J Med Sci.* 2018;6(8):1462-7. available from: <https://dx.doi.org/10.3889/oamjms.2018.295>. <http://dx.doi.org/10.3889/oamjms.2018.295>. PMID:30159078.
39. Robiony M, Della Pietra L, Bertossi D, Albanese M, Fresku A. A simple method for the repair of frontal sinus fracture: the bone mesh pull-up technique. *Craniofacial Trauma & Reconstr.* 2014;7(1):79-83. <https://doi.org/10.1055/s-0033-1364201>.
40. Jin HR, Shim WS, Jung HJ. Minimally invasive technique to reduce the isolated anterior wall fracture of the frontal sinus. *J Craniofac Surg.* 2019;30(8):2375-7. <http://dx.doi.org/10.1097/SCS.0000000000005931>. PMID:31592841.
41. Oliveira ACJ, Conci RA, Sbardelotto BM, Garbin EA Jr, Griza GL. Surgical treatment of fracture in the anterior table of the frontal sinus. *RSD.* 2020;9(9):e850998118. <https://doi.org/10.33448/rsd-v9i9.8118>.
42. Jeevitha JY, Meyyappan A, Jagdish E. Bone cements in depressed frontal bone fractures. *Ann Maxillofac Surg.* 2019;9(2):407-10. http://dx.doi.org/10.4103/ams.ams_155_19. PMID:31909025.
43. Dassi CS, Demarco FR, Mangussi-Gomes J, Weber R, Balsalobre L, Stamm AC. The frontal sinus and frontal recess: anatomical, radiological and surgical concepts. *Int Arch Otorhinolaryngol.* 2020;24(3):e364-75. <http://dx.doi.org/10.1055/s-0040-1713923>. PMID:32754249.
44. Kolarkodi SH, Alyahya Y, Javed MQ, Bava FA, Mariyam MN. Supraorbital rim fracture involving frontal sinus by sports injury. *Cureus.* 2020;12(12):e12003. <http://dx.doi.org/10.7759/cureus.12003>. PMID:33457114.
45. Pizzolato VL, Barbosa S, Polo TOB, et al. Retalho de pericrânio como alternativa durante obliteração do ducto nasofrontal e seio frontal: relato de dois casos. *Rev Odontol UNESP.* [Internet]. 2022 [Accessed: 31/5/2022];50(N Esp):48. Available from: <https://revodontolunesp.com.br/article/61d72a51a9539568be482d12>
46. Buller J, Kreppel M, Maus V, Zirk M, Zöller JE. Risk of frontal sinus anterior table fractures after craniofacial trauma and the role of anatomic variations in frontal sinus size: a retrospective case-control study. *J Craniofacial Surg.* 2019;47(4):611-5. <http://dx.doi.org/10.1016/j.jcms.2019.01.018>. PMID:30718214.
47. Ortiz-Galloza EX, Arechiga B, Heer J, Quesada D. Delayed tension pneumocephalus following frontal sinus fracture. *CPC-EM.* 2022;6(1):81-4. <http://dx.doi.org/10.5811/cpcem.2021.9.53603>. PMID:35226857.
48. Dayashankara Rao JK, Malhotra V, Batra RS, Kukreja A. Esthetic correction of depressed frontal bone fracture. *Natl J Maxillofac Surg.* 2011;2(1):69-72. <http://dx.doi.org/10.4103/0975-5950.85858>. PMID:22442614.
49. Destiansyah RA, Rahmadhan MA, Niantiarno FH, et al. Modification of periosteal flap as management of cerebrospinal fluid leakage after frontal sinus fracture surgery in moderate traumatic brain injury patients. *Asian J Neurosurg.* 2020;15(4):1076-80. http://dx.doi.org/10.4103/ajns.AJNS_206_19. PMID:33708693.

50. Noiphithak R, Yanez-Siller JC, Nimmannitya P, Rukskul P. Transorbital endoscopic approach for repair of frontal sinus cerebrospinal fluid leaks: case-series. *Laryngoscope*. 2021;131(8):1753-7. <http://dx.doi.org/10.1002/lary.29161>. PMID:33009848.

51. Jahanshahi J, Zeinalizadeh M, Reza Mohammadi H, Sadrehosseini SM. Endonasal endoscopic management of frontal sinus cerebrospinal fluid leak. *Am J Rhinol Allergy*. 2017;31(6):406-11. <http://dx.doi.org/10.2500/ajra.2017.31.4478>. PMID:29122086.

52. Tanusantoso A, Adiantoro S, Sjamsudin E, Yusuf H. Management of facial bone fractures with frontonasal open head injury: case report. *Int J Med Rev Case Rep*. 2020;4(7):69-73. <http://dx.doi.org/10.5455/IJMRCR.2020-07-254>.

CORRESPONDING AUTHOR

Lauro Roberto de Azevedo Setton

Medical student

University Tiradentes

Aracaju, Sergipe, Brazil

E-mail: laurosetton@hotmail.com

Funding: *nothing to disclose.*

Conflicts of interest: *nothing to disclose.*

Sexual Dysfunction After Traumatic Brain Injury: an integrative review

Disfunção Sexual Após Traumatismo Cranioencefálico: uma revisão integrativa

Márcio Fernando da Silva¹

Louise Makarem Oliveira²

Mylena Miki Lopes Ideta³

Mylla Christie de Oliveira Paschoalino³

Daniel Buzaglo Gonçalves³

Renato Anghinah⁴

Wellingson Silva Paiva⁴

Robson Luis Oliveira de Amorim^{4,5}

ABSTRACT

Introduction: Traumatic brain injury (TBI) is a major cause of morbidity and mortality in adults. Although sexuality issues following the lesions may cause a negative impact on rehabilitation, their epidemiological and treatment aspects are scarcely documented.

Objective: This review intends to assess the published studies on aspects of sexuality related to TBI. **Methods:** This is an integrative review conducted in BVS and PubMed databases, during the period from 1980 and 2022, which presents the pathophysiology, incidence, methods of evaluation and treatment options of sexual dysfunction after TBI. **Results:** There are few studies evaluating sexuality and TBI relation, and the epidemiological aspects are controversial. Articles stress that discussing sexual issues with the patient is still a taboo and the best approach to be taken is yet undefined. **Conclusion:** Studies of sexuality in TBI patients are scarce, particularly on developing countries, such as Brazil. However, health professionals need to be aware of these matters, in order to better address the patient, improving his/her quality of life. Similarly, epidemiological studies on developing countries are of utmost importance.

Keywords: Traumatic brain injury; Sexuality; Sexual behavior

RESUMO

Introdução: O trauma cranioencefálico (TCE) é uma das principais causas de morbidade e mortalidade em adultos, podendo causar impacto negativo em aspectos relacionados à sexualidade. **Objetivo:** Nesta revisão, pretende-se avaliar os estudos publicados sobre aspectos da sexualidade em vítimas de TCE. **Métodos:** Trata-se de uma revisão integrativa realizada nas bases de dados BVS e PubMed, de 1980 a 2022, que apresenta a patofisiologia, incidência, métodos de avaliação e opções de tratamento para disfunção sexual após TCE. **Resultados:** Há escassez de estudos sobre sexualidade em doentes vítimas de TCE, não havendo acordo sobre sua incidência. Artigos demonstram que discutir esse assunto com os pacientes ainda é um tabu e a melhor abordagem para fazê-lo permanece indefinida. **Conclusão:** Os profissionais de saúde precisam estar cientes sobre tais problemas para melhor abordar o paciente, melhorando sua qualidade de vida. Estudos sobre sexualidade em TCE são necessários, especialmente os estudos epidemiológicos em países em desenvolvimento.

Palavras-chave: Traumatismo cranioencefálico; Sexualidade; Comportamento sexual

¹ Specialist in Occupational Therapy and Mental Health, Department of Neurology, School of Medicine, University of São Paulo – USP, São Paulo, SP, Brazil.

² MD, MR, Neurology resident, Clinics Hospital, Department of Neurology, University of São Paulo – USP, Ribeirão Preto, SP, Brazil.

³ MD, School of Medicine, Federal University of Amazonas – UFAM, Manaus, AM, Brazil.

⁴ MD, PhD, Doctor of Medicine (Neurology), Department of Neurology, School of Medicine, University of São Paulo – USP, São Paulo, SP, Brazil.

⁵ MD, PhD, Post-Graduate Program in Health Sciences, Hospital Universitário Getúlio Vargas, Federal University of Amazonas – UFAM, Manaus, AM, Brazil.

Received Jun 1, 2022
Accepted Jul 12, 2022

INTRODUCTION

Sexuality is an important aspect of human life and goes beyond the sexual act itself, involving psychological, physiological and social characteristics, which reflect the physical and mental health of people¹. The term is not synonymous with intercourse and not merely the presence or absence of orgasm. Much more than that, it consists in a basic need, in thoughts, actions and interactions. Therefore, sexuality relates to physical and mental health, being an important marker of quality of life, motivating humans to seek satisfaction through contact, self-handling, welfare, orgasm, as well as by expressing affect and intimacy^{2,3}. After a traumatic brain injury (TBI), sexuality can be compromised, causing remarkable alterations, and thus negatively influencing the quality of life⁴. However, this subject is rarely explored in the context of a person who suffered TBI, specially on non-developed and developing countries, where conversely, TBI is one of the leading causes of death and morbidity.

In Brazil, each year 500,000 people are hospitalized after TBI. A total of 75 to 100,000 people die a few hours after the event, whilst 70 to 90,000 progresses to an irreversible loss of some neurological function⁵. Due to its prevalence and the recognition of subtle disturbances even in patients with mild TBI, we decided to explore how sexuality is affected and addressed in such population. TBI can compromise both the “sexual performance” (in relation to physiological aspects of sexual performance) and the “sexual wellness” (which refers to the subjective sexual experience, for example, sexual satisfaction) of an individual⁴. Therefore, in this review we intend to assess those topics and to disclose the current status of sexual disorders after TBI, reporting the pathophysiology, incidence, methods of evaluation and treatment options.

METHODS

The studies in this review included original articles (Randomized Clinical Trials, Non-Randomized Clinical Trials, cohorts, case-control, cross-sectional, and case series studies). Review articles and meta-analyses were not included. The population of the studies was composed of adults. The articles selected contained data on epidemiology, pathophysiology, assessment tools, and treatment for sexual dysfunction related to TBI.

The literature research was performed comprising the period from January 1980 to December 2022, using the Medical Subjects Heading (MeSH) terms: “traumatic brain injury”, “sexual dysfunction”, “erectile dysfunction” and “sexuality” in Biblioteca Virtual em Saúde (BVS) database and Pubmed. Additional research based on these MeSH terms was made in the periodicals “The Journal of Sexual Medicine”, “Archives of Sexual Behavior”, “International Journal of Impotence Research”, and “Journal of Sex Research”.

Two authors (review), independently, reviewed the articles by title and abstract. The full text was obtained for the article whose title appeared to meet the inclusion criteria. The study authors then reviewed all of the full-text articles and independently determined whether they met the inclusion criteria. The disagreements were resolved by discussion.

It was performed a research of literature comprising the period from January 1980 to May 2022, in order to know the landscape of scientific literature on “post-trauma Sexual dysfunction traumatic brain injury.” Literature review was performed in the Biblioteca Virtual em Saúde (BVS) crossing the keywords “Traumatismos encefálicos”, “Disfunção sexual”, “Função sexual”, “Disfunção erétil”, “Qualidade de vida” and “Sexualidade”. In the PubMed database, we crossed the descriptors “Traumatic brain Injury”, “Sexual dysfunction”, “Sexual functioning”, “Erectile dysfunction”, “Quality of life”, and “Sexuality”. Moreover, we made a manual search on the periodicals “The Journal of Sexual Medicine”, “Archives of Sexual Behavior”, “International Journal of Impotence Research”, and “Journal of Sex Research”, crossing the same referred descriptors. A search for additional articles was also made looking for the reference of relevant articles papers. The selection of articles was made by reading the titles and abstracts, being an exclusion criterion those papers not related to sexuality on TBI, review papers, and those not written in English or Portuguese.

RESULTS

In the BVS search two articles in Portuguese were found, and both selected. A search on PubMed retrieved 203 articles in English, and 28 were selected. Three articles were retrieved from the pool for lack of relevance. In search of The Journal of Sexual Medicine four articles were found, and in the Archives of Sexual

Behavior, other three, but none had been selected. In search of the International Journal of Impotence Research and the Journal of Research, no article was found. At the end of the research, 33 articles were selected. These are analyzed in Table 1.

Pathophysiology

TBI can both, directly and indirectly, affect important aspects related to sexuality, after all, it involves different neurological parameters that include complex interactions between the neuroanatomical, neurochemical, neurophysiological, and neuropsychological systems. These contributions can be roughly distinguished in chemical messengers, spinal systems, brainstem-related structures, and cortical and subcortical systems^{1,35}.

Neurotransmitters such as dopamine have been associated with sexual desire by excitatory influences including the mesolimbic and mesocortical pathways. Serotonin has an inhibitory effect on sexual function, as extensions of the spine have a crucial role in the sexual response such as erection, ejaculation, fertility, and lubrication. The centers of the brainstem, which are important for alertness and arousal, play a role in the emotional and orgasm answers, enabling the excitement. Subcortical areas such as the hypothalamus and the pituitary gland are important structures involving the control and execution of human sexual response, through the action of hormones that are involved in reproduction, sexual desire, and also lubrication. Finally, the upper cortical regions, such as the limbic and frontal lobe and paralimbic regions are important for the regulation of sexual behavior^{1,35}.

Incidence

There is no consensus on the impact of changes in sexuality after TBI. Approximately 50-75% of individuals who have suffered TBI present cognitive and behavioral symptoms, and the consequences may occur even without motor or sensory sequelae, and the sexual behavior changes are serious complications⁶. The overall incidence of sexual disturbances in TBI patients vary from 29 to 60%^{7-10,36,37}. Early after injury, sexual dysfunction is infrequent and occurs in less than 10% of patients¹⁰.

Around 36-54% of sexual dysfunction after TBI is more prevalent in men with severe injuries. More than 50% of individuals suffering from TBI have decreased sexual arousal and women report more dyspareunia (genital pain during intercourse) and decreased vaginal lubrication. While men have more erectile

and ejaculatory dysfunction, although, the prevalence is not well known^{11,38}.

One study investigated the incidence and types of sexual issues in men and women one year after TBI. The sample was comprised of 165 men and 58 women who have gone through the rehabilitation process and were living in their communities. Dissatisfaction with sexual functioning was reported (29%) mostly by men¹². This finding was also reported by Gaudet et al.¹³. In another study, 255 people with TBI (187 men and 68 women), one year after the injury that underwent hospitalization and rehabilitation, and were living in the community were investigated. They concluded that the variables age, female gender, and having more serious injuries were associated with worse sexual dysfunction¹⁴. Both studies were prospective cohorts and used the scales Derogatis Interview for Sexual Functioning-Self-Report (DIFS-SR) and Global Sexual Satisfaction Index (GSSI).

However, not all studies report significant sexual dysfunction after TBI. A study examined the longitudinal changes in sexual functioning from 6 to 12 months after moderate and severe TBI through DIFS-SR and GSSI scales and evaluated 182 people (53 women and 129 men). After 6 months of trauma (72%) and 12 months after trauma (71%) subjects reported being satisfied with their sexual functioning, concluding that the sexual function and satisfaction appear to be stable in most of these subjects³⁹.

Risk factors and associated diseases

Sexuality can be influenced by neurological diseases and may lead to mood disorders, pain, paresis, loss of sensation, hypersensitivity, changes in sexual desire (which rarely happens to be hypersexuality, better known as an excessive sexual drive), anxiety, depression, musculoskeletal and self-image changes³⁸. For example, lesions in the frontal region can generate apathy with decreased sexual desire, loss of pragmatic skills, self-neglect, and loss of interpersonal skills, interfering with sexual relationships. Different from what is stated above, a survey of 5 patients with high sex drive after TBI, states that this behavior is a complication that can occur after head injuries, and can be a source of great suffering for patients, partners, and close relatives¹⁵.

The predictors generally reported of sexual dysfunction in TBI patients are older age, severe injuries, female gender, endocrine disorder, cognitive limitations, increased fatigue, depression, increased anxiety, changes in body image, motor limitations, and reduced self-esteem^{14,16-18,40-42}.

Table 1. Selected papers and data.

Author and date of publication	Paper	Journal	Study type (retrospective or prospective)	Study design (descriptive, cohort, cross-sectional or case-control)	Scales applied	Sample Size	Population group (mild, moderate or severe TBI)	Conclusions
Stolwyk et al. ⁴ , 2011	Assessment of Sexuality Following Traumatic Brain Injury: Validation of the Brain Injury Questionnaire of Sexuality	The Journal of Head Trauma Rehabilitation	Retrospective	Cross-sectional	Brain Injury Questionnaire of Sexuality (BIQS), Derogatis Interview for Sexual Functioning-Self-Report version (DISF-SR).	865 (608M:257F)	Mild, moderate and severe	The study results reinforce the reliability and validity of BIQS as a measuring tool for future research
Ter Mors et al. ⁶ , 2012	Evaluation of electrical aversion therapy for inappropriate sexual behaviour after traumatic brain injury: a single case experimental design study	BMJ Case Reports	Prospective	Descriptive	Analysis through behavioral observations	1 (1M:0F)	Severe	The EAT (Electrical aversion therapy) it was effective in a patient with inappropriate sexual behavior did not improve with conventional treatments
Ponsford ⁷ , 2003	Sexual changes associated with traumatic brain injury	Neuropsychological Rehabilitation	Retrospective	Case-control	Structured questionnaire	208 (144M:64F)	Moderate and severe	Victims of TBI reported reduction of opportunities to have sexual activity, decline in ability to give sexual satisfaction to the partner, decreased libido, self-confidence, communication and quality in the relationship with sexual partner
Sandel et al. ⁸ , 1996	Sexual functioning following traumatic brain injury	Brain Injury	Retrospective	Cross-sectional	Derogatis Interview of Sexual Function (DISF): Orgasm and Drive/Desire	52 (39M:13F)	Mild, moderate and severe	Patients with lesions in the frontal lobe and right hemisphere reported higher levels of satisfaction and sexual functioning than those with other injuries, and patients with more recent injuries reported higher arousal levels than those with longer lesions
O'Carroll et al. ⁹ , 2001	Psychosexual and psychosocial sequelae of closed head injury	Brain Injury	Retrospective	Cross-sectional	Golombok Rust Inventory of Sexual Satisfaction- (GRISS), General Health Questionnaire (GHQ), Hospital Anxiety and Depression Scale (HAD)	36 (30M:6F)	Moderate and severe	Of patients suffering from TBI studied, 61% were classified with degrees of emotional distress, with a prevalence of symptoms of anxiety and depression that bring psychosocial and psychosexual losses

Table 1. Continued....

Author and date of publication	Paper	Journal	Study type (retrospective or prospective)	Study design (descriptive, cohort, cross-sectional or case-control)	Scales applied	Sample Size	Population group (mild, moderate or severe TBI)	Conclusions
Aloni et al. ¹⁰ , 1999	Incidence of sexual dysfunction in TBI patients during the early post-traumatic in-patient rehabilitation phase	Brain Injury	Retrospective	Cross-sectional	Interdisciplinary assessment team and structured interview	44 (44M:0F)	Severe	It is suggested that sexual dysfunction in TBI patients that appear during later stages of recovery, are probably related behavioral changes
Kreutzer et al. ¹¹ , 1989	Psychosexual consequences of traumatic brain injury: methodology and preliminary findings	Brain Injury	Retrospective	Cross-sectional	Systematic observation	21 (21M:0F)	Mild, moderate and severe	Male patients TBI victims have negative changes in sexual behavior, including decreased sexual desire, erectile function and frequency of sex, and emotional changes, including depression, decreased self-esteem and decline in personal sex appeal
Sander et al. ¹² , 2012	Sexual Functioning 1 Year After Traumatic Brain Injury: Findings From a Prospective Traumatic Brain Injury Model Systems Collaborative Study	Archives of Physical Medicine and Rehabilitation	Prospective	Cohort	Derogatis Interview for Sexual Functioning-self-report (DISF-SR), Global Sexual Satisfaction Index (GSSI), structured interview regarding changes in sexual functioning, comfort level discussing sexuality with health care professionals	223 (165M:58F)	Moderate and severe	TBI victims with one-year injury time, living in their communities, had sexual difficulties after the evaluations. Thus, it is important to carry out interventions to improve the quality of sexual life of these people
Gaudet et al. ¹³ , 2001	Self-reported consequences of traumatic brain injury: a study of contrasting TBI and non-TBI participants	Sexuality and Disability	Retrospective	Cross-sectional	Two Likert-type questionnaires: affective and behavioral	50 (26M:24F)	Mild, moderate and severe	TBI patients report having concerns about their cognition and aspects of sexuality, and men have greater concern

Table 1. Continued....

Author and date of publication	Paper	Journal	Study type (retrospective or prospective)	Study design (descriptive, cohort, cross-sectional or case-control)	Scales applied	Sample Size	Population group (mild, moderate or severe TBI)	Conclusions
Sander et al. ¹⁴ , 2013	Predictors of Sexual Functioning and Satisfaction 1 Year Following Traumatic Brain Injury: A TBI Model Systems Multicenter Study	Journal Of Head Trauma Rehabilitation	Prospective	Cohort	Derogatis Interview for Sexual Functioning-Self-Report (DISF-SR), Global Satisfaction With Sexual Functioning (Global Sexual Satisfaction Index), Participation Assessment With Recombined Tools-Objective, Patient Health Questionnaire-9	255 (187M:68F)	Moderate and severe	The elderly and women appear to be at greater risk of sexual dysfunction after TBI and may benefit from assessment and specialist treatment services. They were identified relations between social participation and sexual function and between depression and sexual satisfaction, which can serve as indicators for future clinical assessments and interventions
Hanks et al. ¹⁰ , 2013	Changes in Sexual Functioning From 6 to 12 Months Following Traumatic Brain Injury: A Prospective TBI Model System Multicenter Study	Journal of Head Trauma and Rehabilitation	Prospective	Cross-sectional	Derogatis Interview for Sexual Functioning-Self-Report (DIFS-SR), Global Sexual Satisfaction Index (GSSI)	182 (129M:53F)	Moderate and severe	Sexual function and sexual satisfaction may be stable in most of the victims of TBI, a fact that reinforces the importance of further studies in this field
Eghwrujakpor et al. ¹⁵ , 2008	Hypersexual Behavior Following Craniocerebral Trauma – an Experience with Five Cases	Libyan Journal Of Medicine	Prospective	Cross-sectional	Analysis through behavioral observations	5 (4M:1F)	Moderate and severe	Excessive sexual drive is a complication that can occur after head injuries, can be a source of great suffering for patients and people with whom they share significant relationships
Strizzi et al. ¹⁶ , 2015	Sexual functioning, desire, and satisfaction in women with TBI and healthy controls	Behavioural Neurology	Prospective	Case-control	Sexual Quality of Life Questionnaire (SQoL), Female Sexual Functioning Index (FSFI), Sexual Desire Inventory (SDI), Sexual Satisfaction Index (ISS)	29 (0M:29F)	Moderate and severe	Women victims of TBI have reduced sexual desire, arousal, orgasm, sexual satisfaction and lubrication, compared to healthy women

Table 1. Continued....

Author and date of publication	Paper	Journal	Study type (retrospective or prospective)	Study design (descriptive, cohort, cross-sectional or case-control)	Scales applied	Sample Size	Population group (mild, moderate or severe TBI)	Conclusions
Moreno et al. ¹⁷ , 2015	The Relationship Between Postconcussion Symptoms and Sexual Quality of Life in Individuals with Traumatic Brain Injury	Sexuality and Disability	Retrospective	Case-control	Sexual Quality of Life Questionnaire, and postconcussion symptoms with the Post-concussion Symptom Scale.	41 (18M:23F)	Moderate and severe	The current study shows that sexual quality of life is significantly lower in individuals with TBI than in matched healthy controls, and that there is a strong association between sexual quality of life and postconcussion symptoms in individuals with TBI. Individuals with TBI have physiological and emotional problems that impair sexual performance. The TBI men reported more difficulties to sustain an erection and symptoms of depression, while women reported more difficulty becoming sexually aroused, pain during sex or masturbation, hormonal changes and vaginal lubrication and depression
Hibbard et al. ¹⁸ , 2000	Sexual dysfunction after traumatic brain injury	NeuroRehabilitation	Retrospective	Case-control	Semi structured interview	322 (193M:129F)	Moderate and severe	There was a significant difference related to sexual performance among participants with TBI and healthy, as after TBI subjects reported depression, anxiety, decreased self-esteem, fatigue, low confidence, pain and decreased mobility
Downing et al. ¹⁹ , 2013	Sexual changes in individuals with traumatic brain injury: a control comparison	The Journal Of Head Trauma Rehabilitation	Retrospective	Case-control	Brain Injury Questionnaire of Sexuality (BIQS), Hospital Anxiety and Depression Scale, Rosenberg Self-Esteem Scale	865 (608M:257F)	Moderate and severe	Victims of TBI, sexual dysfunction most often are associated with depression, loss of self-esteem, social barriers to participation, reduction of sexual contact opportunities and impaired independence
Ponsford et al. ²⁰ , 2013	Factors associated with sexuality following traumatic brain injury	The Journal Of Head Trauma Rehabilitation	Prospective	Case-control	Brain Injury Questionnaire of Sexuality (BIQS), Hospital Anxiety and Depression Scale, Rosenberg Self-Esteem Scale	986 (676M:310F)	Moderate and severe	The prevalence and clinical characteristics of inappropriate sexual behavior. They represent a complex clinical challenge between a minority of patients with severe TBI
Simpson et al. ²¹ , 2013	Prevalence, clinical features, and correlates of inappropriate sexual behavior after traumatic brain injury: a multicenter study	The Journal Of Head Trauma Rehabilitation	Prospective	Cross-sectional	Scale, Disability Rating Scale, Sydney Psychosocial Reintegration Scale-2, Health of the Nation Outcome Scale-Acquired Brain Injury, Care and Needs Scale	500 (250M:257F)	Severe	

Table 1. Continued....

Author and date of publication	Paper	Journal	Study type (retrospective or prospective)	Study design (descriptive, cohort, cross-sectional or case-control)	Scales applied	Sample Size	Population group (mild, moderate or severe TBI)	Conclusions
James and Young ²² , 2013	Clinical correlates of verbal aggression, physical aggression and inappropriate sexual behaviour after brain injury	European Journal Of General Practice	Retrospective	Cross-sectional	Brain Injury Rehabilitation Trust (BIRT), Aggression Rating Scale, complementary measures of inappropriate sexual behavior	152 (114M:38F)	Mild, moderate and severe	Verbal aggression, physical aggression and inappropriate sexual behavior after an acquired brain injury (TBI among them) seem to reflect clinical phenomena separate instead of general deregulation of behavior.
Goldin et al. ²³ , 2014	Sexual Functioning and the Effect of Fatigue in Traumatic Brain Injury	Journal of Head Trauma Rehabilitation	Prospective	Case-control	Fatigue Assessment Instrument, Global Fatigue Index, Beck Depression Inventory, SF-36 Health Survey.	220 (115M:105F)	Mild, moderate and severe	Fatigue affects more the performance of sexual activity for men and women with TBI than for those without brain injuries
Simpson et al. ²⁴ , 1999	Sex off-end-ing as a psychosocial sequela of traumatic brain injury	The Journal Of Head Trauma Rehabilitation	Retrospective	Cross-sectional	Protocol to record data on demographic, injury, radiological and psychosocial variables and offensive behavior	445 (363M:82F)	Not specified	Sexual crimes are a significant clinical problem among a small minority of men after TBI (6.5% - n = 29)
Simpson and Long ²⁵ , 2004	An evaluation of sex education and information resources and their provision to adults with traumatic brain injury	The Journal Of Head Trauma Rehabilitation	Prospective	Cross-sectional	Two protocols with closed questions	49 (15M:34F)	Not specified	The resources of the sex education program were positively assessed by rehabilitation agencies and the community as a means to provide education and information to meet the sexual health concerns of people with TBI
Gill et al. ²⁶ , 2011	Exploring Experiences of Intimacy From the Viewpoint of Individuals With Traumatic Brain Injury and Their Partners (não possui os dados que buscamos)	The Journal Of Head Trauma Rehabilitation	Retrospective	Cross-sectional	Semi structured interview	18 (12M:6F)	Not specified	After TBI can occur commitments related to sexuality, so health workers should be educated about the difficulties that the victims and their partners may have, and how to make appropriate referrals to help them.

Table 1. Continued....

Author and date of publication	Paper	Journal	Study type (retrospective or prospective)	Study design (descriptive, cohort, cross-sectional or case-control)	Scales applied	Sample Size	Population group (mild, moderate or severe TBI)	Conclusions
Yang et al. ²⁷ , 2018	Risk of Erectile Dysfunction After Traumatic Brain Injury: A Nationwide Population-Based Cohort study in Taiwan	American Journal of Men's Health	Retrospective	Cohort	Not specified	72,642 male patients who were diagnosed with TBI, and 217,872 patients without TBI	Mild, moderate and severe	patients with TBI are associated with a high risk of developing subsequent ED especially organic ED, in comparison to the controls
Downing et al. ²⁸ , 2018	Sexuality in individuals with traumatic brain injury and their partners.	Neuropsychological Rehabilitation	Retrospective	Cross-sectional	Derogatis Interview for Sexual Function—Self-Report (DISF-SR)	55 couples	Mild, moderate and severe	It appears that individuals with TBI experience significant negative changes in sexual function, including problems with lubrication, as well as reduced sexual arousal.
Bivona et al. ²⁹ , 2016	A biopsychosocial analysis of sexuality in adult males and their partners after severe traumatic brain injury	Brain Injury	Retrospective	Cross-sectional	Sexual life was assessed with the Sexuality Evaluation Schedule Assessment Monitoring (SESAMO)	Twenty males with a history of severe TBI and 20 healthy controls (HC) and their respective partners	Severe	sexual life can be adversely affected in males after severe TBI for both survivors and their partners. However, sexual dysfunction is only one part of the sexual difficulties observed in survivors
Moreno and McKerral ³⁰ , 2017	Towards a taxonomy of sexuality following traumatic brain injury: A pilot exploratory study using cluster analysis	Neurorehabilitation	Retrospective	Cross-sectional	Sexual Quality of Life Questionnaire, and Sexual Desire Inventory (SDI-2)	19 M:23F partners	Mild, moderate and severe	The group of individuals with TBI showing sexual problems was older, showed lower levels of sexual quality of life and sexual desire, with significant symptoms of anxiety and depression.
Beilamkond and Zollman ³¹ , 2014	Relationship Between Employment Status and Sexual Functioning After Traumatic Brain Injury	Brain injury	Retrospective	Cross-sectional	Derogatis Interview for Sexual Functioning Self-Report (DISF-SR) sum and sub-scale scores, Global Sexual Satisfaction Index (GSSI).	95 M: 37F	mild, moderate or severe	The results of this study show a relationship between lower quality sexual functioning and satisfaction in persons with TBI and concomitant unemployment or lower annual income.

Table 1. Continued....

Author and date of publication	Paper	Journal	Study type (retrospective or prospective)	Study design (descriptive, cohort, cross-sectional or case-control)	Scales applied	Sample Size	Population group (mild, moderate or severe TBI)	Conclusions
Simpson et al. ³² , 2001	Social, Neuroradiologic, Medical, and Neuropsychologic Correlates of Sexually Aberrant Behavior After Traumatic Brain Injury: A Controlled Study	The Journal of Head Trauma Rehabilitation	Retrospective	Case control	Not specified	25 males with sexually aberrant behavior (SAB) after traumatic brain injury (TBI) and 25 males in control group	mild, moderate or severe	In the assessment, no relevant risk factors for the development of SAB were identified
Anto-Ocrah et al. ³³ , 2019	Risk of Female Sexual Dysfunction Following Concussion in Women of Reproductive Age	Brain Injury	Prospective	Cohort	Brain Injury Questionnaire on Sexuality (BIQS)	31 female	mild TBI (concussion)	Our results suggest that women who have a concussion have a significantly increased risk of sexual dysfunction compared to those who have an extremity injury. A history of previous concussions was also associated with current sexual dysfunction, further substantiating our hypothesis that concussion is associated with decreased sexual functioning.
Sander et al. ³⁴ , 2016	Multicenter Study of Sexual Functioning in Spouses/Partners of Persons With Traumatic Brain Injury.	Archives of Physical Medicine and Rehabilitation	Retrospective	Cross sectional	Derogatis Interview for Sexual Functioning Self-Report; Global Sexual Satisfaction Index	70 couples	mild, moderate or severe	Worse sexual functioning in spouses/partners was associated with older age and with worse sexual functioning in persons with TBI.

A study compared the sexuality of individuals with TBI and healthy people matched for age and sex with 865 participants with moderate to severe TBI were compared with 142 healthy people. A significant difference between participants with TBI and healthy people was found because the subject after TBI reported depression, anxiety, reduced self-esteem, fatigue, low confidence, pain and decreased mobility¹⁹. In another study, 986 moderate to severe TBI patients were evaluated. Those patients with sexual dysfunction, in most cases, presented with depression, loss of self-esteem, barriers to social participation, reduction of sexual opportunities, and impaired independence²⁰. Both studies used the Brain Injury Sexuality Questionnaire (BISQ), Hospital Anxiety and Depression Scale, and Rosenberg Self-Esteem Scale.

In another study, 507 subjects with severe TBI were evaluated through the Overt Behavior Scale, Disability Rating Scale, Sydney Psychosocial Reintegration Scale-2, Health of the Nation Outcome Scale-Acquired Brain Injury, and Care and Needs Scale. The authors reported that occurred inappropriate sexual talk in 57.9% of cases, followed by touching and non-touching genital behavior (29.8%), and exhibitionism/public masturbation (10.5%)²¹.

In order to explore the relationships between verbal aggression, physical aggression, and inappropriate sexual behavior after TBI, a study reviewed 77 people that were hospitalized after Acquired Brain Injury (ABI), mostly caused by TBI (66%). The patients were evaluated with the Brain Injury Rehabilitation Trust (BIRT) and Aggression Rating Scale during hospitalization. They concluded that 46 of the 77 participants had verbal aggression, 26 showed aggressive behavior and 26 had inappropriate sexual behavior, however, no correlation between sexual disturbance and aggressive behavior was found²².

A recent study evaluated 200 adults from a community who suffered mild TBI and 83 subjects without brain injury. The aim was to examine specific aspects of sexual functioning (like frequency, desired frequency, importance, and satisfaction) and its relationship with fatigue. They applied the Fatigue Assessment Instrument, Global Fatigue Index, Beck Depression Inventory, and SF-36 Health Survey. As a result, we have that several aspects of sexual activity (frequency, desired frequency, and importance) were closely related to the specific features of fatigue among individuals with TBI. Women with TBI reported less frequent and minor sex than men. In subjects without brain damage, the impact of fatigue was limited to the frequency of sexual activity, with no differences between the sexes. The authors concluded that fatigue plays a different role in

the subjective experience of sexual activity for men and women with TBI than for those without brain damage²³.

The Forensic Psychiatry has also studied this subject, reporting that individuals after TBI have more convictions for sexual offenses such as pedophilia, exhibitionism, and compulsive sexual behavior³⁸. In a study evaluating 447 criminals with TBI, 6.5% (n = 29) committed some form of sexual crime²⁴.

Assessment

Sexual disorders after TBI is little discussed in the scientific literature and are complex to be evaluated³⁸. There are relationships between social participation and sexual function and between depression and sexual satisfaction, which can serve as clinical indicators for further evaluation and intervention. However, more research is needed to elucidate these relationships and to identify effective clinical approaches¹⁴.

Given the importance and difficulty of identifying and effectively managing the issues of sexuality after TBI, an Australian study recruited 865 people who had suffered traumatic brain injury to participate in the validation of the "Brain Injury Questionnaire of Sexuality," a tool that assesses problems of sexuality after TBI. The evaluation method consists of applying a questionnaire with 18 questions that compare sexual activity before and after the injury⁴.

Another study evaluated the features designed to meet the sexual health concerns of people with TBI in two different multidisciplinary services; 37 subjects who had suffered trauma within the past 12 months and living in the community, answered two protocols with closed questions. The result is that the services showed attention to sexual health concerns of individuals through Sex Education (guidelines and monitoring issues related to sex, free from prejudices and taboos). In addition, they found that the 4 issues most addressed were: what is sexuality, which is sexual dysfunction, such as meeting people, and how to work the sexual adjustment issues in the context of relations after TBI²⁵.

In a study evaluating 223 subjects (165 men and 58 women) who were admitted, discharged, and were living in the community, the scales applied were Derogatis Interview for Sexual Functioning-self-report (DISF-SR), Global Sexual Satisfaction Index (GSSI), and a structured interview referring changes in sexual functioning. The results showed that 68% of patients would talk freely about issues of sexual difficulties with health professionals, while the rest

would talk only if asked, or not enter this subject. This reinforces the importance of providing education and information to address sexual concerns of people with TBI and education regarding the impact of TBI on intimacy should be integrated into rehabilitation¹². A conclusion was also reached by another recent study⁴³.

Treatment

There's no consensus on treatment for the different types of sexual dysfunction. One study reported the results of a functional analysis that showed inappropriate sexual behaviors exhibited by a boy, 9 years old, who had been diagnosed with TBI. They found a significant improvement after an intervention consisting of functional communication training and the extinction of inappropriate behavior⁴⁴.

The two strategies most used in the treatment of excessive sexual drive after TBI are psychotherapy, with an emphasis on the cognitive-behavioral model, and when this does not present satisfactory results, it is added a pharmacological approach, which often includes selective serotonin reuptake inhibitors, such as citalopram¹⁵.

It is also suggested as a treatment, through the description of a clinical case, that "Electrical aversion therapy" (EAT) is a behavioral treatment option that can be used in people with severe TBI, including behavioral changes, and inappropriate sexual behavior, when other therapies are not effective⁶.

Another study sought to explore through qualitative interviews intimacy experience from the point of view of 18 couples in which the companion suffered TBI (average of 4 years after injury) and living in the community with their partners. Factors that were perceived that helped the relationship were: unconditional commitment, spending time together, open communication, facing the difficulties caused by injury together, social support, family ties, spirituality, and the experience of overcoming difficulties. Factors that were perceived as barriers to intimacy included: emotional reactions to change, sexual difficulties, conflict and tension in the social role, family issues, social isolation, and communication problems. Thus, the study concludes that health professionals should be sensitized to the needs that people with TBI and their partners have about intimacy and how to make appropriate referrals to help them²⁶.

Another study explored the perceptions and experiences of rehabilitation professionals to discuss sexuality with users who have TBI. It included 24 professionals (6 men and 18 women) in two medical institutions treating victims of TBI. In each institution,

arrangement was in 4 focus groups, using a semi-structured interview schedule. Focus group data were transcribed and analyzed using thematic analysis. Six main themes were obtained from the analysis: 1) Sexuality after TBI is a matter of expertise: this item refers to the participants' perception that sexuality is a topic that requires specialized knowledge, skills, and training. Sometimes the lack of knowledge, skills, and experience prevented the professional to open sexuality discussions; 2) Sexuality is a sensitive subject: the subject relates to the participants' perception that sexuality is a delicate issue that needs to be approached carefully to avoid discomfort; 3) Practical aspects of discussing sexuality: this theme refers to 'difficulty' (with a questionnaire, explanatory material, individually or in groups?), 'when' (beginning, middle, end of treatment or when the person bringing the theme?) and 'where' (in the institution?) to raise issues of sexuality with the victims; 4) Roles and responsibilities: this theme refers to the aspect of professionals putting or not putting themselves in the role to address the issue; 5) Dilemmas about risks and vulnerabilities: this theme refers to the risks and vulnerabilities that the subject can be placed if the sexuality issues are not addressed, for example, risks associated with sexual exploitation and unprotected sex; and 6) Organizational and structural issues: This theme refers to the organization and structure that offers the service to address the issue⁴³.

CONCLUSION

The incidence of TBI with sexual changes varies among studies as well as if sexual problems occur more frequently in men or in women, or whether the excessive sexual drive is common or not. It was noted that the greater the severity of the lesion and the age of the person, the greater the risk of developing sexual difficulties. As for evaluation, professionals lack instruments that can be used to evaluate and to conduct sexuality issues related to TBI, and usually do not address these problems.

Unfortunately, the study and intervention of sexual dysfunction after TBI is still a neglected field in literature, and sexuality issues remain largely unsolved through the rehabilitation process, especially in non-developed and developing countries. Further studies are necessary to elucidate the sexual dysfunctions presented by the affected population, in order to allow the advent of new treatment strategies that address their needs, thus enhancing sexual satisfaction and improving quality of life.

ACKNOWLEDGEMENTS

This study was supported by the Amazonas State Research Support Foundation (FAPEAM), through the *Stricto Sensu* Graduate Support Program (POSGRAD), by the Coordination for the Improvement of Higher Education Personnel (CAPES), and by the National Council for Scientific and Technological Development (CNPq), through the Postgraduate Program in Health Sciences and the Federal University of Amazonas (UFAM).

REFERENCES

- Moreno JA, Arango Lasprilla JC, Gan C, McKerral M. Sexuality after traumatic brain injury: a critical review. *NeuroRehabilitation*. 2013;32(1):69-85. <http://dx.doi.org/10.3233/NRE-130824>. PMID:23422460.
- WORLD HEALTH ORGANIZATION. Sexual and reproductive health. Available from: www.who.int/reproductivehealth/topics/sexual_health/sh_definitions/en/. Accessed: 11/17/2014.
- Foucault M. A história da sexualidade I: a vontade de saber. Tradução Maria Thereza da Costa Albuquerque e J. A. Guilhon Albuquerque. Rio de Janeiro: Graal; 2011. p. 9-174.
- Stolwyk RJ, Downing MG, Taffe J, Kreutzer JS, Zasler ND, Ponsford JL. Assessment of sexuality following traumatic brain injury: validation of the brain injury questionnaire of sexuality. *J Head Trauma Rehabil*. 2013;28(3):164-70. <http://dx.doi.org/10.1097/HTR.0b013e31828197d1>. PMID:23474881.
- Freire F, Coelho F, Lacerda JR, et al. Cognitive rehabilitation following traumatic brain injury. *Dement Neuropsychol*. 2011;5(1):17-25. <http://dx.doi.org/10.1590/S1980-57642011DN05010004>. PMID:29213715.
- Ter Mors BJ, van Heugten CM, van Harten PN. Evaluation of electrical aversion therapy for inappropriate sexual behaviour after traumatic brain injury: a single case experimental design study. *BMJ Case Rep*. 2012;2012(1):1-5. <http://dx.doi.org/10.1136/bcr-02-2012-5932>. PMID:22922913.
- Ponsford J. Sexual changes associated with traumatic brain injury. *Neuropsychol Rehabil*. 2003;13(1-2):275-89. <http://dx.doi.org/10.1080/09602010244000363>. PMID:21854338.
- Sandel ME, Williams KS, Dellapietra L, Derogatis LR. Sexual functioning following traumatic brain injury. *Brain Inj*. 1996;10(10):719-28. <http://dx.doi.org/10.1080/026990596123981>. PMID:8879662.
- O'Carroll RE, Woodrow J, Maroun F. Psychosexual and psychosocial sequelae of closed head injury. *Brain Inj*. 1991;5(3):303-13. <http://dx.doi.org/10.3109/02699059109008100>. PMID:1933079.
- Aloni A, Keren O, Cohen M, Rosentul N, Romm M, Groswasser Z. Incidence of sexual dysfunction in TBI patients during the early post-traumatic in-patient rehabilitation phase. *Brain Inj*. 1999;13(2):89-97. <http://dx.doi.org/10.1080/026990599121755>. PMID:10079954.
- Kreutzer JS, Zasler ND. Psychosexual consequences of traumatic brain injury: methodology and preliminary findings. *Brain Inj*. 1989;3(2):177-86. <http://dx.doi.org/10.3109/02699058909004550>. PMID:2730976.
- Sander AM, Maestas KL, Pappadis MR, Sherer M, Hammond FM, Hanks R. Sexual functioning 1 year after traumatic brain injury: findings from a prospective traumatic brain injury model systems collaborative study. *Arch Phys Med Rehabil*. 2012;93(8):1331-7. <http://dx.doi.org/10.1016/j.apmr.2012.03.037>. PMID:22840831.
- Gaudet L, Crethar HC, Burger S, Pulos S. Self-reported consequences of traumatic brain injury: a study of contrasting TBI and non-TBI participants. *Sex Disabil*. 2001;19(2):111-9. <http://dx.doi.org/10.1023/A:1010673722429>.
- Sander AM, Maestas KL, Nick TG, et al. Predictors of sexual functioning and satisfaction 1 year following traumatic brain injury: a TBI model systems multicenter study. *J Head Trauma Rehabil*. 2013;28(3):186-94. <http://dx.doi.org/10.1097/HTR.0b013e31828b4f91>. PMID:23661070.
- Eghwudjakpor P, Essien AA. Hypersexual behavior following craniocerebral trauma: an experience with five cases. *Libyan J Med*. 2008;3(4):192-4. PMID:21503151.
- Strizzi J, Olabarrieta Landa L, Pappadis M, et al. Sexual functioning, desire, and Satisfaction in women with TBI and healthy controls. *Behav Neurol*. 2015;2015:247479. <http://dx.doi.org/10.1155/2015/247479>. PMID:26556951.
- Moreno JA, Arango-Lasprilla JC, McKerral M. The relationship between postconcussion symptoms and sexual quality of life in individuals with traumatic brain injury. *Sex Disabil*. 2015;33(4):483-98. <http://dx.doi.org/10.1007/s11195-015-9414-8>.
- Hibbard MR, Gordon WA, Flanagan S, Haddad L, Labinsky E. Sexual dysfunction after traumatic brain injury. *NeuroRehabilitation*. 2000;15(2):107-20. <http://dx.doi.org/10.3233/NRE-2000-15204>. PMID:11455088.
- Downing MG, Stolwyk R, Ponsford JL. Sexual changes in individuals with traumatic brain injury: a control comparison. *J Head Trauma Rehabil*. 2013;28(3):171-8. <http://dx.doi.org/10.1097/HTR.0b013e31828b4f63>. PMID:23661068.
- Ponsford JL, Downing MG, Stolwyk R. Factors associated with sexuality following traumatic brain injury. *J Head Trauma Rehabil*. 2013;28(3):195-201. <http://dx.doi.org/10.1097/HTR.0b013e31828b4f7b>. PMID:23661071.
- Simpson GK, Sabaz M, Daher M. Prevalence, clinical features, and correlates of inappropriate sexual behavior after traumatic brain injury: a multicenter study. *J Head Trauma Rehabil*. 2013;28(3):202-10. <http://dx.doi.org/10.1097/HTR.0b013e31828dc5ae>. PMID:23661072.

22. James AIW, Young AW. Clinical correlates of verbal aggression, physical aggression and inappropriate sexual behaviour after brain injury. *Eur J Gen Pract.* 2013;27(10):1162-72. PMID:23909644.
23. Goldin Y, Cantor JB, Tsousides T, Spielman L, Gordon WA. Sexual functioning and the effect of fatigue in traumatic brain injury. *J Head Trauma Rehabil.* 2014;29(5):418-26. <http://dx.doi.org/10.1097/HTR.0b013e31829cf76d>. PMID:23867995.
24. Simpson G, Blaszczynski A, Hodgkinson A. Sex offending as a psychosocial sequela of traumatic brain injury. *J Head Trauma Rehabil.* 1999;14(6):567-80. <http://dx.doi.org/10.1097/00001199-199912000-00005>. PMID:10671702.
25. Simpson G, Long E. An evaluation of sex education and information resources and their provision to adults with traumatic brain injury. *J Head Trauma Rehabil.* 2004;19(5):413-28. <http://dx.doi.org/10.1097/00001199-200409000-00006>. PMID:15597032.
26. Gill CJ, Sander AM, Robins N, Mazzei D, Struchen MA. Exploring experiences of intimacy from the viewpoint of individuals with traumatic brain injury and their partners. *J Head Trauma Rehabil.* 2011;26(1):56-68. <http://dx.doi.org/10.1097/HTR.0b013e3182048ee9>. PMID:21209563.
27. Yang YJ, Chien WC, Chung CH, et al. Risk of erectile dysfunction after traumatic brain injury: a nationwide population-based cohort study in Taiwan. *Am J Mens Health.* 2018;12(4):913-25. <http://dx.doi.org/10.1177/1557988317750970>. PMID:29325484.
28. Downing M, Ponsford J. Sexuality in individuals with traumatic brain injury and their partners. *Neuropsychol Rehabil.* 2018;28(6):1028-37. <http://dx.doi.org/10.1080/09602011.2016.1236732>. PMID:27677345.
29. Bivona U, Antonucci G, Contrada M, et al. A biopsychosocial analysis of sexuality in adult males and their partners after severe traumatic brain injury. *Brain Inj.* 2016;30(9):1082-95. <http://dx.doi.org/10.3109/02699052.2016.1165867>. PMID:27260951.
30. Moreno JA, McKerral M. Towards a taxonomy of sexuality following traumatic brain injury: a pilot exploratory study using cluster analysis. *NeuroRehabilitation.* 2017;41(2):281-91. <http://dx.doi.org/10.3233/NRE-172201>. PMID:29060943.
31. Bellamkonda E, Zollman F. Relationship between employment status and sexual functioning after traumatic. *Brain Inj.* 2014;28(8):1063-9. <http://dx.doi.org/10.3109/02699052.2014.896473>. PMID:24702630.
32. Simpson G, Tate R, Ferry K, Hodgkinson A, Blaszczynski A. Social, neuroradiologic, medical, and neuropsychologic correlates of sexually aberrant behavior after traumatic brain injury: a controlled study. *J Head Trauma Rehabil.* 2001;16(6):556-72. <http://dx.doi.org/10.1097/00001199-200112000-00004>. PMID:11732971.
33. Anto-Ocrah M, Bazarian J, Lewis V, Jones CM, Jusko TA, Van Wijngaarden E. Risk of female sexual dysfunction following concussion in women of reproductive age. *Brain Inj.* 2019;33(11):1449-59. <http://dx.doi.org/10.1080/02699052.2019.1644377>. PMID:31322004.
34. Sander AM, Maestas KL, Pappadis MR, et al. Multicenter study of sexual functioning in spouses/partners of persons with traumatic brain injury. *Arch Phys Med Rehabil.* 2016;97(5):753-9. <http://dx.doi.org/10.1016/j.apmr.2016.01.009>. PMID:26845190.
35. Mattos P, Saboya E, Araújo C. Sequela comportamental pós-traumatismo craniano: o homem que perdeu o charme. *Arq Neuropsiquiatr.* 2002;60(2-A):319-23. <http://dx.doi.org/10.1590/S0004-282X2002000200027>. PMID:12068369.
36. Kreuter M, Dahllöf A-G, Gudjonsson G, Sullivan M, Siösteen A. Sexual adjustment and its predictors after traumatic brain injury. *Brain Inj.* 1998;12(5):349-68. <http://dx.doi.org/10.1080/026990598122494>. PMID:9591141.
37. Simpson G. Addressing the sexual concerns of persons with traumatic brain injury in rehabilitation settings: a framework for action. *Brain Impair.* 2001;2(2):97-108. <http://dx.doi.org/10.1375/brim.2.2.97>.
38. Santos CS Fo, Spizzirri G. Aspectos da sexualidade em indivíduos com traumatismo crânio-encefálico: revisão da literatura. *Acta Fisiatrica.* 2011;18:32-7.
39. Hanks RA, Sander AM, Millis SR, Hammond FM, Maestas KL. Changes in sexual functioning from 6 to 12 months following traumatic brain injury: a prospective TBI model system multicenter study. *J Head Trauma Rehabil.* 2013;28(3):179-85. <http://dx.doi.org/10.1097/HTR.0b013e31828b4fae>. PMID:23661069.
40. Komisaruk BR, Rodriguez Del Cerro MC. Human sexual behavior related to pathology and activity of the brain. *Handb Clin Neurol.* 2015;130:109-19. <http://dx.doi.org/10.1016/B978-0-444-63247-0.00006-7>. PMID:26003240.
41. Turner D, Schöttle D, Krueger R, Briken P. Sexual behavior and its correlates after traumatic brain injury. *Curr Opin Psychiatry.* 2015;28(2):180. <http://dx.doi.org/10.1097/YCO.000000000000144>. PMID:25602251.
42. Katz S, Aloni R. Sexual dysfunction of persons after traumatic brain injury: perceptions of professionals. *Int J Rehabil Res.* 1999;22(1):45-53. <http://dx.doi.org/10.1097/00004356-199903000-00006>. PMID:10207751.
43. Dyer K, Nair R. Talking about sex after traumatic brain injury: perceptions and experiences of multidisciplinary rehabilitation professionals. *Disabil Rehabil.* 2013;36(17):1431-8. PMID:24286234.
44. Fyffe CE, Kahng S, Fittro E, Russell D. Functional analysis and treatment of inappropriate sexual behavior. *J Appl Behav Anal.* 2004;37(3):401-4. <http://dx.doi.org/10.1901/jaba.2004.37-401>. PMID:15529897.

CORRESPONDING AUTHOR

Daniel Buzaglo Gonçalves, MD
Federal University of Amazonas – UFAM
School of Medicine
Manaus, Amazonas, Brazil
E-mail: danielbuzaglo13@gmail.com

Funding: Financial support by FAPEAM, CAPES and CNPQ.
Conflicts of interest: nothing to disclose.

Spontaneous Spinal Epidural Hematoma: a descriptive systematic review

Hematoma Epidural Espinhal Espontâneo: uma revisão sistemática descritiva

João Marcos Alcântara de Souza¹

Sávio Batista²

Stefan Koester³

Ghaspar Francisco¹

Mateus Barbosa¹

Rafaela de Souza¹

Roberth Fernandes⁴

Raphael Bertani⁵

Caio Moreno Perret⁵

Othavio Lopes⁶

Gustavo Pina dos Santos⁶

Dan Zimelewicz Oberman⁷

ABSTRACT

Spontaneous Spinal Epidural Hematoma (SSEH) is rare, with unknown etiology and devastating potential. Also, it is a pathology of difficult diagnosis, the exact knowledge of signs and symptoms is fundamental to allow a good prognosis. A systematized review of the literature was performed selecting articles published until 2021 in Pubmed, Embase, Web of Science, and Scopus databases about SSEH resulting in 2325 clinical studies related to the main diagnosis, and its etiologies, treatments and outcomes were described. A thorough search of these articles suggests that vascular malformations, anticoagulant therapy, neoplasms, and previous spinal surgery are the most common causes of SSEH and the main diagnostic method of SSEH is a thorough patient history and imaging studies, mainly MRI. Moreover, surgical treatment is indicated for most of cases, consisting of surgical decompression and hematoma evacuation, with conservative treatment recommended for fewer, selected cases with pieces of evidence suggesting that surgical timing influences the prognosis.

Keywords: Spinal epidural hematoma; Spinal hematoma; Spontaneous hematoma; Spontaneous spinal hematoma; Spontaneous spinal epidural hematoma

RESUMO

O hematoma epidural espinhal espontâneo (HEEE) é raro, com etiologia desconhecida e potencial devastador. Além disso, é uma patologia de difícil diagnóstico, sendo fundamental o conhecimento exato dos sinais e sintomas para permitir um bom prognóstico. Realizamos uma revisão sistemática da literatura selecionando artigos publicados até 2021 nas bases de dados da Pubmed, Embase, Web of Science e Scopus sobre HEEE resultando em 2325 estudos clínicos relacionados ao diagnóstico principal, em que foram descritas etiologias, tratamentos e desfechos. Uma busca minuciosa desses artigos sugere que malformações vasculares, terapia anticoagulante, neoplasias e cirurgia espinhal prévia são as causas mais comuns de HEEE. O principal método diagnóstico de HEEE é uma história completa do paciente e exames de imagem, principalmente a ressonância magnética. Além disso, o tratamento cirúrgico é indicado para a maioria dos casos, consistindo em descompressão cirúrgica e evacuação do hematoma, com tratamento conservador recomendado para poucos casos selecionados com evidências, sugerindo que o tempo cirúrgico influencia o prognóstico.

Palavras-Chave: Hematoma epidural espinhal; Hematoma espinhal; Hematoma espontâneo; Hematoma espinhal espontâneo; Hematoma epidural espinhal espontâneo

¹ Medical student, Neurosurgery Department, Atenas University Center, Passos, MG, Brazil.

² Medical student, Federal University of Rio de Janeiro, Faculty of Medicine, Rio de Janeiro, RJ, Brazil.

³ Medical student, Vanderbilt University School of Medicine, Nashville, TN, USA.

⁴ Medical student, Neurosurgery Department, Atenas University Center, Sete Lagoas, MG, Brazil.

⁵ MD, Department of Neurosurgery, Hospital Municipal Miguel Couto, Rio de Janeiro, RJ, Brazil.

⁶ MD, Neurosurgeon, Department of Neurosurgery, Hospital Força Aérea do Galeão, Rio de Janeiro, RJ, Brazil.

⁷ MD, MSc, Neurosurgeon, Department of Neurosurgery, Hospital Força Aérea do Galeão, Rio de Janeiro, RJ, Brazil.

Received Jun 2, 2022

Accepted Jul 12, 2022

INTRODUCTION

Spontaneous spinal epidural hematoma (SSEH) is a rare, acute condition, with an incidence of 0.1 per 100,000/year^{1,2}. SSEH is caused by the accumulation of blood in the epidural space, which can mechanically compress the spinal cord^{3,4}. Since the first case of SSEH in the 19th century, more than 600 cases with various aetiologies have been reported in the literature⁵⁻⁷ and, in cases where progressive neurological deficits appear, urgent neurosurgical evacuation of the hematoma and spinal cord decompression may be required^{8,9}. Comprehensive and broad reviews of this diagnosis are relatively lacking in the literature. Our aim is to conduct a thorough review of the literature in order to address the main scientific evidence on SSEH and summarize the main diagnosis, treatments, and outcomes of this disease.

MATERIALS AND METHODS

Our review was based on the guiding question: “what are the most relevant aspects in pathophysiology, diagnostics, treatment, and outcomes in relation to the spontaneous spinal epidural hematomas in the general population?” A comprehensive literature review was performed using Pubmed, Embase, Web of Science, and Scopus databases using as keywords: “spontaneous spinal hematoma” OR “spontaneous spinal epidural hematoma.” Information collecting included etiology, pathophysiology, clinical signs and symptoms, diagnosis, treatments, and outcomes.

Eligibility criteria considered involved all articles in English from current literature. Letters to the editor and commentaries were excluded from the review. Studies involving hematomas occurring after trauma, epidural anesthesia, lumbar puncture, or surgery, SSEH in association with subdural and/or spinal subarachnoid hemorrhage, or vertebrae or spinal canal tumors were excluded.

The search strategy revealed a total of 5551 initial articles, with 1612 being excluded due to duplications. A total of 3939 articles were selected by title by a reviewer (SB), excluding 2325. Two reviewers (SB and JM) performed the analysis and extraction of data following abstract and full-text of 1614 remaining articles, with disagreements of inclusion settled by a senior author (DZ).

After this final selection, a total of 18 references were included in this review.

RESULTS

Although etiology of SSEH has not been completely elucidated, a number of factors such as hypertension, anticoagulation therapy, straining, sneezing, lifting, coagulation disorders, cavernous angiomas, and spinal vascular anomalies have been hypothesized to predispose to SSEH^{1,4,7}. In a meta-analysis of 613 patients with spinal hematoma it was verified that in approximately a third of the cases, no etiological factor can be identified as the cause of the bleeding⁵. Bleeding diathesis and arterial hypertension are conditions often associated with SSEH, however, the pathogenesis of those conditions remains obscure¹⁰. Coagulation disorders, arteriovenous malformations, antiplatelet therapy, surgery trauma, and conditions causing increased intrathoracic-intra-abdominal pressure (coughing, Valsalva maneuver) may act as predisposing factors^{1,6,11}.

The pathogenesis and bleeding mechanism of SSEH are still unclear. However, two mechanisms for the development of SSEH have been considered in the literature: the rupture of epidural vessels, and hemorrhage from vascular anomalies^{5,7}. The most widely accepted hypothesis is that spinal hematoma is caused by rupture of epidural veins (venous bleeding)⁵. The pathophysiological mechanism involves a *locus minoris resistentiae* that ruptures upon transmission of increased intra-abdominal or intrathoracic pressure due to its connection with the valveless veins of epidural venous plexus. Since epidural veins are valveless, they have no protection against the variations of abdominal or thoracic veins' pressure^{5,7}. Some researchers see the epidural venous plexus as an alternative path for blood amid the inferior and superior vena cava, which explains why there is a vascular bed that is much larger than would be necessary for the perfusion of the meninges alone⁵. In cases of increased intrathoracic or intra-abdominal pressure, this alternate route is utilized. If such pressure variations operate on acquired or congenital abnormalities of vascular walls, a place of less resistance (*locus minoris resistentiae*), rupture can occur^{5,12}. Epidural veins' rupture could happen during coughing, sneezing, defecation, coitus, straining (e.g. lifting of weights), pregnancy, and intrapartum in newborns^{5,7}. However, these insignificant physiological processes are numerous in daily life,

and the relative development of SSEH in these straining-associated activities is rare^{5,7}.

Alternatively, another hypothesis is that SSEH may be originated from an arterial hemorrhage, since the venous plexus pressure is lower in the epidural space, theoretically insufficient to cause a hematoma, as proposed by Beatty and Winston, mainly at the cervical level¹². Most SSEH are localized in the dorsal portion of epidural space and this supports the hypothesis of venous bleeding because the internal epidural venous network consists of a more prominent dorsal and a smaller ventral part, whereby the ventral portion is partially covered by the posterior vertebral longitudinal ligament and separated from the epidural space⁵.

However, the “venous hypothesis” will be unlikely to be applicable in the cervical region. Firstly, venous pressure is lower than intrathecal pressure¹³. Secondly, the cervical epidural hematoma usually produces rapid deterioration¹³. Anastomotic arteries that run in the epidural space and are also connected with radicular arteries are a possible origin for arterial bleeding¹³. The pressure of arterial bleeding is sufficient to compress the spinal cord, and such bleeding would likely not be tamponaded by the counter-pressure of the thecal sac¹⁴. Prior literature has shown that the more mobile segments of the cervical spine (C6-C7) were the most common sites of hemorrhage, in which movement at this level might stretch the arteries beyond their limits, leading to rupture, thus making this a common location of spinal epidural hematomas¹³.

Spinal compression involving SSEH occurs due to the limitation of the hemorrhage by epidural fat tissue and by coagulation of the blood so that additional bleeding does not spread into the relatively large epidural space that measures 3 to 6 mm on average⁵. The interval between the initial lesion and symptom onset could correspond to the gradual collection of blood in the epidural space that will later cause direct compression of the spinal cord or secondary ischemic injury of the spinal cord due to compression of spinal blood vessels⁵.

Venous epidural hemorrhages are believed to be self-limited due to compression before they become mass lesions, which has led some authors to believe that there should be an arterial source for SSEH⁵. Moreover, most hematomas are located dorsolaterally and often present with radicular pain, which suggests a lesion in the radicular arteries, which are also located laterally and run

along with the nerve roots⁵. The radicular arteries accompany the nerve roots into the spinal canal and may form longitudinal bridging arteries that could be easily injured by mechanical forces⁵. Since most hematomas are localized laterally (at the nerve root) and, similarly to epidural arteries, surrounded by a venous network, intraoperative observations would possibly support the hypothesis of arterial bleeding⁵. Beatty and Winston¹³ verified that their patients' hematomas were predominantly lateral and surrounded by a net of epidural veins, where epidural arteries would be found.

Clinical presentation of SSEH is usually an acute and severe onset of pain in the location of hemorrhage that may be radiated to limbs, and sometimes there is radicular paraesthesia, similarly to vascular lesions^{4,5}. Radicular pain may precede spinal pain, and subsequently, signs of the spinal cord or root compression ensue^{4,5,15}. Symptom onset may vary from several minutes to several days⁴. Rarely, patients may present with slowly progressive, chronic, or relapsing symptoms or with neurological signs and symptoms that mimic an acute intervertebral disc herniation¹⁵. In most cases of SSEH, severe neck and back pain at the segment of bleeding is more prominent, but pain with radiation into the extremities is verified in many cases⁵. Hematomas in the superior cervical region are marked by onset with nuchal pain, while the hematomas in the inferior cervical spine present with interscapular pain⁵. The severity and progressiveness of sensory and motor deficit depend on the severity and rapidity of bleeding¹⁶.

In high cervical regions, SSEH could cause spinal shock, leading to fatal conditions. Hematomas occurring at the lower levels (thoracic and lumbar spine) tend to have a subacute or chronic course^{13,17}.

Diagnosis of SSEH is made by prompt imaging exams and clinical suspicion^{1,18}. Patients with back pain and acute or subacute neurological deficits with no apparent cause or secondary to minor trauma or a physiological effort should be suspected and an investigation for underlying coagulopathies and arteriovenous malformations (AVMs) should be performed. Differential diagnoses should include neoplasia, epidural abscesses, and lipomas^{1,18}. SSEH on MRI scans may be seen as isointense lesions that progress to hyperintense on T1-weighted¹⁸ or heterogeneous hyperintensities on T2-weighted images with focal hypointense areas, seemingly associated with deoxyhemoglobin or too fibrous septa that attach to the dura to the walls of the spinal canal.

The latter finding can help to differentiate between SSEH and malignant lesions of the epidural space¹.

Despite of common characteristics of T1-weighted images, some cases in the literature show atypical presentations on MRI, such as hypointensity and persistent isointensity for more than 48 hours. In these cases, clinical history is essential for differential diagnosis, and T2-weighted imaging seems to be of utmost importance for differential diagnosis, especially when patient history is confusing. Similarly, T1 contrast-enhanced images show peripheral enhancement in most cases, in sharp contrast with neoplastic alterations that usually show central enhancement¹⁸.

According to reports from the literature, surgical decompression and evacuation of hematoma will be necessary in the majority of patients^{7,10,14}. Although in some cases, conservative treatment could be a feasible alternative¹⁵. As an acute or subacute condition with severe potential complications, SSEH with neurological deficits should be immediately surgically treated. It is worth noting that patients treated within 48 hours (if incomplete deficits) or 36 hours (if total deficits) have been reported to have better outcomes¹⁰. Some studies suggest the ideal timing for treatment to be within 12 hours of onset. This may suggest a narrower therapeutic window and increased necessity of celerity in diagnosis and management^{4,12}. As shown by Liao et al.⁷, operated patients had a complication rate of 2.9% and no deaths were reported in their series, compared to the disease-related mortality rate of 5.7%.

Interestingly, according to Groen et al.¹⁴, in 1996, the mortality seems to be higher in patients with cervical hematomas when compared to other segments (thoracic and lumbar segments) ($p < 0.05$) and it was observed that a possible major cause of mortality in this series was thromboembolic events, such as pulmonary embolism and myocardial infarction, which may be secondary to the perioperative interruption of previous anticoagulant therapy.

Even so, there seems to be a growing trend in literature to adopt conservative treatment for SSEH. According to Groen et al.¹⁵, this effectiveness may correlate with increased use of MRI imaging for the diagnosis of SSEH, which may have led to higher diagnostic rates and patients with milder symptoms being more frequently diagnosed and treated conservatively. Another interesting point observed by Groen¹⁵, in 2003, is that patients with conservative treatment usually have longer-lasting symptoms than patients treated with operative treatment. This may be correlated to

the “spreading theory” of spontaneous cure of SSEH, which hypothesizes that the hematoma spreads on the epidural space before being absorbed, which leads to spinal decompression and neurological amelioration¹⁵.

Surgical approaches remain the primary therapeutic intervention, with high rates of neurological recovery (88.9% complete recovery in patients with incomplete neurological deficit and 37.5% in those with a complete deficit¹⁰), being possibly replaced with conservative treatment in cases of a benign course with mild symptomatology and earlier improvement of sensorimotor symptoms¹⁵.

In patients who underwent surgery, the main factor related to the postoperative outcomes was the time since ictus to surgery, average Frankel grade, initial neurological deficit, size of the hematoma, and level of spinal cord compression. The average Frankel grade in numerical equivalents (5 as grade E, 4 as grade D, etc.) was 4.7 in patients treated before 6 hours, compared to an average grade of 3.7 in patients treated after 24 hours, and a decrease from 67% to 12% of complete recovery between these intervals¹. Complete recovery was seen in 65,9% of patients treated before 12 hours of onset and 64% of deaths, severe neurological outcome, or no improvement in symptoms in patients treated between 13-24 hours⁵. Approximately 25% of patients who had total recovery were Frankel Grade A and 83% of these patients were Frankel grade of D¹. Lower affected levels (thoracic and lumbar) and smaller hematomas were associated with better recovery of neurologic function¹ and cervical hematomas were associated with higher mortality rates¹⁰. Additionally, the use of anticoagulants was associated with a worse prognosis².

CONCLUSION

SSEH has an extremely low incidence in the population. Although studies suggest that its main etiologies may be vascular malformations, anticoagulant therapy, neoplasms, and trauma, remains unclear. There is evidence that cervical hematomas may carry higher mortality rates than hematomas in other spine segments. Regarding prevalence, there is no significant difference between genders and some studies suggest that it may be more common in people over 50 years of age. The primary diagnostic method is the combination of a well-documented medical

history and magnetic resonance imaging. Furthermore, in most cases, surgical treatment is indicated. In a few selected cases conservative treatment might be an option. Future prospective studies are necessary to better understand the natural history, potential outcomes of this disease, and how treatment could be tailored for each case.

REFERENCES

- Holtås S, Heiling M, Lönnroth M. Spontaneous spinal epidural hematoma: findings at MR imaging and clinical correlation. *Radiology*. 1996;199(2):409-13. <http://dx.doi.org/10.1148/radiology.199.2.8668786>. PMID:8668786.
- Bakker NA, Veeger NJGM, Vergeer RA, Groen RJ. Prognosis after spinal cord and cauda compression in spontaneous spinal epidural hematomas. *Neurology*. 2015;84(18):1894-903. <http://dx.doi.org/10.1212/WNL.0000000000001545>. PMID:25862799.
- Boukobza M, Guichard JP, Boissonet M, et al. Spinal epidural haematoma: report of 11 cases and review of the literature. *Neuroradiology*. 1994;36(6):456-9. <http://dx.doi.org/10.1007/BF00593683>. PMID:7991091.
- Shin JJ, Kuh SU, Cho YE. Surgical management of spontaneous spinal epidural hematoma. *Eur Spine J*. 2006;15(6):998-1004. <http://dx.doi.org/10.1007/s00586-005-0965-8>. PMID:16758110.
- Kreppel D, Antoniadis G, Seeling W. Spinal hematoma: a literature survey with meta-analysis of 613 patients. *Neurosurg Rev*. 2003;26(1):1-49. <http://dx.doi.org/10.1007/s10143-002-0224-y>. PMID:12520314.
- Amiri AR, Fouyas IP, Cro S, Casey AT. H. Postoperative spinal epidural hematoma (SEH): incidence, risk factors, onset, and management. *Spine J*. 2013;13(2):134-40. <http://dx.doi.org/10.1016/j.spinee.2012.10.028>. PMID:23218510.
- Liao CC, Lee ST, Hsu WC, Chen LR, Lui TN, Lee SC. Experience in the surgical management of spontaneous spinal epidural hematoma. *J Neurosurg*. 2004;100(1, Suppl. Spine):38-45. PMID:14748572.
- Foo D, Rossier AB. Preoperative neurological status in predicting surgical outcome of spinal epidural hematomas. *Surg Neurol*. 1981;15(5):389-401. [http://dx.doi.org/10.1016/0090-3019\(81\)90178-6](http://dx.doi.org/10.1016/0090-3019(81)90178-6). PMID:9760981.
- Watts C, Porto L. Recognizing spontaneous spinal epidural hematoma. *Geriatrics*. 1976;31(9):97-9. PMID:955421.
- Lawton MT, Porter RW, Heiserman JE, Jacobowitz R, Sonntag VK, Dickman CA. Surgical management of spinal epidural hematoma: relationship between surgical timing and neurological outcome. *J Neurosurg*. 1995;83(1):1-7. <http://dx.doi.org/10.3171/jns.1995.83.1.0001>. PMID:7782824.
- McQuarrie IG. Recovery from paraplegia caused by spontaneous spinal epidural hematoma. *Neurology*. 1978;28(3):224-8. <http://dx.doi.org/10.1212/WNL.28.3.224>. PMID:564475.
- Liu Z, Jiao Q, Xu J, Wang X, Li S, You C. Spontaneous spinal epidural hematoma: analysis of 23 cases. *Surg Neurol*. 2008;69(3):253-60. <http://dx.doi.org/10.1016/j.surneu.2007.02.019>. PMID:17900669.
- Beatty RM, Winston KR. Spontaneous cervical epidural hematoma: a consideration of etiology. *J Neurosurg*. 1984;61(1):143-8. <http://dx.doi.org/10.3171/jns.1984.61.1.0143>. PMID:6726389.
- Groen RJ, van Alphen HA. Operative treatment of spontaneous spinal epidural hematomas: a study of the factors determining postoperative outcome. *Neurosurgery*. 1996;39(3):494-508. PMID:8875479.
- Groen RJM. Non-operative treatment of spontaneous spinal epidural hematomas: a review of the literature and a comparison with operative cases. *Acta Neurochir*. 2004;146(2):103-10. <http://dx.doi.org/10.1007/s00701-003-0160-9>. PMID:14963742.
- Gala FB, Aswani Y. Imaging in spinal posterior epidural space lesions: a pictorial essay. *Indian J Radiol Imaging*. 2016;26(3):299-315. <http://dx.doi.org/10.4103/0971-3026.190406>. PMID:27857455.
- Pear BL. Spinal epidural hematoma. *Am J Roentgenol Radium Ther Nucl Med*. 1972;115(1):155-64. <http://dx.doi.org/10.2214/ajr.115.1.155>. PMID:5025014.
- Fukui MB, Swarnkar AS, Williams RL. Acute spontaneous spinal epidural hematomas. *AJNR Am J Neuroradiol*. 1999;20(7):1365-72. PMID:10472999.

CORRESPONDING AUTHOR

Sávio Batista
Medical student
Federal University of Rio de Janeiro
Faculty of Medicine
Rio de Janeiro, Rio de Janeiro, Brazil
E-mail: saviobatista360@gmail.com

Funding: nothing to disclose.

Conflicts of interest: nothing to disclose.

Neoadjuvant Chemotherapy to Reduce Morbidity and Improve Surgical Resection in a Skull Base Ewing's Sarcoma: case report

Quimioterapia Neoadjuvante para Redução de Morbidade e Melhora de Ressecção Cirúrgica em Sarcoma de Ewing de Base de Crânio: relato de caso

Gustavo Simiano Jung¹ 

Felipe Constanzo² 

Giulia Xavier Bornancin³

Gustavo Fabiano Nogueira⁴

Ricardo Ramina⁵ 

ABSTRACT

Ewing's Sarcoma (ES) affects skull base in 1-6% of the cases. Involvement of orbit, optic nerve and the brain may preclude complete surgical resection without severe morbidity. Neo-adjuvant chemotherapy is part of the standard care in extracranial ES. Imaging suggesting a different, more common diagnosis or urgent need to decompression of neural structures are commonly reasons by which neoadjuvant chemotherapy is not used in skull base ES. We present a case of a 3-year-old patient presenting a skull base ES where in spite of the fast progressing visual decline, neoadjuvant chemotherapy had demonstrated to be a valuable tool to symptoms improvement and reduce surgical morbidity without compromising radical surgery.

Keywords: *Ewing; Sarcoma; Skull base; Tumor*

RESUMO

O Sarcoma de Ewing (SE) afeta a base do crânio em 1-6% dos casos. O envolvimento da órbita, do nervo óptico e do cérebro pode impedir a ressecção cirúrgica completa sem morbidade grave. A quimioterapia neoadjuvante faz parte do cuidado padrão no SE extracraniano. Exames de imagem sugerindo um diagnóstico diferente, mais comum ou sob necessidade urgente de decompressão de estruturas neurais são comumente as razões pelas quais a quimioterapia neoadjuvante não é usada em SE da base de crânio. Apresentamos um caso de um paciente de 3 anos com SE da base de crânio onde, apesar do rápido declínio visual, a quimioterapia neoadjuvante demonstrou ser uma ferramenta valiosa para melhora dos sintomas e redução de morbidade cirúrgica sem comprometer a cirurgia radical.

Palavras-chave: *Ewing; Sarcoma; Base de crânio; Tumor*

¹ MD, Neurosurgeon, Skull Base Division, Neurological Institute of Curitiba, Curitiba, PR, Brazil.

² MD, Department of Skull Base Surgery, Clínica Bio Bio; Department of Neurological Surgery, Hospital Clínico Regional de Concepcion, Concepcion, Chile.

³ MD, MR, Resident in Neurosurgery, Neurological Institute of Curitiba, Curitiba, PR, Brazil.

⁴ MD, ENT, Skull Base Division, Neurological Institute of Curitiba, Curitiba, PR, Brazil.

⁵ MD, PhD, Chairman of Neurosurgery, Neurosurgeon, Neurological Institute of Curitiba, Curitiba, PR, Brazil.

Received June 21, 2022

Accepted July 10, 2022

INTRODUCTION

The Ewing's sarcoma family of tumors (ESFT) is an entity that encompasses 3 highly aggressive forms of childhood cancer: Ewing's sarcoma, Askin tumor, and peripheral primitive neuroectodermal tumor¹. While Ewing's sarcoma is most commonly found in pelvis, chest, femur, tibia, vertebrae and humerus; skull base involvement is extremely uncommon²⁻⁵. Therefore, management is usually extrapolated from other locations. Surgical resection is the initial treatment in most cases, as imaging usually suggest a different, more common diagnosis, or due to the need of decompression of neural structures⁴. We present a case of an extensive Ewing's sarcoma of the anterior skull base in a pediatric patient that was treated with neoadjuvant chemotherapy followed by surgery.

CASE PRESENTATION

A 3-year-old girl was presented with a history of fifteen days of progressing proptosis in the left eye associated with visual impairment and anosmia. She had unremarkable past medical history. MRI showed a contrast-enhancing mass in the anterior skull base, centered in the ethmoid sinus with intracranial extension and extending into the left orbit (Figure 1). The patient underwent an endonasal endoscopic biopsy due to the uncertainty of the diagnosis, with frozen section compatible with a small blue round cell tumor, and definitive pathology consistent with Ewing's sarcoma. Immunohistochemistry was positive for CD99, S100 and EMA, and fluorescent in-situ hybridization was positive for ESWR1 rearrangement of the chromosome 22q1, confirming ES. PET Scan and whole body MRI excluded metastatic disease.

The patient underwent induction chemotherapy with vincristine, doxorubicin and cyclophosphamide, alternating with ifosfamide and etoposide (VDC-IE protocol). Follow-up MRI after 8 weeks showed significant reduction of the contrast-enhancing mass, with residual lesion in the ethmoid bone (Figure 2). Due to the patient's age, surgery of the remaining mass was preferred over radiation therapy.

A combined approach (transcranial and endonasal) was chosen to effectively decompress the infero-medial wall of the optic canal

as well as medial wall of the orbits. Reconstruction is also more effectively harvesting pericranium plus bilateral temporal fascia graft superiorly and a nasal septum flap or lateral wall, allowing a three-plane reconstruction.

Through a bifrontal craniotomy, the anterior cranial base was exposed extradurally, with transection of the olfactory fibres. The tumor was seen at the level of planum sphenoidale and left orbital roof. The bulk of the tumor was resected, as well as the cribriform plate and planum. The left optic canal was unroofed along with the orbit, and the periorbit was also resected. Adjacent dura mater and both olfactory bulbs were also removed. Endonasally, both middle and superior turbinates were removed bilaterally along with the remaining ethmoid cells and lamina papyracea. Decompression of the left optic canal was achieved in a 360-degree fashion by removing the medial and inferior walls. Frozen section confirmed negative surgical margins (Figure 3). Reconstruction of the skull base was performed with a large pericranial plus temporal muscle fascia flap, titanium mesh and nasal septum flap. Postoperative MRI demonstrate a complete surgical resection (Figure 4).

The early postoperative period was unremarkable, however, on postoperative day 5, the patient developed paraparesis due to symptomatic vasospasm on the anterior cerebral arteries, that was managed with intra-arterial milrinone followed by intravenous milrinone for 48 hours, with complete relieve of the symptoms. The patient was discharged on postoperative day 10.

Afterwards, the patient received 9 cycles of the VDC-IE protocol starting fourteen days after discharge. At one year follow-up the patient is in complete remission.

DISCUSSION

Ewing's sarcoma is thought to involve the skull in 1-6% of cases^{6,7}, and due to the rapid growth, most patients present with compressive neurological symptoms, or sinonasal complaints such as nasal obstruction, rhinorrhea and epistaxis. In particular, patients with periorbital extension may present with proptosis, periorbital edema and decreased visual acuity^{8,9}.

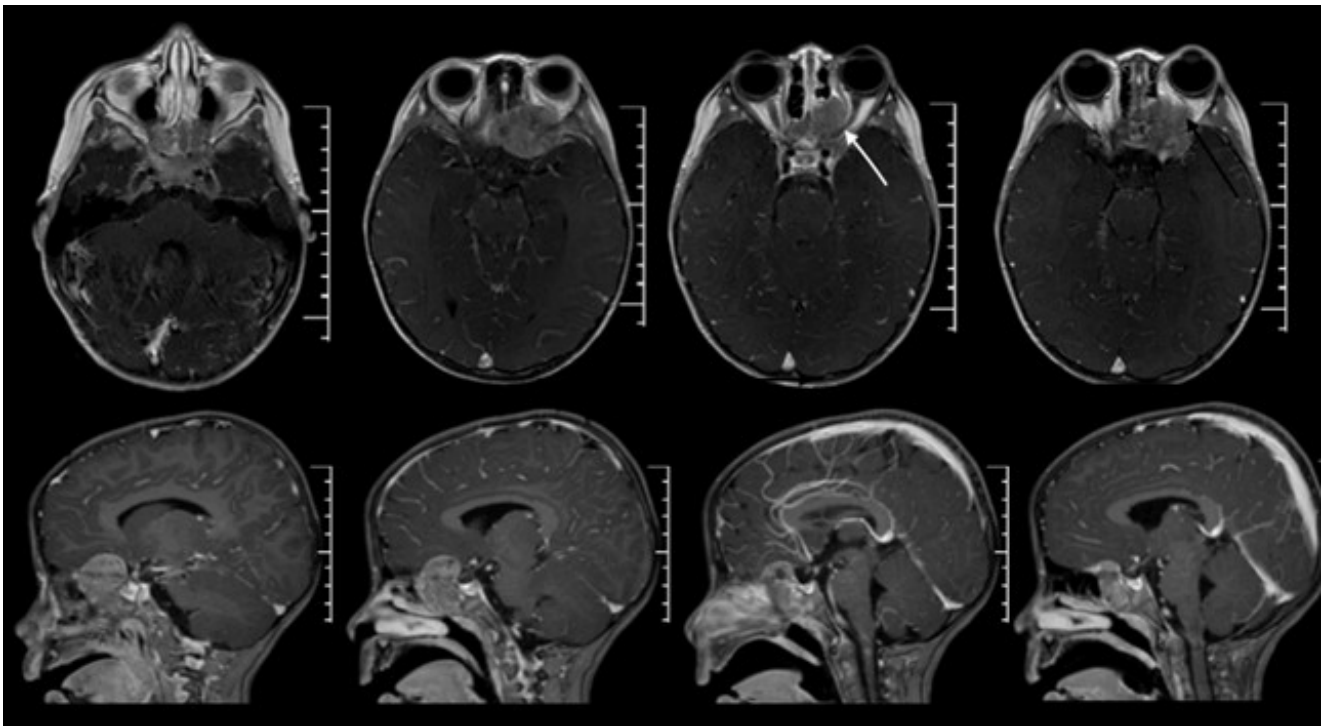


Figure 1. Axial and sagittal T1WI post gadolinium MRI demonstrating an enhancing tumor mass extending from upper clivus to anterior cranial fossa centered at the cribriform plate, ethmoid and sphenoid bone extending into right and left orbital cavity, compressing the optic nerve (white arrow) and invading the periorbit (black arrow). A proptosis is seen in the left eye.

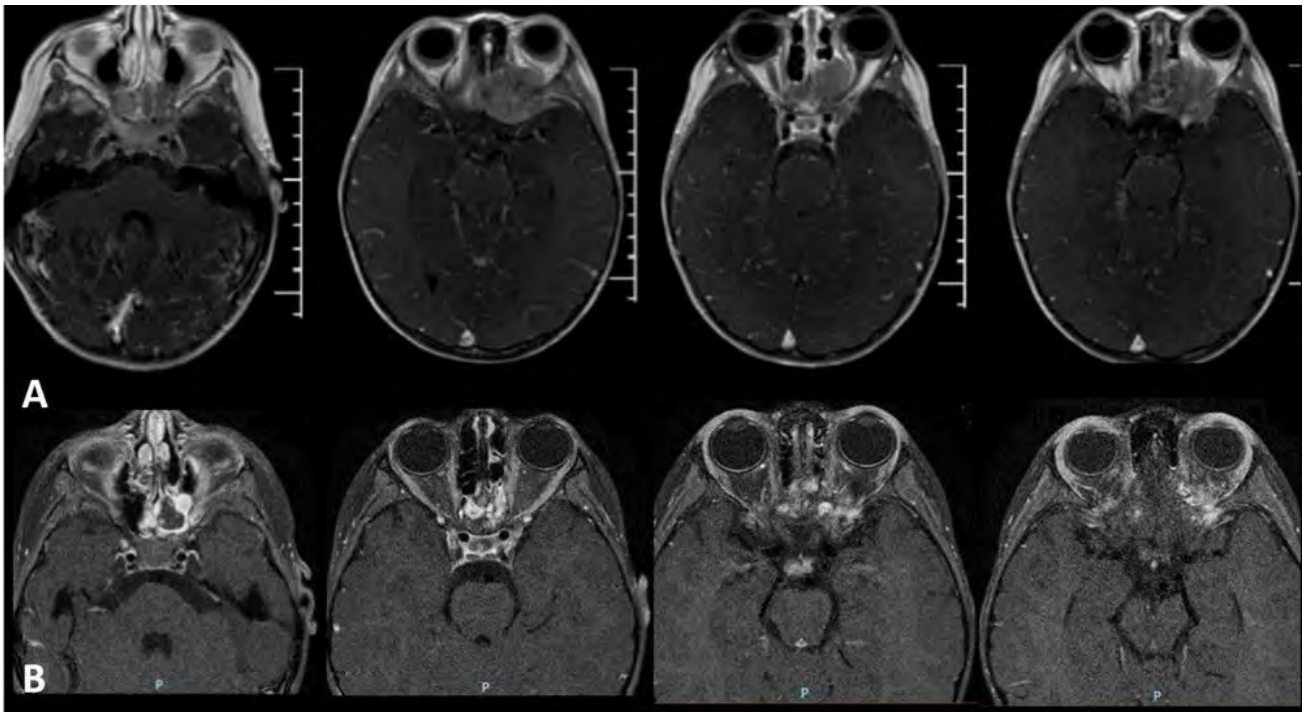


Figure 2. Comparative T1WI post gadolinium MRI (A. pre adjuvant chemotherapy; B. post adjuvant chemotherapy after 8 weeks of adjuvant chemotherapy) demonstrating a significant reduction in the tumor mass, absence of periorbit involvement and resolution of the proptosis in the left eye.

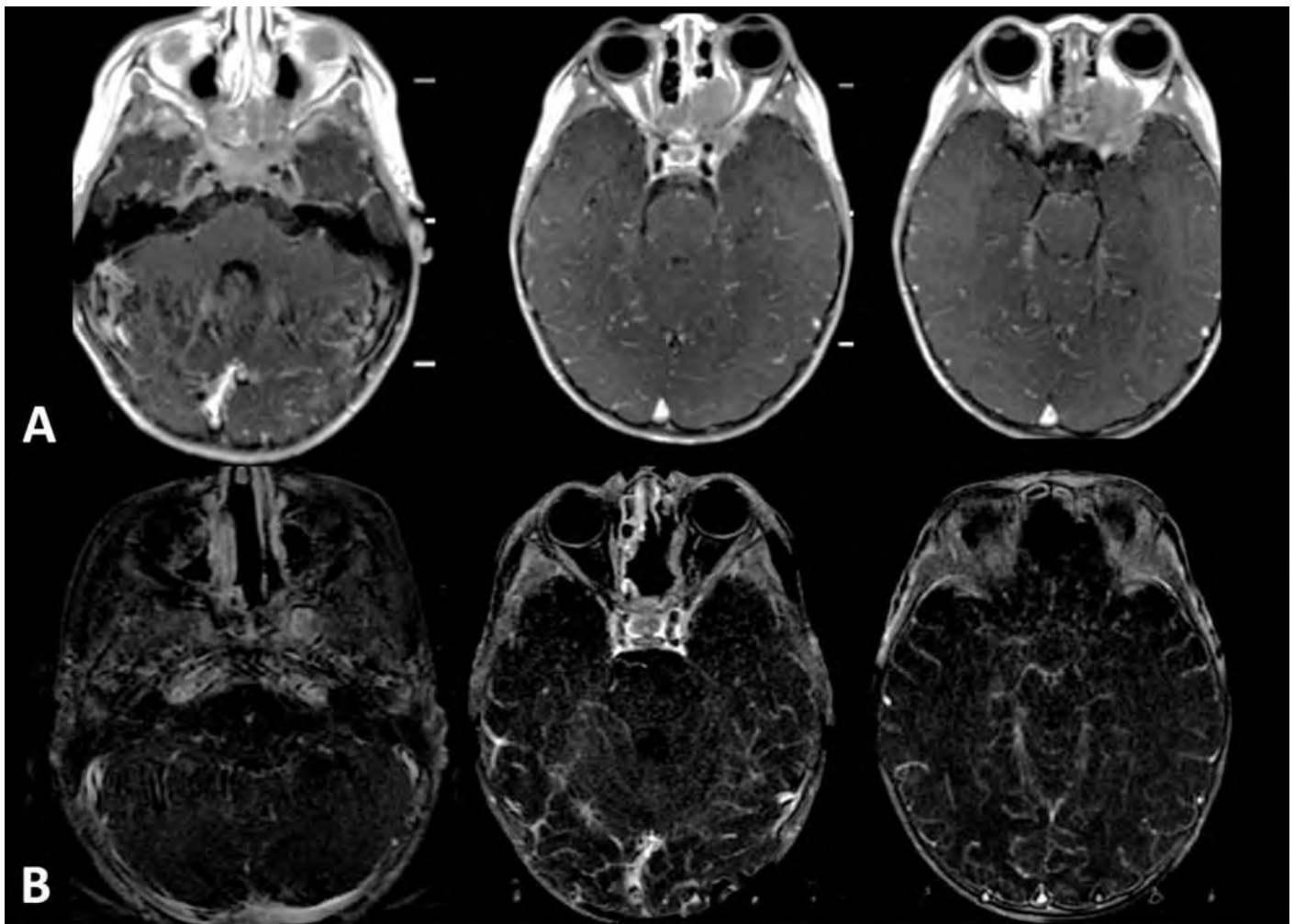


Figure 3. Comparative images. **A.** preoperative T1WI post gadolinium MRI.; **B.** postoperative T1WI post gadolinium with subtraction MRI demonstrating complete surgical resection of the residual tumor. An adequate decompression of the orbit as well as the optic canal can be seen.

Current treatment for Ewing's sarcoma relies on multi-drug chemotherapy^{10,11}, which has improved overall survival from 10 to 75% for localized disease¹. Chemotherapy may be associated with surgery and/or radiation therapy for local control. Neoadjuvant chemotherapy has been increasingly used for, but no report on its use for skull base disease has been reported¹².

Ewing's sarcoma involving the skull base possesses a unique challenge, as location, size, extension, and effect on neurological structures dictate the need of urgent volume reduction. Moreover, imaging is usually non-diagnostic, and may suggest a primary lesion such as meningioma. Therefore, the need of surgical

resection must be weighted toward the possibility of achieve negative surgical margins, which has been shown to reduce local recurrence rates¹³. Induction chemotherapy in this setting provides an interesting opportunity to optimize both goals, as in responding cases, cytoreduction is rapidly achieved, potentially sparing structures that would otherwise be resected, such as the optic pathway. Moreover, by controlling microscopic disease adjacent to the bulk of the tumor, we believe that also improves the rate of negative margins achieved by surgery, which is exemplified by our case, where induction chemotherapy achieved rapid improvement of visual acuity, mass reduction, and allowed us to achieve negative margins without the need of orbit exenteration, which would have been probably needed otherwise.

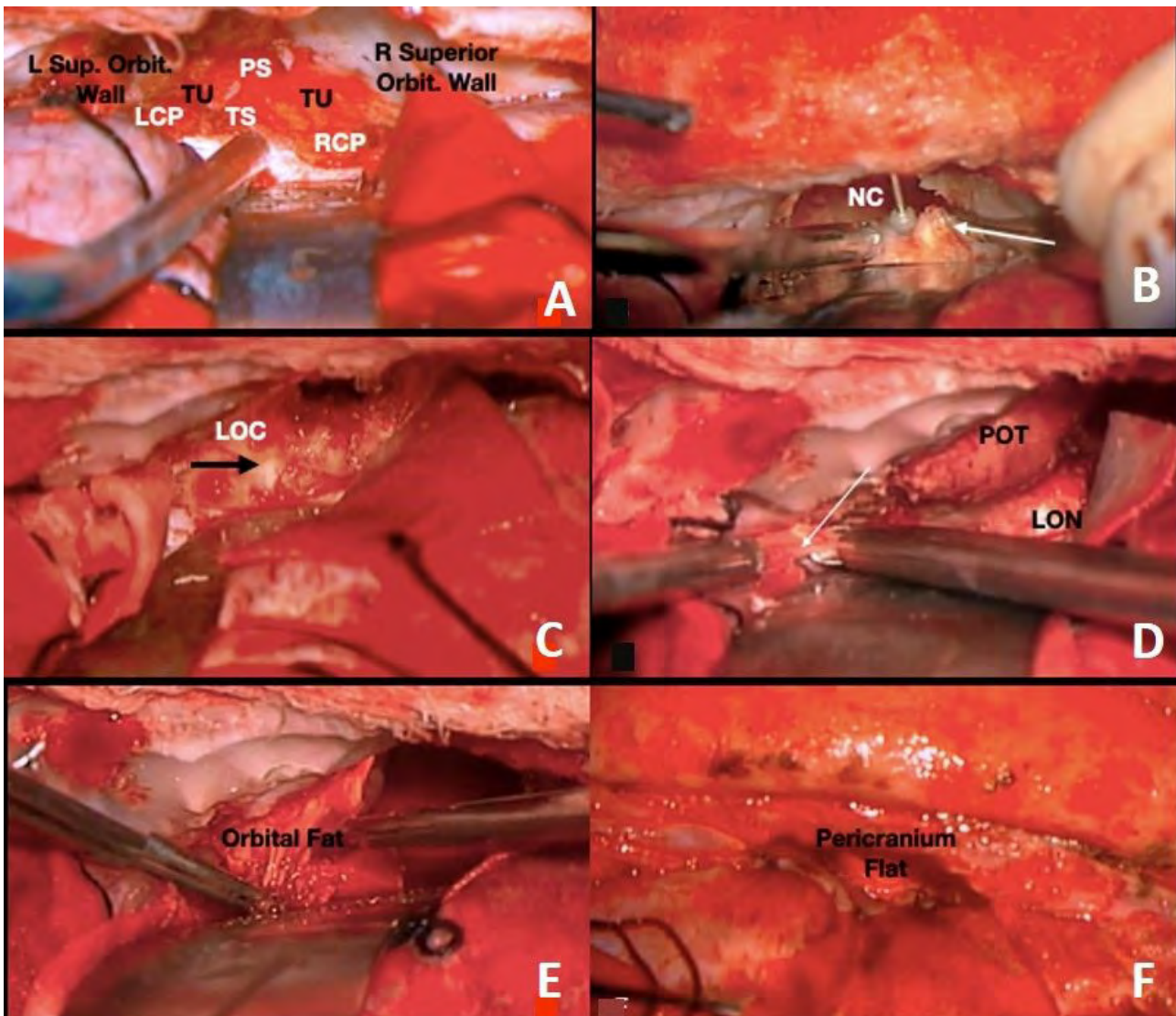


Figure 4. Intraoperative view. **A.** Transcranial exposure of the anterior skull base demonstrating tumor extending from the cribriform plate up to the tuberculum sellae in the coronal plane, and affecting upper wall of both orbit cavity. **B.** Transcranial view after partial resection of the anterior skull base. Endonasally, the infero-medial portion of the right optic canal is been drilled. **C.** Transcranial view of the left optic canal (LOC) and the extradural portion of the optic nerve (black arrow) after bone removal. **D.** Complete decompression of the left orbit and optic canal exposing the periorbital tissue (POT) and the optic nerve (LON). The lesser sphenoid wing is been removed. **E.** Transcranial view of the surgical cavity demonstrating a complete surgical resection of the tumor mass. The orbital fat is exposed after removal of the periorbital tissue. **F.** Reconstruction of the surgical cavity with large pericranium flap and temporal muscle fascia covering the titanium mesh.

CONCLUSION

In conclusion, induction chemotherapy is a feasible approach to manage Ewing's sarcoma of the skull base, possibly improving the rate of negative margins after surgery.

REFERENCES

1. Bricha M, Jroundi L, Boujida N, El Hassani MR, Chakir N, Jiddane M. Sarcome d'Ewing primitif de la voûte du crâne. *J Radiol.* 2007;88(12):1899-901. [http://dx.doi.org/10.1016/S0221-0363\(07\)78370-1](http://dx.doi.org/10.1016/S0221-0363(07)78370-1).

2. Lin JK, Liang J. Sinonasal ewing sarcoma: a case report and literature review. *Perm J*. 2018;22(2):17-086. <http://dx.doi.org/10.7812/TPP/17-086>. PMID:29616903.
3. Yeshvanth SK, Ninan K, Bhandary SK, Lakshinarayana KPH, Shetty JK, Makannavar JH. Rare case of extraskeletal Ewings sarcoma of the sinonasal tract. *J Cancer Res Ther*. 2012;8(1):142-4. <http://dx.doi.org/10.4103/0973-1482.95197>. PMID:22531536.
4. Howarth KL, Khodaei I, Karkanevatos A, Clarke RW. A sinonasal primary Ewing's sarcoma. *Int J Pediatr Otorhinolaryngol*. 2004;68(2):221-4. <http://dx.doi.org/10.1016/j.ijporl.2003.09.011>. PMID:14725990.
5. Martin E, Senders JT, Ter Wengel PV, Smith TR, Broekman MLD. Treatment and survival of osteosarcoma and Ewing sarcoma of the skull: a SEER database analysis. *Acta Neurochir (Wien)*. 2019;161(2):317-25. <http://dx.doi.org/10.1007/s00701-018-3754-y>. PMID:30578430.
6. Desai KI, Nadkarni TD, Goel A, Muzumdar DP, Naresh KN, Nair CN. Primary Ewing's sarcoma of the cranium. *Neurosurgery*. 2000;46(1):62-8. <http://dx.doi.org/10.1093/neurosurgery/46.1.62>. PMID:10626936.
7. Steinbok P, Flodmark O, Norman MG, Chan KW, Fryer CJ. Primary Ewing's sarcoma of the base of the skull. *Neurosurgery*. 1986;19(1):104-7. <http://dx.doi.org/10.1227/00006123-198607000-00016>. PMID:3748329.
8. Souheil J, Skander K, Sawssen D, et al. Ewing sarcomas of the sinonasal tract and maxillary bone. *Egyptian J Ear Nose Throat Allied Sci*. 2016;17(3):147-53. <http://dx.doi.org/10.1016/j.ejenta.2016.08.001>.
9. Vaccani JP, Forte V, de Jong AL, Taylor G. Ewing's sarcoma of the head and neck in children. *Int J Pediatr Otorhinolaryngol*. 1999;48(3):209-16. [http://dx.doi.org/10.1016/S0165-5876\(99\)00030-0](http://dx.doi.org/10.1016/S0165-5876(99)00030-0). PMID:10402117.
10. Craft A, Cotterill S, Malcolm A, et al. Ifosfamide-containing chemotherapy in Ewing's sarcoma: The Second United Kingdom Children's Cancer Study Group and the Medical Research Council Ewing's Tumor Study. *J Clin Oncol*. 1998;16(11):3628-33. <http://dx.doi.org/10.1200/JCO.1998.16.11.3628>. PMID:9817284.
11. Grier HE, Krailo MD, Tarbell NJ, et al. Addition of ifosfamide and etoposide to standard chemotherapy for Ewing's sarcoma and primitive neuroectodermal tumor of bone. *N Engl J Med*. 2003;348(8):694-701. <http://dx.doi.org/10.1056/NEJMoa020890>. PMID:12594313.
12. Balamuth NJ, Womer RB. Ewing's sarcoma. *Lancet Oncol*. 2010;11(2):184-92. [http://dx.doi.org/10.1016/S1470-2045\(09\)70286-4](http://dx.doi.org/10.1016/S1470-2045(09)70286-4). PMID:20152770.
13. Cugati G, Singh M, Pande A, Symss NP, Chakravarthy VM, Ramamurthi R. Isolated skull base primary Ewing's sarcoma: an extremely rare location. *J Cancer Res Ther*. 2013;9(4):741-2. <http://dx.doi.org/10.4103/0973-1482.126479>. PMID:24518733.

CORRESPONDING AUTHOR

Gustavo Simiano Jung, MD
Neurological Institute of Curitiba
Neurosurgeon, Skull Base Division
Curitiba, Paraná, Brazil
E-mail: gustavosjung@gmail.com

Funding: nothing to disclose.

Conflicts of interests: nothing to disclose.

Ethics Committee Approval: Ethical approval was waived by the local Ethics Committee of Neurological Institute of Curitiba. This study is according to the Declaration of Helsinki. Informed consent was signed by the patient's parents.

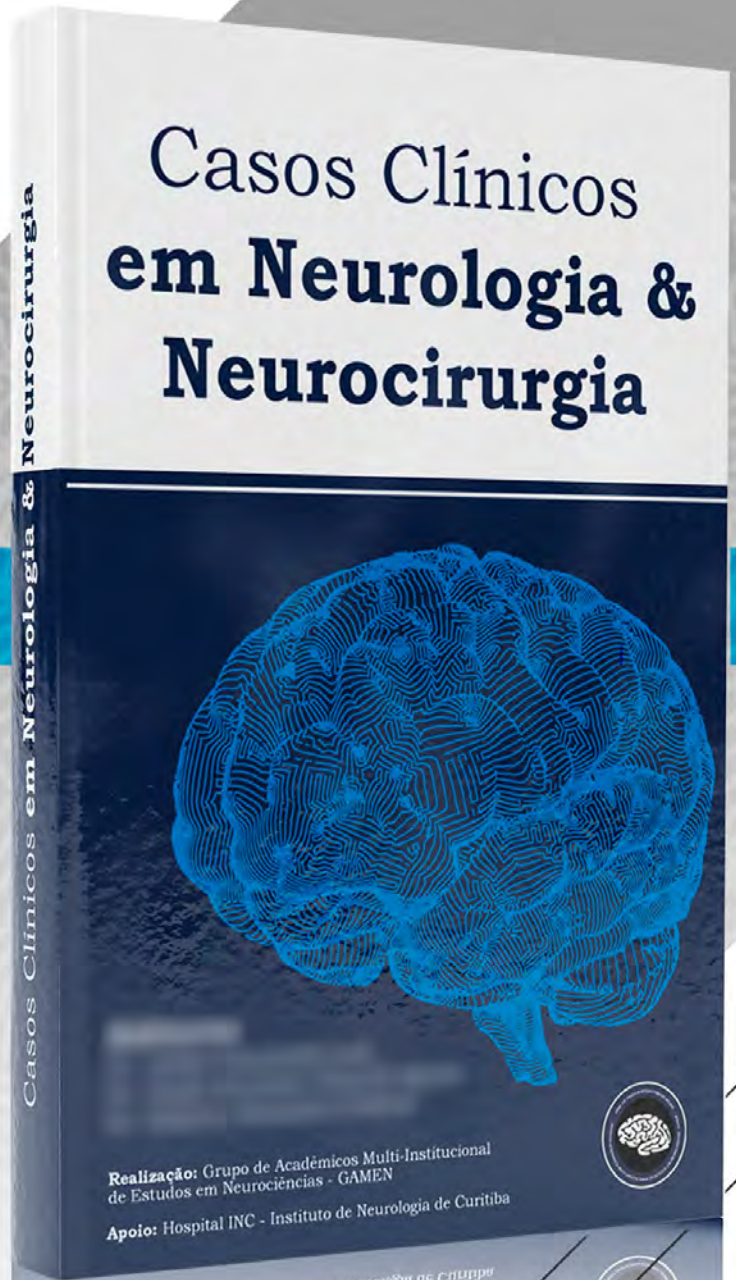


2022 *Lançamento*

#SAVETHEDATE



Apoio: Hospital INC



DBS Treatment in a 18-Year-Old Patient with Refractory Dystonia Due to DYT1 Mutation: a case report

DBS em um Jovem de 18 Anos com Distonia Refratária por Mutação no Gene DYT1: relato de caso

Camilla Caetano Alves da Motta¹

Bruna Marques Lopes¹

Daniela Rumi Fujita¹

Pedro Henrique Simm Pires de Aguiar²

Nilton Alves Lara Junior³

Paulo Henrique Pires de Aguiar⁴

ABSTRACT

Purpose: To present a case of an infrequent refractory dystonia due to DYT1 gene mutation in an 18-year-old male patient that was later treated with Deep Brain Stimulation (DBS). **Case presentation:** Male 18-year-old patient, affected by torsion dystonia of the neck and upper limbs is reported. Genetic analysis identified a DYT1 gene superexpression mutation. Previous therapies had included physiotherapy, botulinum toxin injection, drugs such as primidone and clonazepam, but all with little improvement. The patient underwent two neurosurgeries in order to insert Deep Brain Stimulation (DBS) on his left and right internal Globus Pallidus (GPi). The electrodes inserted were Medtronic® 3389 and the generator was Activa RC rechargeable. The postoperative period of both procedures were successful, without any identified deficits. After a 2-year follow-up period, the patient presents a normal life compared to the average of his age, without any of the symptoms or complications that he had before. **Conclusions:** DYT1-dystonia is a disabling disease that can affect young patients but shows good prognosis with DBS therapy. The reported case presented an excellent outcome particularly because it involves a young patient with DYT1-related dystonia.

Keywords: DYT1; Dystonia; DBS

RESUMO

Objetivo: Apresentar um caso de uma infrequente distonia refratária por mutação no gene DYT1 em um menino jovem que foi tratado com estimulação cerebral profunda (DBS – da sigla em inglês). **Relato do caso:** Jovem de 18 anos do sexo masculino afetado por uma distonia de torção cervical do pescoço e membros superiores. A análise genética identificou uma mutação de superexpressão no gene DYT1. Terapias prévias incluíram fisioterapia, injeções de toxina botulínica, drogas como primidona e clonazepam, mas todas com pouca ou nenhuma melhora. O paciente foi submetido a duas neurocirurgias para inserção do DBS no lado esquerdo e direito do Globo Pálido interno (GPi). Os eletrodos inseridos foram da Medtronic® 3389 e o gerador foi Activa RC rechargeable. O pós-operatório de ambos os procedimentos foi de sucesso, sem nenhum déficit identificado. Após um seguimento de 2 anos, o paciente apresenta vida normal comparada à média de sua idade, sem nenhum sintoma ou complicação que apresentava antes. **Conclusão:** O caso apresentado mostrou excelente evolução por se tratar de jovem com distonia por DYT1. Esse tipo de distonia é uma doença que pode acometer jovens, mas tem bom prognóstico com a inserção do DBS.

Palavras-chave: DYT1; Distonia; DBS

¹ Medical student, Faculty of Medical Sciences of Santa Casa de São Paulo, São Paulo, SP, Brazil.

² Medical student, Faculty of Medicine, Pontifical Catholic University of São Paulo, São Paulo, SP, Brazil.

³ MD, PhD, Neurosurgeon, Department of Functional Neurosurgery, Santa Casa de Misericórdia de São Paulo Hospital, São Paulo, SP, Brazil.

⁴ MD, PhD, Neurosurgeon, Department of Neurosurgery, Santa Paula Hospital; Professor, Faculty of Medical Sciences of Santa Casa de São Paulo; Professor, Medical School of ABC, São Paulo, SP, Brazil.

Received June 6, 2022

Corrected Aug 15, 2022

Accepted Aug 15, 2022

INTRODUCTION

Dystonia may be defined by abnormal involuntary movements or postures due to sustained or intermittent muscle contractions. It is a highly stigmatizing and disabling condition, just as other movement disorders. One of the most common aetiologies of early onset, dystonia — with symptoms beginning in childhood of adolescence — is due to the mutation in the DYT1 gene: up to 53% of all cases in non-Jewish populations and about 80% in Ashkenazi Jewish population, because of a founder mutation. The penetrance of this autosomal dominant gene is 30%, meaning that 70% of all gene carriers have no signs or symptoms of dystonia¹.

Typically, the clinical manifestation of this type of dystonia will begin at the average age of 13 years, involving more likely a limb or, less frequently, the trunk, cervical or cranial muscles. More than half of these cases will progress to a generalized dystonia, involving other limbs and/or the trunk².

The pathophysiology of DYT1-dystonia involves a GAG deletion in the coding region of the TOR1A gene located on chromosome 9, resulting in a disorder of anomalous regulation of transcription and neuronal circuit development. The mutant gene product — torsinA — has been found to be stocked intracellularly and interact abnormally with other proteins. Overall, the outcome of this mutation is a neurodevelopmental circuit disorder involving the cortico-striato-pallido-thalamo-cortical and cerebello-thalamo-cortical pathways³.

The objective of this report is to present a case of a severe refractory dystonia due to DYT1 mutation in an 18-year-old boy, who was later treated with Deep Brain Stimulation (DBS). Also, the possible treatments for this disease will be discussed.

CASE PRESENTATION

Male patient, 18-year-old, affected by segmental cervical dystonia was reported. First, he exhibited symptoms when he was 15 years old and, within one year through the course of the disease, and he had a number of complications including severe pain, recurrent pneumonia and social isolation due to his condition. Physical

examination revealed a bilateral torsion dystonia of the neck and proximal third of the upper limbs, with more prominent signs on his right side (Figure 1). His genetic analysis identified a DYT1 gene superexpression mutation. In previous medical care, he was oriented towards treatments such as physiotherapy, botulinum toxin injections, drugs such as primidone and clonazepam, but all with little improvement.

The attending neurosurgeon indicated a procedure to treat initially the symptoms of the right side, as it was the more severely affected. A Magnetic Resonance Imaging (MRI) of the patient's head was done in order to make the surgical planning. The neurosurgery was performed in March 2018 to implant a DBS on the left internal globus pallidus (GPi) posteromedial area with general anesthesia. The generator was implanted on his right side, under the skin in the upper chest. The electrodes inserted were Medtronic® (Minneapolis - MN, EUA) 3389 and the generator was Activa RC rechargeable, with 0.5 mm spacing. The following programming was set: current of 3 mA, frequency of 130 Hz and pulse width of 90 μ s. The procedure was successful and the patient was discharged from the hospital 4 days post-operative (PO) (Figure 2A).

After 10 months, in January 2019, the same procedure was performed in the right GPi, aiming to treat his dystonic tremors located in the left part of the body. The procedure was also successful, without any deficit, with the patient being discharged from the hospital at the PO day 2 (Figure 2B).

During a 2-year follow-up period, the patient presented a normal life compared to the average of his age, without any of the symptoms or complications he had before. He regularly attends to physiotherapy and fitness centers to maintain muscle training.

DISCUSSION

The patient presented a severe refractory dystonia due to DYT1 mutation. Regarding the recommended treatment for early onset dystonia, it aims mostly at relieving the symptoms. The first option of therapy are botulinum toxin injections, mainly if it is a focal or segmental dystonia. If refractory to this step or in case of a generalized dystonia, the next option are oral medications,



Figure 1. Dystonic presentation of the patient.

such as anticholinergics or benzodiazepines. Once more, if the disease is refractory to the previous therapies, a surgical treatment can be tempted: a system called Deep Brain Stimulation (DBS) consists in the insertion of an electrode in a specific part of the brain, in order to modulate the patterns of electrical activity¹.

Our patient went through clinical therapies including physiotherapy, botulinum injections and oral medications, but not only he still presented the dystonia symptoms of intermittent muscle contractions but also complications due to the disease: severe pain, recurrent pneumonia and social isolation. The respiratory disease most likely resulted from the decrease

of the size of his thoracic cavity, due to the dystonic posture. As for the social isolation, it can be analyzed as a collateral effect of the severity of his disease: Junker et al.⁴ demonstrated significant correlations between Health-Related Quality of Life (HRQoL) subscales such as social functioning and emotional role functioning, and dystonia severity. Those complications exhibited by our patient are within the most common of this disease, including chronic pain, depression, anxiety, social stigma, and reduced self-esteem, all leading to an impaired HRQoL⁵.

The adequate management of the disease is important to avoid the decreased well-being and that is the main idea brought



Figure 2. Clinical presentation. **A.** after the first surgery to implant the DBS and **B.** after the second surgery.

by this report: young patients with refractory dystonia may have more lifetime with higher quality of life when there is an early approach of DBS, specially if there is a presumption of a DYT1 mutation.

Supporting this statement, Tsuboi et al.⁵ showed in a systematic review that patients with inherited isolated dystonia reported significant improvement in motor function and pain after DBS surgery, leading to an improved overall life satisfaction.

Our patient had important symptoms regarding physical and social spheres, but after the insertion of DBS bilaterally, he claimed to have an immeasurable improvement in both of the complaints.

As for the medical literature, the results from the last five years in PubMed database with the keywords “Dystonia”, “DBS” and “DYT1” are scarce, with 12 papers in the English language, seven from which correlate with this report. Mainly, their data showed that the surgical stimulation is efficacious and can potentially prevent disease progression in the long term⁶⁻⁸, and also a great improvement in motor and disability scores post-operatively^{9,10}. From all dystonias, the one with the best prognosis is DYT1-related⁹. Other positive predictive factors for good prognosis

after DBS insertion are short disease duration and isolated idiopathic dystonia¹¹. One study even showed that 8% of their DYT1-dystonia cohort presented suboptimal response after DBS implantation (less than 30% of improvement over baseline), but still the long-term follow-up demonstrated beneficial effects of the stimulation, compared to before the procedure¹². All of these data are in line with our case report, showing significant improvement after DBS implantation in young patients with severe DYT1-dystonia.

CONCLUSION

DYT1-dystonia is a disabling disease that can affect young patients but it has good prognosis with DBS therapy. The reported case showed an excellent outcome particularly because it involves a young patient with DYT1-related dystonia.

Finally, the surgical treatment with DBS should not be delayed in refractory dystonia patients, specially in the DYT1-type, as it has great prognosis and leads to a great difference in their quality of life.

REFERENCES

1. Balint B, Mencacci NE, Valente EM, et al. Dystonia. *Nat Rev Dis Primers*. 2018;4(1):25. <http://dx.doi.org/10.1038/s41572-018-0023-6>. PMID:30237473.
2. Ozelius LJ, Bressman SB. Genetic and clinical features of primary torsion dystonia. *Neurobiol Dis*. 2011;42(2):127-35. <http://dx.doi.org/10.1016/j.nbd.2010.12.012>. PMID:21168499.
3. Skogseid IM. Dystonia--new advances in classification, genetics, pathophysiology and treatment. *Acta Neurol Scand Suppl*. 2014;129(198):13-9. <http://dx.doi.org/10.1111/ane.12231>. PMID:24588501.
4. Junker J, Berman BD, Hall J, et al. Quality of life in isolated dystonia: non-motor manifestations matter. *J Neurol Neurosurg Psychiatry*. 2021;92(6):622-8. <http://dx.doi.org/10.1136/jnnp-2020-325193>. PMID:33563813.
5. Tsuboi T, Wong JK, Okun MS, Ramirez-Zamora A. Quality of life outcomes after deep brain stimulation in dystonia: a systematic review. *Parkinsonism Relat Disord*. 2020;70:82-93. <http://dx.doi.org/10.1016/j.parkreldis.2019.11.016>. PMID:31767450.
6. Ramezani Ghamsari M, Ghourchian S, Emamikhah M, et al. Long term follow-up results of deep brain stimulation of the Globus pallidus interna in pediatric patients with DYT1-positive dystonia. *Clin Neurol Neurosurg*. 2021;201:106449. <http://dx.doi.org/10.1016/j.clineuro.2020.106449>. PMID:33395620.
7. Dupre DA, Nangunoori R, Koduri S, Angle C, Cantella D, Whiting D. Disease stabilization of DYT1-positive primary generalized dystonia with deep brain stimulation of the globus pallidus interna: a 15-year follow-up. *Oper Neurosurg (Hagerstown)*. 2018;14(5):597. <http://dx.doi.org/10.1093/ons/opx137>. PMID:28586458.
8. Hogg E, During EE, Tan E, et al. Sustained quality-of-life improvements over 10 years after deep brain stimulation for dystonia. *Mov Disord*. 2018;33(7):1160-7. <http://dx.doi.org/10.1002/mds.27426>. PMID:30153389.
9. Chen W, Fan H, Lu G. The Efficacy and Predictors of Using GPi-DBS to treat early-onset dystonia: an individual patient analysis. *Neural Plast*. 2021;2021:9924639. <http://dx.doi.org/10.1155/2021/9924639>. PMID:34040641.
10. Hale AT, Monsour MA, Rolston JD, Naftel RP, Englot DJ. Deep brain stimulation in pediatric dystonia: a systematic review. *Neurosurg Rev*. 2020;43(3):873-80. <http://dx.doi.org/10.1007/s10143-018-1047-9>. PMID:30397842.
11. Tisch S, Kumar KR. Pallidal deep brain stimulation for monogenic dystonia: the effect of gene on outcome. *Front Neurol*. 2021;11:630391. <http://dx.doi.org/10.3389/fneur.2020.630391>. PMID:33488508.
12. Tsuboi T, CifL, Coubes P, et al. Secondary Worsening Following DYT1 dystonia deep brain stimulation: a multi-country cohort. *Front Hum Neurosci*. 2020;14:242. <http://dx.doi.org/10.3389/fnhum.2020.00242>. PMID:32670041.

CORRESPONDING AUTHOR

Camilla Caetano Alves da Motta

Medical student

Faculty of Medical Sciences of Santa Casa de São Paulo

São Paulo, São Paulo, Brazil

E-mail: camillamotta1@gmail.com

Funding: *nothing to disclose.*

Conflicts of interest: *nothing to disclose.*

Perimesencephalic Cerebral Arteriovenous Malformation (AVM): case report

Malformação Arteriovenosa Cerebral Perimesencefálica (MAV): relato de caso

Gabriel Iam Simioni Mibach¹ 

Ivana da Rosa Iesbik¹ 

Chelin Auswaldt Steclan² 

André Possamai Della³ 

Júlio Cesar de Aguiar Junior³ 

Diogo Pasquali Nones⁴ 

Oscar Nelson Reimann Junior⁴ 

Stefan Gerlach Braz Moreira⁴ 

Gabriel Hoher Peres⁵ 

Arlindo Américo de Oliveira⁶ 

Amaurí Batista de Oliveira Júnior⁶ 

Leonard Rocha Fonseca de Brito⁷ 

Filipe Laurindo Cabral⁸ 

Stephanie Lindner⁹ 

Michael Ricardo Lang⁹ 

ABSTRACT

Background: Cerebral arteriovenous malformation (AVM) evolves asymptotically and can cause serious injuries, most of the time irreversible, with difficult diagnosis, controversial treatment and restricted access to reference therapeutic centers. **Case Presentation:** a case of intraparenchymal hemorrhagic stroke with presence of a left perimesencephalic AVM in a 66-year-old male patient is reported. Regarding the therapeutic approach, the AVM correction procedure through micro neurosurgical resection is described here. **Conclusion:** With this in mind, the present study aimed to meet this expectation, as well as to describe the correction of silent AVM after intraparenchymal hemorrhagic accident.

Keywords: Arteriovenous Malformation; AVM; Intraparenchymal hemorrhage; Treatment

RESUMO

Introdução: A malformação arteriovenosa cerebral (MAV) evolui de forma assintomática e pode causar lesões graves, na maioria das vezes irreversíveis, com diagnóstico difícil, tratamento controverso e acesso restrito a centros terapêuticos de referência. **Relato de caso:** relato de um caso de acidente vascular cerebral hemorrágico intraparenquimatoso com presença de MAV perimesencefálica esquerda, em paciente do sexo masculino de 66 anos. Em relação à abordagem terapêutica, descreve-se aqui o procedimento de correção da MAV por meio de micro-ressecção neurocirúrgica. **Conclusão:** Pensando nisso, o presente estudo teve como objetivo discorrer a história clínica, bem como descrever a correção da MAV silenciosa após acidente hemorrágico intraparenquimatoso.

Palavras-Chave: Malformação Arteriovenosa; MAV; Hemorragia intraparenquimatoso; Tratamento

¹ Medical Student, University of Contestado, NUPESC, Mafra, SC, Brazil.

² PhD, Biologist, Neuromax Neurologia e Neurocirurgia, University of Contestado, NUPESC, Mafra, SC, Brazil.

³ MD, Neurosurgeon, Neuromax Neurologia e Neurocirurgia, Joinville, SC, Brazil.

⁴ MD, Neurologist, Neuromax Neurologia e Neurocirurgia, São Vicente de Paulo Hospital, Mafra, SC, Brazil.

⁵ MD, Neurosurgeon, Neuromax Neurologia e Neurocirurgia, Beatriz Ramos Hospital, Indaial, SC, Brazil.

⁶ MD, Neurosurgeon, Neuromax Neurologia e Neurocirurgia, Hospital Universitário Santa Terezinha, Joaçaba, SC, Brazil.

⁷ MD, Neurosurgeon, Neuromax Neurologia e Neurocirurgia, Faculty of Medicine Estácio, Jaraguá do Sul, SC, Brazil.

⁸ MD, Neurosurgeon, Neuromax Neurologia e Neurocirurgia, Regional University of Blumenau; Hospital Santo Antônio, Blumenau, SC, Brazil.

⁹ MD, Neurosurgeon, Neuromax Neurologia e Neurocirurgia, São Vicente de Paulo Hospital, University of Contestado, NUPESC, Mafra, SC, Brazil.

Received May 27, 2022

Accepted Jul 12, 2022

BACKGROUND

Vascular malformations are categorized as capillary, arterial, venous or lymphatic, being that the coexistence of different vessels in the same lesion may be common. According to the blood flow, can be divided in high or low. Those with high flow comprise arterial malformation (AM), arteriovenous fistula (AVF) or arteriovenous malformation (AVM). The low flow ones are venous malformation (VM), lymphatic malformation (ML) and capillary malformation (MC). In addition, there may be complex combined malformations, e.g. lymphatic capillary (MCL), venous capillary (MVC), lymphatic venous (MLV), arterial capillary (MAC), capillary venous lymphatic (MCLV), capillary venous arterial (MCAV) and lymphatic venous arterial capillary (MCAVL). They can also be classified as localized or diffuse^{1,2}. When ruptured, the subsequent risk of death increase, depends on association with aneurysms, deep locations, deep drainage, age and others risk factors^{3,4}.

Regarding the diagnosis, it can occur by means of angioimaging, revealing the delimitation of the malformation. These morphological changes consequently lead to functional changes directly and indirectly on the affected tissue. Regarding the prognosis, these may be non-modifiable or generate structural and/or functional sequels, and may even cause risk of death^{4,5}.

The diagnosis, treatment and follow-up of the patient is multidisciplinary and interprofessional, increasing resolution and effectiveness⁶. Thereby, the actions to be carried should be clear and disclosing, for those better protocols diagnosis and therapeutical can be executed and better prognostics can be reached.

CASE PRESENTATION

This case report description was approved and accepted by the research Ethics Committee (CEP – Plataforma Brasil), under register 4,947,483. The participant and any identifiable individuals consented to publication of his image.

A 66-year-old male patient arrived at the service with right hemiplegia, dysarthria, lowered level of consciousness and Glasgow eleven, and in the following hours was seven, resulting in intubation. At the first cranial computed tomography (CT) imaging exam, left mesencephalic hemorrhage and presence of blood in the ventricle was revealed (Figure 1A). His previous morbid history indexed to the medical record did not present any comorbidity. Thus, in line with the previous findings, arteriography was performed in which perimesencephalic AVM could be seen on the left SM3 (Figures 1B and C).

With the diagnosis of intraparenchymal hemorrhagic stroke with the presence of a left perimesencephalic AVM, the chosen therapeutic approach was to perform microsurgery for resection of the AVM in the posterior fossa. The surgical procedure took place with the patient in a sitting position, head in flexion, without rotation or lateral flexion (Figure 2A). Straight incision of approximately 12 cm was performed in the sub-occipital region in the midline from IO to C1. The upper portion of the incision was curved to avoid a superficial pressure ulcer. The muscular plane was dissected through the nuchal ligament until the exposure of the external occipital protuberance, superior nuchal lines, external occipital crest, posterior border of foramen magnum (opisthion), posterior atlanto-occipital membrane and posterior C1 arch (Figure 2B). Supra and infratentorial suboccipital craniotomy was performed using three burr holes, one of which located in the midline 2 cm above the external occipital protuberance and the other two holes located just below the superior nuchal line, every 5 cm apart of the midline. With the posterior arch of C1 preserved, V-shaped durotomy was performed, thus allowing the flaps to be folded upwards and the suboccipital surface of the two cerebellar hemispheres to be exposed (superior and inferior semilunar lobes, biventral lobe, pyramid and uvula) (Figure 2C).

To reach the AVM, the tentorium-pontine-cerebellar veins were cauterized, allowing the visualization and identification of the uvula, pyramid, lobes of the cerebellar hemispheres and arachnoid membrane. The cistern arachnoid was then dissected, thus revealing the AVM (Figure 3A). Nidus and afferent branches of the AVM were identified (Figure 3B) and cauterized, in the same way, the main drainage vein (precentral cerebellar vein). With the completion of the resection process, hemostasis of the quadrigeminal cistern region was verified and the cavity was revised and it was identified the maintenance of pulsation of vascular structures (Figure 3D).

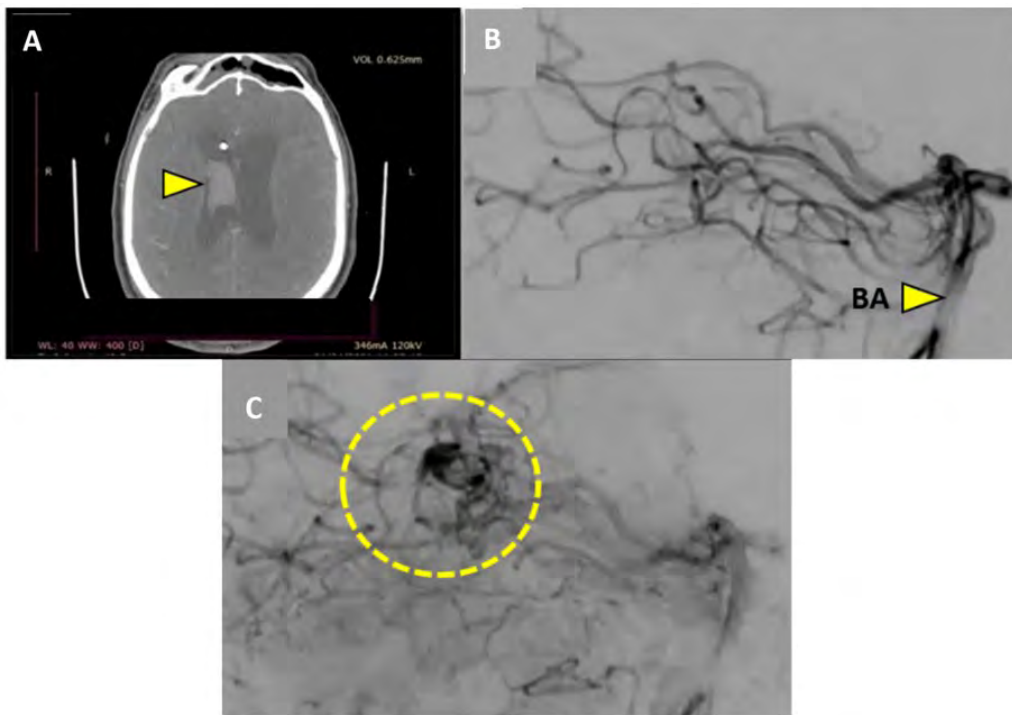


Figure 1. A. Angiotomography showing intraventricular hemorrhage and cannula shunt on the left. B. beginning of contrast flow in the left posterior cerebral artery (LPCA). C. evidence of contrast accumulation in the center of the third branch of the LPCA, AVM of the SM3.

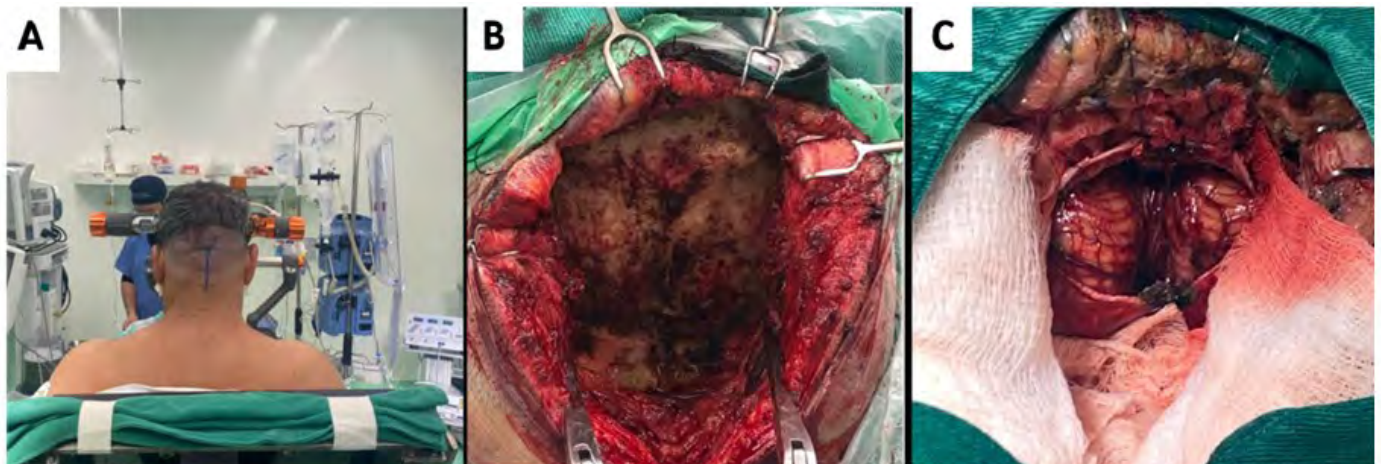


Figure 2. A. Positioning the patient in a seated position with head in flexion. B. dissected muscular plane with exposure of the occipital bone. C. Craniotomy and durotomy completed with exposure of the cerebellar hemispheres.

After resection, cranioplasty was performed. The surgical procedure was uneventful. In the postoperative evaluation, the patient was bedridden, non-compacting, afebrile, in a persistent vegetative state, and had a sacral decubitus ulcer. A new postoperative arteriography was then performed, which

revealed normal anatomy of the basilar artery (BA), the posterior cerebral artery (PCA), the superior cerebellar arteries (SCA), the antero-inferior cerebellar artery (AICA) and the postero-inferior cerebellar artery (PICA), and the absence of perimesencephalic AVM was also observed (Figures 4A-C).

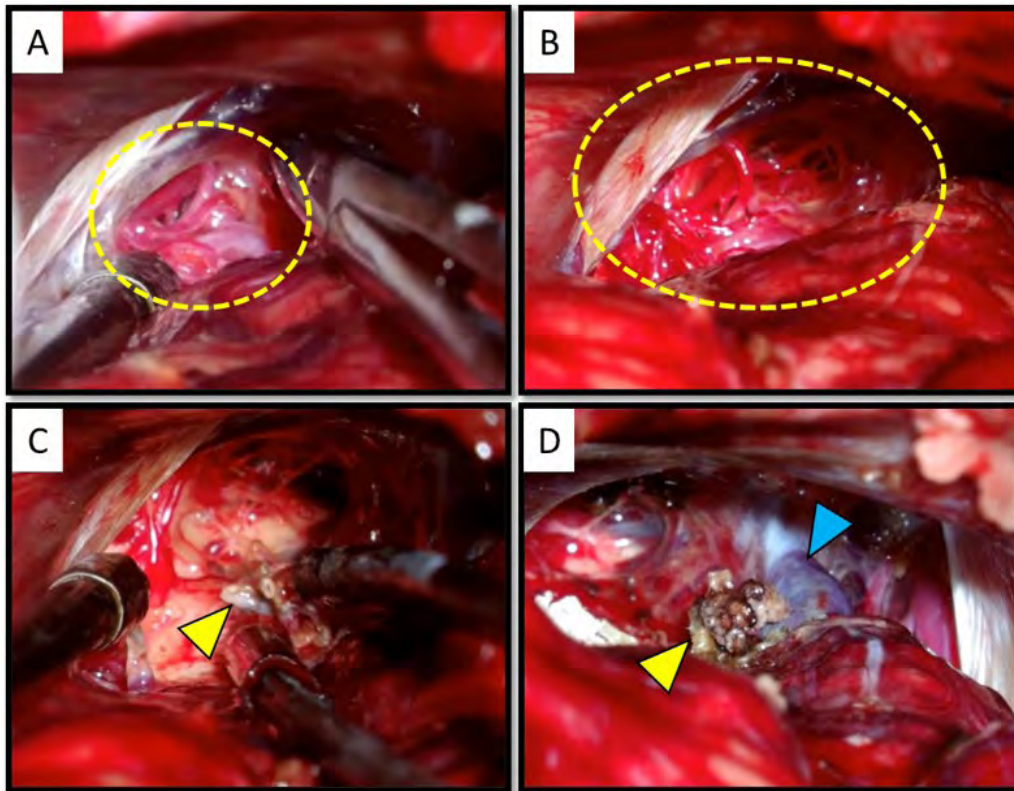


Figure 3. A and B. AVM afferents can be visualized through the branches of the posteromedial and lateral choroidal arteries of the posterior cerebral artery. C. nidus coagulation; and D. extraction of the AVM nidus and draining vein with normal staining.

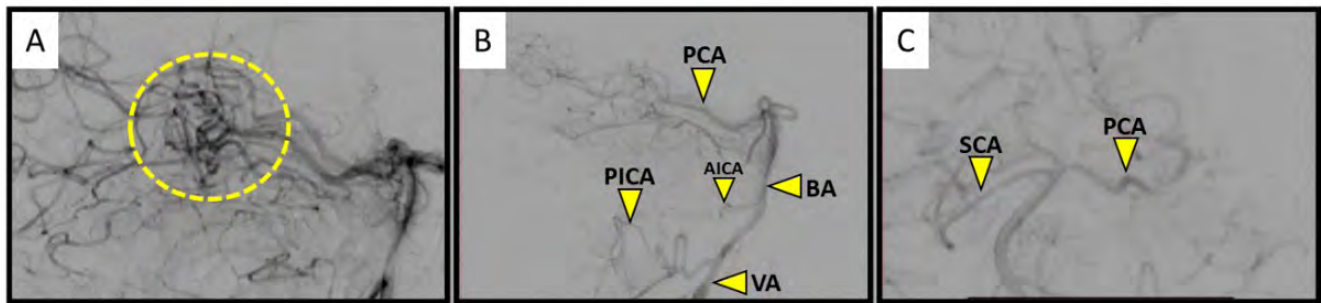


Figure 4. A. Preoperative arteriography, with the yellow dotted circle demarcating the perimesencephalic AVM area. B. arteriography showing the preservation of PCA, AICA, PICA, BA, and VA; and. C. Arteriography showing preservation of SCA.

DISCUSSION

The AVM case reported was a silent condition, which, after an hemorrhagic accident, caused irreversible damage to the healthy patient and who had no previous comorbidities. Cases like this call for early diagnosis and resolute therapies, since due to the

complicated screening of vascular irregularities, the diagnosis usually occurs only after vessel dehiscence⁷. In this patient the intraparenchymal hemorrhagic episode have been caused by rupture of the AVM, generating the beginning of the signals and symptoms. With this scenario, the initial diagnostic hypothesis was stroke, so there was a delay between the differentiation between hemorrhagic stroke and confirmation of AVM (hours). The structure of the health system was very important for

achievement of results, the possibility of significant results for medical care.

Cerebral hemorrhage is a condition with low resolution that demonstrates high morbidity and mortality, in which patients who have injury may acquire focal motor deficits, functional sequelae, epilepsy, in addition to a permanent vegetative state and, in most severe cases, progress to death^{8,9}. The present report goes according to the evolution to severe sequelae and death.

Early diagnosis is usually due to clinical investigation of other vascular comorbidities, as well as the presentation of nonspecific neurological manifestations (mainly due to bleeding episodes), seizures, headache and focal losses that can be motor, visual or somatosensory¹⁰⁻¹³. Therefore, the identification by neuroimaging and angiographic exams is essential, as well as diagnostic confirmation^{14,15}. At the service where the patient was admitted, the diagnostic method used was initially computed tomography angiography, that indicated the lesion and facilitated the decision of the therapeutic approach. Microsurgery is the most widespread and used method, and the technique consists of anatomical exposure, occlusion of the feeding arteries, preservation of the vessels that pass through the region, circumferential dissection of the lesion, cessation of venous drainage and finally the removal of the nidus^{16,17}.

The treatment and follow-up of the case was microsurgical, but other treatment options have the following modalities: conservative and interventional, which are divided into surgery, microsurgery, stereotaxic radiosurgery and endovascular embolization¹⁸⁻²⁰.

Surgical resection presents high risk post-operatively, but this and the benefit collide when comparing with outcome of surgeries performed when total resection is achieved, in this context there is elimination of hemorrhagic danger and prolonged durability of hemostatic stabilization^{19,21}. Complications of these methods consist mainly of hospital risks due to the long stay, stroke, intraoperative injury in the case of microsurgery, intraoperative or postoperative ischemic or hemorrhagic stroke in the case of embolization, and hemorrhagic risk in the latency period (time between radiation and tissue response with respect to radiosurgery)^{11,12,22}. Therefore, in the present case no identification of new hemorrhagic focus or others complications were seen, however, the long stay was necessary for the other systemic issues.

The post-surgical vegetative state resulted in decompensatory systemic complications and culminated in death.

CONCLUSION

The correction of AVM by microneurosurgery is a therapeutic approach widely used in referral and high complexity centers. The description of resection procedures leads to the presentation of access and correction approaches that can contribute to clinical vision and therapeutic designs. With this in mind, the present study aimed to meet this expectation, as well as to describe the correction of silent AVM after intraparenchymal hemorrhagic accident.

REFERENCES

1. Barbosa Do Prado L, Han C, Oh SP, Su H. Recent advances in basic research for brain arteriovenous malformation. *Int J Mol Sci.* 2019;20(21):5324. <http://dx.doi.org/10.3390/ijms20215324>. PMID:31731545.
2. Chen CJ, Ding D, Derdeyn CP, et al. Brain arteriovenous malformations: a review of natural history, pathobiology, and interventions. *Neurology.* 2020;95(20):917-27. <http://dx.doi.org/10.1212/WNL.000000000010968>. PMID:33004601.
3. Lawton MT, Rutledge WC, Kim H, et al. Brain arteriovenous malformations. *Nat Rev Dis Primers.* 2015;1(1):15008. <http://dx.doi.org/10.1038/nrdp.2015.8>. PMID:27188382.
4. Shaligram SS, Winkler E, Cooke D, Su H. Risk factors for hemorrhage of brain arteriovenous malformation. *CNS Neurosci Ther.* 2019;25(10):1085-95. <http://dx.doi.org/10.1111/cns.13200>. PMID:31359618.
5. Kansy K, Bodem J, Engel M, et al. Interdisciplinary treatment algorithm for facial high-flow arteriovenous malformations, and review of the literature. *J Craniomaxillofac Surg.* 2018;46(5):765-72. <http://dx.doi.org/10.1016/j.jcms.2018.03.002>. PMID:29609843.
6. Lv X, Wang G. Review of de novo cerebral arteriovenous malformation: hemorrhage risk, treatment approaches and outcomes. *Neuroradiol J.* 2018;31(3):224-9. <http://dx.doi.org/10.1177/1971400918759811>. PMID:29469668.
7. Mohr JP, Parides MK, Stapf C, et al. Medical management with or without interventional therapy for unruptured brain arteriovenous

- malformations (ARUBA): a multicentre, non-blinded, randomised trial. *Lancet*. 2014;383(9917):614-21. [http://dx.doi.org/10.1016/S0140-6736\(13\)62302-8](http://dx.doi.org/10.1016/S0140-6736(13)62302-8). PMID:24268105.
8. Al-Shahi R, Bhattacharya JJ, Currie DG, et al. Scottish Intracranial Vascular Malformation Study (SIVMS): evaluation of methods, ICD-10 coding, and potential sources of bias in a prospective, population-based cohort. *Stroke*. 2003;34(5):1156-62. <http://dx.doi.org/10.1161/01.STR.0000069012.23858.69>. PMID:12702840.
9. Abecassis IJ, Xu DS, Batjer HH, Bendok BR. Natural history of brain arteriovenous malformations: a systematic review. *Neurosurg Focus*. 2014;37(3):E7. <http://dx.doi.org/10.3171/2014.6.FOCUS14250>. PMID:25175445.
10. Ding D, Starke RM, Kano H, et al. Stereotactic Radiosurgery for ARUBA (A Randomized Trial of Unruptured Brain Arteriovenous Malformations)-Eligible Spetzler-Martin Grade I and II Arteriovenous Malformations: A Multicenter Study. *World Neurosurg*. 2017;102:507-17. <http://dx.doi.org/10.1016/j.wneu.2017.03.061>. PMID:28344176.
11. Catapano JS, Frisoli FA, Nguyen CL, et al. Intermediate-grade brain arteriovenous malformations and the boundary of operability using the supplemented Spetzler-Martin grading system. *J Neurosurg*. 2021;136(1):125-33. <http://dx.doi.org/10.3171/2020.11.JNS203298>. PMID:34171830.
12. Sanchez-Mejia RO, McDermott MW, Tan J, Kim H, Young WL, Lawton MT. Radiosurgery facilitates resection of brain arteriovenous malformations and reduces surgical morbidity. *Neurosurgery*. 2009;64(2):231-8, discussion 238-40. <http://dx.doi.org/10.1227/01.NEU.0000338068.44060.EA>. PMID:19057424.
13. Hartmann A, Stapf C, Hofmeister C, et al. Determinants of neurological outcome after surgery for brain arteriovenous malformation. *Stroke*. 2000;31(10):2361-4. <http://dx.doi.org/10.1161/01.STR.31.10.2361>. PMID:11022064.
14. Novakovic RL, Lazzaro MA, Castonguay AC, Zaidat OO. The diagnosis and management of brain arteriovenous malformations. *Neurol Clin*. 2013;31(3):749-63. <http://dx.doi.org/10.1016/j.ncl.2013.03.003>. PMID:23896503.
15. Ozpinar A, Mendez G, Ablá AA. Epidemiology, genetics, pathophysiology, and prognostic classifications of cerebral arteriovenous malformations. *Handb Clin Neurol*. 2017;143:5-13. <http://dx.doi.org/10.1016/B978-0-444-63640-9.00001-1>. PMID:28552158.
16. Paganelli SL, Alejandro SA, Chang Mulato JE, et al. Microsurgical treatment for a cerebral arteriovenous malformation of the central sulcus. *World Neurosurg*. 2022;159:64. <http://dx.doi.org/10.1016/j.wneu.2021.12.084>. PMID:34971830.
17. Hendricks BK, Spetzler RF. Microsurgical resection of brainstem arteriovenous malformation: 2-dimensional operative video. *Oper Neurosurg (Hagerstown)*. 2020;18(2):E38. <http://dx.doi.org/10.1093/ons/opz371>. PMID:31758193.
18. Chen W, Choi EJ, McDougall CM, Su H. Brain arteriovenous malformation modeling, pathogenesis, and novel therapeutic targets. *Transl Stroke Res*. 2014;5(3):316-29. <http://dx.doi.org/10.1007/s12975-014-0343-0>. PMID:24723256.
19. Narayanan M, Atwal GS, Nakaji P. Multimodality management of cerebral arteriovenous malformations. *Handb Clin Neurol*. 2017;143:85-96. <http://dx.doi.org/10.1016/B978-0-444-63640-9.00008-4>. PMID:28552161.
20. Clarençon F, Shotar E, Lenck S, et al. Strategies for embolization of direct arteriovenous shunts in brain arteriovenous malformations. *J Neurointerv Surg*. 2021;13(11):1064. <http://dx.doi.org/10.1136/neurintsurg-2021-017317>. PMID:33879511.
21. Grüter BE, Sun W, Fierstra J, Regli L, Germans MR. Systematic review of brain arteriovenous malformation grading systems evaluating microsurgical treatment recommendation. *Neurosurg Rev*. 2021;44(5):2571-82. <http://dx.doi.org/10.1007/s10143-020-01464-3>. PMID:33501562.
22. Zaki Ghali G, Zaki Ghali MG, Zaki Ghali E. Endovascular therapy for brainstem arteriovenous malformations. *World Neurosurg*. 2019;125:481-8. <http://dx.doi.org/10.1016/j.wneu.2018.08.099>. PMID:30149173.

CORRESPONDING AUTHOR

Michael Ricardo Lang, MD
Neurosurgeon
São Vicente de Paulo Hospital
Neuromax Neurologia e Neurocirurgia
NUPESC
University of Contestado
Mafra, Santa Catarina, Brazil
E-mail: michaelricardolang@gmail.com

Funding: nothing to declare.

Conflicts of interest: nothing to declare.

Ethics Committee approval: approved under number 4,947,483.

Institution: São Vicente de Paulo Hospital, Mafra

Central Nervous System Involvements of the Lemierre Syndrome: case report and mini-review of the literature

Envolvimentos do Sistema Nervoso Central na Síndrome de Lemierre: relato de caso e mini-revisão da literatura

Feyzi Birol Sarica¹

Kemal Kapanoglu²

Iskender Samet Daltaban³

Ilknur Senel⁴

Ilyas Tadayyon Einaddin Karakoc²

ABSTRACT

Lemierre syndrome is a rare disease with fulminant course observed in healthy young adults. After an oropharyngeal infection, it is characterized by internal jugular vein thrombophlebitis, septicemia, and necrotic abscesses in distant organs. Mostly its causative microorganism is the *Fusobacterium necrophorum*. In these cases mortality rate used to be around 90%, but today this rate has decreased to 5% with early diagnosis and appropriate antibiotic treatment. Although the Lemierre syndrome, which was described in 1936, was widely observed in the pre-antibiotic period, the number of cases decreased dramatically after the widespread use of antibiotics in 1950s and 1960s. Facing this situation the Lemierre syndrome started being called the “forgotten disease” in the relevant years. In 1970s, it was observed its cases tended to increase according to the developments in radiological imaging techniques. However, the reviews in literature showed a remarkable increase in the incidence of Lemierre syndrome cases, especially in the last 15 years. In this article, a case of Lemierre Syndrome with sequelae of left abducens nerve palsy was presented with a literature review.

Keywords: Human necrobacillosis; Internal jugular vein thrombosis; Jugular septic thrombophlebitis; Postanginal sepsis; Suppurative jugular thrombophlebitis

RESUMO

A síndrome de Lemierre é uma doença rara com curso fulminante observada em adultos jovens saudáveis. Após uma infecção orofaríngea, caracteriza-se por tromboflebite da veia jugular interna, septicemia e abscessos necróticos observados em órgãos distantes. O microrganismo causador é principalmente *Fusobacterium necrophorum*. A taxa de mortalidade nesses casos costumava ser em torno de 90% e hoje reduziu para 5% com o diagnóstico precoce e o tratamento antibiótico adequado. Embora a síndrome de Lemierre, descrita em 1936, tenha sido amplamente observada no período pré-antibiótico, o número de casos diminuiu drasticamente após o uso generalizado de antibióticos nas décadas de 1950 e 1960. Esta situação a levou a ser chamada de “doença esquecida” nos anos relevantes. Na década de 1970, observou-se que os casos de síndrome de Lemierre tendiam a aumentar segundo o avanço das técnicas de imagem radiológica. No entanto, as revisões feitas na literatura mostram que houve um aumento notável na incidência de casos de síndrome de Lemierre, principalmente nos últimos 15 anos. Neste artigo, foi apresentado um caso de Síndrome de Lemierre com sequelas de paralisia do nervo abducente esquerdo com revisão da literatura.

Palavras-Chave: Necrobacilose humana; Trombose da veia jugular interna; Tromboflebite séptica jugular; Sepse pós-anginosa; Tromboflebite jugular supurativa

¹ MD, PhD, Associate Professor, Department of Neurosurgery, Giresun Education and Research Hospital, Medical School, University of Giresun, Giresun, Turkey.

² MD, Department of Neurosurgery, Giresun Education and Research Hospital, Giresun, Turkey.

³ MD, Department of Neurosurgery, Trabzon Kanuni Education and Research Hospital, Trabzon, Turkey.

⁴ MD, PhD, Assistant Professor. Department of Infectious Diseases and Clinical Microbiology, University of Giresun Medical School, Giresun, Turkey.

Received Apr 30, 2022

Accepted May 15, 2022

INTRODUCTION

Lemierre Syndrome (LS), also called as human necrobacillosis, jugular septic thrombophlebitis, suppurative jugular thrombophlebitis, and postanginal sepsis, is a rare disease observed in healthy young adults¹⁻⁶. LS was quite common and quickly became fatal within 7 to 15 days before the development of antibiotic drugs⁷. In the majority of cases, the septic thrombophlebitis develops in the jugular vein following the acute pharyngitis or tonsillitis. In fewer cases, the odontogenic infections, sinusitis, otitis and mastoiditis can be considered as primary infection foci. The most common microorganism in the sepsis, originating from the oropharynx, is *Fusobacterium necrophorum* (*F. necrophorum*), which is found in the normal oral flora. *F. necrophorum* is rod-shaped and is a gram-negative and obligate intracellular anaerobic bacterium^{1,7}. Although more rarely, LS cases in which other causative microorganisms – such as *F. nucleatum*, *Staphylococcus aureus*, *Streptococcus viridans*, *Streptococcus pyogenes*, *Porphromonas asaccharolytica* and common anaerobic oral cavity bacilli – are responsible were also reported.

CASE PRESENTATION

A 46-year-old male patient was admitted to our Neurosurgery Department with a complaint of frontal headache. The patient's frontal headache complaint had been intermittent for 1 week. During his neurological examination a "lateral gaze restriction" was found, on the left, as a sign of *abducens* nerve palsy. However, diplopia was not detected. No abnormality was detected in laboratory tests. Since our patient did not have symptoms that could indicate infection, such as high fever and chills, and no abnormality was detected in laboratory tests, no causative microorganism research was performed. In the brain MRI was depicted a lesion in the left cavernous sinus, which was hypointense in T1- and hyperintense in T2- sequence, compatible with cavernous sinus thrombosis (Figure 1A). In the brain MR-angiography, a left internal carotid artery occlusion was detected and that the left anterior cerebral artery and middle cerebral artery were filling through the anterior communicating artery (Figure 1B). In addition, in the neck CT-angiography, the left internal carotid artery was found thrombosed along the entire

tracing by starting from the proximal part, and no flow was observed (Figure 1C). In the brain MR-venography, an advanced decrease in the calibrations was detected depending on the partial thrombosis of the left internal jugular vein, sigmoid sinus and transverse sinuses (Figure 1D).

According to the patient, when he was 5 years old, he was referred to an external center with complaints of headache and fever, and was first diagnosed with sinusitis and then meningitis. The patient received antibiotic treatment for 2 months, and after this, the patient developed "double vision". During this period, the patient had developed a posture of looking by turning his head and neck to the opposite side in order to see the objects single. It was understood that ophthalmological surgical treatment was applied for the extraocular muscle weakness detected in the patient, and after this treatment, no complaints were observed in the patient for 11 years. When the patient reached the age of 17, he developed a pain starting from the left half of the neck, radiating to the left half of the head and face, and to the left eye. At the age of 20, the complaints of difficulty in looking outward and "double vision" in the left eye of the patient recurred, and these complaints continued for 5 years. At the age of 25, the patient was diagnosed with ophthalmic venous thrombosis and underwent ophthalmic surgery for the second time. Two years after this operation, the patient's complaint of "double vision" was completely resolved.

The patient was evaluated in detail by the Department of Infectious Diseases and Clinical Microbiology and Department of Interventional Radiology. As a result of the 'multidisciplinary expert consultation', the final diagnosis of the patient was made as "left abducens nerve palsy permanent sequelae of Lemierre Syndrome". Anticoagulant therapy with Coraspin 100 mg/day per oral treatment and analgesic therapy was administered. In the 1st, 6th and 12th months follow-ups of the patient there was no frontal headache complaint. In the neurological examination performed during the follow-ups; no other pathological finding was detected in the left eye other than 'lateral gaze restriction'.

DISCUSSION

The incidence of Lemierre syndrome (LS) is estimated to be 1 case per 1 million annually^{1,8}. Among the reasons for this low

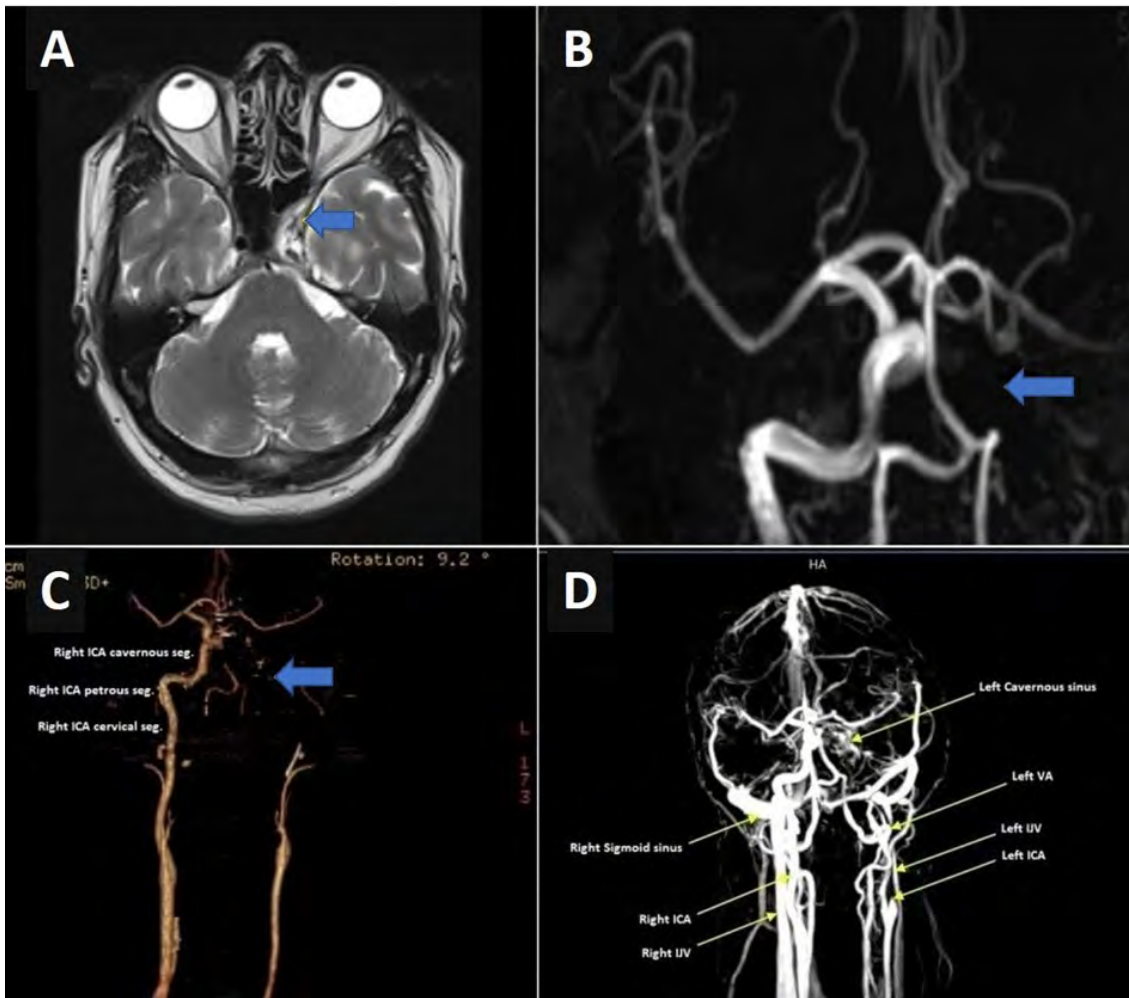


Figure 1. **A.** Brain MRI with hyperintense heterogeneous lesion observed in the left cavernous sinus on T2 sequences compatible with the cavernous sinus thrombosis (arrow). **B.** brain MR-angiography of the patient, the left internal carotid artery occlusion was detected (arrow) and it was determined that the left anterior cerebral artery and middle cerebral artery were filling through the anterior communicating artery. **C.** neck CT-angiography revealed left internal carotid artery thrombosed throughout the entire tracing, starting from the proximal part, and no flow was observed (arrow). **D.** brain MR-venography, a severe decrease in calibrations was detected depending on the partial thrombosis of the left internal jugular vein, sigmoid sinus and transverse sinuses.

incidence, the difficulties in diagnosing LS can be accounted due to both the fact that LS is a less well-known syndrome by clinicians and the multisystemic clinical course of the syndrome⁹. The fact that a case of LS was not reported in the literature between 1950 and 1960 caused this disease to be called as “forgotten disease”¹⁰. In 1970s, it was observed that LS cases reported in the literature tended to increase depending on the developments in diagnostic imaging techniques. In 2008, Hagelskjaer Kristensen and Prag⁴ reported 3.6 cases per 1 million people per year. In 2019, Nygren and Holm¹¹ compared the cases between 2010-2013 and 2014-2017 and also emphasized that the its incidence increased from 1 case per 1 million of people to 1.7 cases per year, and

this increase was statistically significant. In addition, it was also reported that the incidence of *F. necrophorum* infections increased from 2.9 to 5 cases.

Natural history and updated diagnostic criteria

The first patient with *F. necrophorum* infection was reported in 1900. In 1936, 20 cases with postanginal septicemia showing a fatal course were reported by Andre Lemierre, who nominated the disease. The symptoms observed in LS clinic such as sore throat ache, neck swelling, and sepsis were described in detail in this study^{1,12}. The clinical triad of LS, which includes oropharyngeal infection, *F. necrophorum* bacteremia, and internal jugular vein

thrombosis (IJV) was described by Vogel and Boyer in 1980. In this description, the presence of at least two parameters was considered enough to diagnose LS, provided that only one of *F. necrophorum* bacteremia and IJV thrombosis were detected¹³. The causative microorganisms, which have a high potential to cause tissue necrosis, advance from the primary infection focus to the lateral pharyngeal space, where they are mostly located in the IJV via the lymphatics. In this localization, after ulceration and abscess formation, the causative microorganism invades the adjacent vascular structures in the head and neck region and it causes septicemia course along with the IJV thrombophlebitis and/or venous thrombosis within 1 to 3 weeks¹⁴. A number of invasion mechanisms were reported in the literature, which state that the hematogenous pathway – via the tonsillar vein, the lymphatic pathway through the jugular lymphatic system, and the local neighborhood pathway – were also responsible for the spread of the causative microorganism to the IJV. In particular, *in-vitro* studies showed that *F. necrophorum*, which enters into the venous structure, activates platelets by producing leukotoxin and causes thrombus formation. In addition, it was observed that the exotoxin produced by *F. necrophorum* increased the production of tumor necrosis factor- α , which is responsible for inflammation in the clinical picture of LS⁷. As a result, a multisystemic infection picture occurs as a result of the metastatic spread of the septic emboli to the distant organs. The most exposed organ to the septic embolisms are the lungs and they often present with pulmonary thromboembolism. However, it may develop in the septic arthritis, osteomyelitis, pericarditis, meningitis and hepatic abscesses as a result of the metastatic infection¹⁵.

However, many LS cases in which IJV thrombophlebitis was not observed after an oropharyngeal infection and metastatic lesions were detected in distant organs, only with *F. necrophorum* bacteremia, were also reported in the literature. On top of that, in 2014, Riordan¹ and Olson et al.¹⁶ revised LS diagnostic criteria and reported that the presence of IJV thrombophlebitis was not essential. The authors described that the presence of a history of oropharyngeal angina in the previous 4 weeks and the detection of *F. necrophorum* in the blood culture would be enough for the diagnosis of LS in the patients with distant organ lesions. Similarly, a case report of LS with oropharyngeal *Fusobacterium* infection in which the infection spread to adjacent air sinuses, followed by cavernous sinus thrombosis, superior ophthalmic vein thrombosis, and meningitis was reported by Dasari et al.¹⁷ Here, it was assumed that the causative microorganism caused bacteremia and septic emboli from this focus after spreading from the oropharyngeal region to the adjacent sinuses. It was reported that the main

factors responsible for the fulminant course of LS were sepsis and pulmonary thromboembolism.

Central nervous system involvements

Papers reporting central nervous system (CNS) involvement caused by LS are summarized in Table 1. In LS case reviews, observed after 2010, it was reported that the CNS involvement due to LS was lesser than other organ involvement (1.9%, 3.6% and 4.9%)^{2,12,18}. This occurred due to the fact that the IJV was located more inferiorly than the CNS and to the reverse flow. However, thrombosis of the sigmoid sinus, cavernous sinus and/or other intracranial venous sinuses secondary to LS were also reported in the literature¹⁹. Similarly, cavernous sinus thrombosis and internal carotid artery (ICA) cavernous segment partial thrombosis were determined in our case with the IJV total occlusion. The complaint of diplopia observed in the history in our case was caused by abducens nerve palsy secondary to the cavernous sinus thrombosis.

Although *Fusobacterium* meningitis is a rare observed complication secondary to LS, it is a poor prognostic sign¹⁷. Riordan et al.¹ reported that meningitis due to the *Fusobacterium* spp or other anaerobic bacteria was observed in only 3 (1.3%) LS cases when they examined the data of 222 cases between 1970 and 2007. Kupppalli et al.⁷ analyzed the data of a total of 91 LS cases between 1974 and 1999, reporting that *Fusobacterium* meningitis picture was observed in 7 (7.7%) LS cases as the most common CNS complication. These patients aged 5 to 26 years also had subdural empyema, venous sinus thrombosis, cranial nerve palsies, carotid and cerebral artery stenosis. From these, 3 patients had recovered, and the sequelae of different cranial nerve palsies were reported to be permanent in 3 patients. In this study, recurrence was observed in 1 patient, as in our case⁷. Similarly, in the case of LS with *Fusobacterium* meningitis reported by Dasari et al.¹⁷, the patient was discharged with the residual findings such as abducens nerve palsy and diplopia, which we observed in our case.

Another LS complication characterized by the residual cranial nerve palsies is cavernous sinus thrombophlebitis (CST) followed by thrombosis picture. The cavernous sinuses, which have a high degree of vascularity, are highly sensitive structures especially in the head and neck region due to localized infection-induced septic embolism²⁰. The CST picture still has a high mortality rate despite current antimicrobial treatments. It is caused by the retrograde flow of IJV thrombosis into the intracranial dural

Table 1. Lemierre Syndrome with Central nervous system complications.

Compilation Studies	Riordan (2007) ¹	Karkos et al. (2009) ⁸	Kuppalli et al. (2012) ⁷	Johannesen and Bodtger (2016) ²	Nygren and Holm (2020) ¹¹	Moretti et al. (2021) ¹⁸
Date range during compilation is made	1970 –2007	1950-2007	1980-2010	2010-2015	2010-2017	2013-2019
Number of cases (n)	222	114	91	137	104	64
Complication of the Central Nervous System (%)	16.7%	30%	24.1%	3.6%	1.9%	4.6%
Cavernous sinus thrombosis (%)	-	3.5%	2.1%	-	-	-
Cavernous sinus thrombosis & Carotid artery stenosis (%)	-	-	1%	-	-	-
Cavernous sinus thrombosis and Meningitis (%)	1.8%	-	-	0.7%	-	-
Cavernous sinus thrombosis, Meningitis & Carotid artery stenosis (%)	-	-	4.3%	-	-	-
Cranial nerve palsy (%)	-	3%	4.3%	-	-	-
Meningitis (%)	-	-	7.7%	-	-	-
Brain abscess (%)	1.8%	-	1%	-	-	-
Epidural and Subdural empyema (%)	-	-	6.5%	0.7%	-	-
Cerebral infarction (%)	-	-	8.7%	-	-	-
Ophthalmic complication (%)	-	5%	3.3%	0.7%	-	-

venous sinuses. Additionally, in the literature was reported that the air sinus infections such as maxillary, paranasal, ethmoidal and sphenoidal sinuses cause CST with the venous spread. Involvement of the anatomical structures within the cavernous sinus and adjacent to the sinus constitutes the main clinical presentation of the disease. Although the CST is often accompanied by the meningitis, the epidural/subdural empyema, cerebritis, cerebral abscess, ICA stenosis and cerebral infarction can also be observed less frequently^{21,22}. In the CST clinic picture, high fever, frontal headache, ocular pain, and periorbital edema are frequently accompanied by the limitation of eye movements and diplopia, which is developed secondary to the ocular cranial nerve palsies²³. Dasari et al.¹⁷ and Shibuya et al.¹⁹ also reported LS cases in which *Fusobacterium* meningitis and cavernous sinus thrombosis were observed together. They found the importance of early diagnosis in the cavernous sinus thrombosis, which can have a fatal course, was particularly emphasized, and they reported that the initiation of antibiotic and anticoagulant treatment as early as possible significantly affected prognosis of the disease²³. It is also very important to investigate the primary focus in LS cases complicated by the cavernous sinus thrombosis and to plan surgical intervention in the cases with chronic sinusitis and oropharyngeal abscess²⁰. In 2009, Karkos et al.⁸ reviewed 114 cases of *Fusobacterium*-induced thrombophlebitis, between 1950 and 2007, and reported

the overall CNS involvement rate as 30%, including cavernous, transverse, and sigmoid sinus thrombosis. In this study, the rate of ophthalmic complications, including paralysis of the 3rd, 4th and 6th cranial nerves innervating the extraocular muscles, was reported to be 5%.

Clinical manifestations and complications

LS clinic aspects usually starts with the complaint of sore throat, which is a common finding of the oropharyngeal infections such as pharyngitis and tonsillitis. High fever can be observed in addition to other nonspecific symptoms such as vomiting, fatigue and weight loss approximately 1 week after the onset of this localized infection in the primary focus²⁴. After, a neck pain, mostly unilateral, and swelling in the neck, and to a lesser extent, trismus findings are developed²⁵. In cases complicated by septic pulmonary thromboembolism, the chest pain and shortness of breath dominate the clinical presentation. In addition to all these complaints, the patients with LS diagnosis often are referred to the emergency department with the systemic sepsis findings and shock²⁴.

In a review conducted in 2020 on 27 patients diagnosed with LS between 2009 and 2019, it was reported that the ophthalmic complications were detected in 88.9% of the cases. In these cases, there was limitation of

extraocular muscle movements due to paralysis of the 3rd, 4th and 6th cranial nerves and diplopia among the most common complaints. They reported IJV thrombosis in 81.5%, cavernous sinus thrombosis in 70.4%, diplopia due to 6th cranial nerve palsy in 50%, and decreased visual acuity in 29.6%²⁶. The cases complicated by the partial or total thrombosis of the cavernous, sigmoid, and other intracranial venous sinuses can show an asymptomatic course, as well as a symptomatic course ranging from the cranial nerve palsies to the venous infarctions²⁷.

Diagnosis: laboratory and radiological parameters

Investigation of the diagnostic criteria such as radiological imaging of IJV thrombosis, showing *F. necrophorum* in blood cultures and detecting multisystemic metastatic infected lesions is a detailed and long process. The fact that the defined diagnostic criteria are not fully met and the disease has a multisystemic course are the most important issues that make the diagnosis difficult in LS. Delayed diagnosis causes late initiation of the treatment and a two-fold increase in mortality². In this context, the most important issue in the diagnosis of LS is the high clinical suspicion that should be shown in patients with oropharyngeal infection. Especially, in case of persistent high fever observed in early stages of the disease, skepticism should be treated and further research should be done⁷.

In the early stages of the disease, nonspecific sore throat depending on the oropharyngeal infection and infectious mononucleosis findings such as Leukocytosis, C-reactive protein (CRP) and Erythrocyte sedimentation rate (ESR) elevation are observed in the laboratory. Especially in this period, the blood cultures should be sent to determine the causative microorganism before starting antibiotic treatment so that LS cases are not overlooked. However, since *F. necrophorum* has long incubation period such as 5 to 8 days causes blood cultures to be seen as sterile in the early stages of LS, resulting in a false confidence picture for clinicians. Therefore, Polymerase chain reaction (PCR) test should also be performed to detect *F. necrophorum* in the cases with negative culture results in the early stages of the disease^{1,2,7,16}.

The neck/jugular venous system Doppler USG and/or neck contrast-enhanced CT (computed tomography) to detect IJV thrombosis in cases with suspicion of LS, and thorax CT in the diagnosis of the septic pulmonary embolism are vital tests for the diagnosis¹. In addition, the presence of pulmonary infiltrates on chest radiographs is a valuable finding. However, the contrast-enhanced CT and MRI are the most accurate diagnostic tools for detecting metastatic infected lesions and their localization such as venous thrombus formation, pulmonary embolism, osteomyelitis, arthritis and abscess (brain, kidney and liver abscess)^{1,2,25}.

Antimicrobial therapy

In patients who are referred to the Emergency Department with pulmonary embolism and shock, supportive treatment for the respiratory and cardiovascular systems should be planned first. The mortality rate in the patients who do not receive appropriate antibiotic treatment during this period until definitive diagnosis is around 90%²⁴. Rapid diagnosis and initiation of antibiotic treatment as soon as possible is the most important factor to reduce morbidity and mortality in LS cases^{1,28}. Therefore, the treatment with the broad-spectrum antibiotics such as Ceftriaxone, which is also effective against the anaerobic bacteria, should be started until *F. necrophorum* is identified in the blood cultures, especially in the patients with significant swelling and tenderness in the neck, symptoms of sepsis, and high fever. This treatment should be continued for 4 to 6 weeks^{17,25}. In addition, there are other antibiotic options that are effective against the anaerobic bacteria, such as ticarcillin-clavulanate, ampicillin-sulbactam, clindamycin and flagyl²⁴. In cases with the peritonsillar abscess, high-dose intravenous penicillin treatment can also be preferred³. Where *Fusobacterium* strains are identified in the blood cultures, Metronidazole, which penetrates well into the CNS and has excellent antimicrobial effect, should be preferred. Johannessen et al. reported that a 98% success rate was achieved by using Metronidazole together with Carbapenem or Piperacillin/Tazobactam combination treatment for an average time of 1 month².

Anticoagulation therapy

The anticoagulation treatment is still a controversial issue due to its potential risks such as bleeding in the treatment of jugular venous thrombophlebitis, intracranial vein and dural sinus thrombosis observed in LS^{8,24}. In the review of Johannessen and Bodtger², 64% of the patients who received anticoagulant treatment were compared to 34% of the patients who did not receive anticoagulant treatment, and found no difference in mortality between two groups. On the other hand, in a prospective study conducted by Stam in 2005²³, the rate of recovery in the patients with the sinus thrombosis was 79% with the anticoagulation treatment with Heparin, whereas major morbidity was 5% and mortality was 8%. Riordan et al.¹ suggested that 21 to 23% of the patients included in their study were treated with the anticoagulants, and consequently, the anticoagulant treatment should be used only in the cases where there is no response to the antibiotics and thrombus spreading continuously including the cerebral sinuses. Kuppalli et al.⁷ emphasized that the cases with the cerebral sinus thrombosis such as IJV thrombosis and cavernous sinus thrombosis should be divided into subgroups first and then an aggressive treatment strategy including the anticoagulant treatment should be decided for these subgroups. However, it is observed that the views on the use of

the anticoagulant treatment gain more weight in the literature, as it facilitates the resolution of venous thrombosis and allows antibiotics to have a better penetration in septic embolisms^{15,17,23}.

Surgical therapy

The mortality rate in the localized infections such as necrotic abscesses, septic arthritis and empyema observed in LS and infected in the deep neck region is around 5%. Therefore, drainage of the infected lesions in the relevant localization is an important factor determining the prognosis of the disease¹. The surgical drainage of the abscesses detected in primary foci is also very important to provide better penetration of the antibiotics and better control of the infection^{2,13}. In this case, the surgical drainage of the abscess should be performed first and then the treatment with the broad-spectrum intravenous antibiotics should be continued. In these cases with excessive pulmonary secretion and severe shortness of breath, the respiratory support should be given by the intubation first. On the other hand, the surgical drainage should be preferred in the abscesses observed in the lateral pharyngeal space and retropharyngeal space. Similarly, in the treatment of the peritonsillar abscesses, the abscess drainage should be performed by the surgical intervention to prevent the airway obstruction that will be able to develop with the abscess enlargement, aspiration pneumonia that will be able to be developed as a result of the spontaneous abscess rupture, and the dissection of the abscess into the lateral retropharyngeal space. Both anaerobic and aerobic culture studies should be performed on the materials taken during the surgery³. In addition, the cases who underwent multiple air sinus operations such as maxillary antrostomy, ethmoidectomy and sphenoidectomy to remove infected materials in the air sinuses were reported in the literature¹⁷.

Combination therapy

In the review conducted by Dasari and Jha²⁶, 2020, it was reported that all three treatment methods were applied in 27 patients with LS diagnosed with the IJV thrombosis and cavernous sinus thrombosis. In this study, while 40.7% of the cases were treated with metronidazole and 25.9% with ceftriaxone antibiotic treatment, both anticoagulant and surgical treatment were applied in 51.8% of the cases.

CONCLUSION

The reviews found in the literature showed a remarkable increase in the incidence of LS cases, especially in the last 15 years. This increase

is thought to be due to more limited use of the antibiotic applications in patients with sore throat in recent years. Early diagnosis of the focus of the infection and “multidisciplinary treatment approach” are vital in Lemierre Syndrome cases. Thus, the focus of the infection can be eradicated with both surgical treatment and appropriate antibiotic treatment. The anticoagulant treatment indication and duration of the administration were still not standardized. In order not to overlook LS, which is less well-known by clinicians and shows a fulminant course, LS should be considered especially in patients with oropharyngeal infection and presenting a picture of the sepsis.

REFERENCES

1. Riordan T. Human infection with *Fusobacterium necrophorum* (necrobacillosis), with a focus on Lemierre's syndrome. *Clin Microbiol Rev.* 2007;20(4):622-59. <http://dx.doi.org/10.1128/CMR.00011-07>. PMID:17934077.
2. Johannesen K, Bodtger U. Lemierre's syndrome: current perspectives on diagnosis and management. *Infect Drug Resist.* 2016;9:221-7. <http://dx.doi.org/10.2147/IDR.S95050>. PMID:27695351.
3. Fraimov HS, Reboli AC. Specific infections with critical care implications. In: Parillo JE, Dellinger RP, editors. *Critical Care Medicine. Principles of diagnosis and management in the adult.* 3rd ed. Philadelphia, PA, Mosby Elsevier; 2008. p. 1133-61.
4. Hagelskjaer Kristensen L, Prag J. Lemierre's syndrome and other disseminated *Fusobacterium necrophorum* infections in Denmark: a prospective epidemiological and clinical survey. *Eur J Clin Microbiol Infect Dis.* 2008;27(9):779-89. <http://dx.doi.org/10.1007/s10096-008-0496-4>. PMID:18330604.
5. Sinave CP, Hardy GJ, Fardy PW. The Lemierre syndrome: suppurative thrombophlebitis of the internal jugular vein secondary to oropharyngeal infection. *Medicine (Baltimore).* 1989;68(2):85-94. <http://dx.doi.org/10.1097/00005792-198903000-00002>. PMID:2646510.
6. Armstrong AW, Spooner K, Sanders JW. Lemierre's Syndrome. *Curr Infect Dis Rep.* 2000;2(2):168-73. <http://dx.doi.org/10.1007/s11908-000-0030-z>. PMID:11095853.
7. Kuppalli K, Livorsi D, Talati NJ, Osborn M. Lemierre's syndrome due to *Fusobacterium necrophorum*. *Lancet Infect Dis.* 2012;12(10):808-15. [http://dx.doi.org/10.1016/S1473-3099\(12\)70089-0](http://dx.doi.org/10.1016/S1473-3099(12)70089-0). PMID:22633566.
8. Karkos PD, Asrani S, Karkos CD, et al. Lemierre's syndrome: a systematic review. *Laryngoscope.* 2009;119(8):1552-9. <http://dx.doi.org/10.1002/lary.20542>. PMID:19554637.
9. Allen BW, Bentley TP. Lemierre syndrome. *StatPearls.* Treasure Island, FL: StatPearls Publishing; 2020.

10. Bartlett JG, Finegold SM. Anaerobic pleuropulmonary infections. *Medicine (Baltimore)*. 1972;51(6):413-50. <http://dx.doi.org/10.1097/00005792-197211000-00001>. PMID:4564416.
11. Nygren D, Holm K. Invasive infections with *Fusobacterium necrophorum* including Lemierre's syndrome: an 8-year Swedish nationwide retrospective study. *Clin Microbiol Infect*. 2020;26(8):1089.e7-12. <http://dx.doi.org/10.1016/j.cmi.2019.12.002>. PMID:31843654.
12. Lemierre A. On certain septicemias due to anaerobic organisms. *Lancet*. 1936;227(5874):701-3. [http://dx.doi.org/10.1016/S0140-6736\(00\)57035-4](http://dx.doi.org/10.1016/S0140-6736(00)57035-4).
13. Vogel M, Horger M. Lemierre syndrome. *RoFo*. 2005;177(1):1-3. <http://dx.doi.org/10.1055/s-2005-861706>. PMID:15657837.
14. Jones C, Siva TM, Seymour FK, O'Reilly BJ. Lemierre's syndrome presenting with peritonsillar abscess and VIth cranial nerve palsy. *J Laryngol Otol*. 2006;120(6):502-4. <http://dx.doi.org/10.1017/S002221510600034X>. PMID:16772058.
15. Nemaakayala DR, P Rai M, Kavuturu S, Rayamajhi S. Atypical presentation of Lemierre's syndrome causing septic shock and acute respiratory distress syndrome. *Case Rep Infect Dis*. 2018;2018:5469053. <http://dx.doi.org/10.1155/2018/5469053>. PMID:30057835.
16. Olson KR, Freitag SK, Johnson JM, Branda JA. Case records of the Massachusetts General Hospital. Case 36-2014. An 18-year-old woman with fever, pharyngitis, and double vision. *N Engl J Med*. 2014;371(21):2018-27. <http://dx.doi.org/10.1056/NEJMcp1310001>. PMID:25409375.
17. Dasari SP, Gill H, Bodette H, Brandes E, Jha P. A challenging case of Lemierre's Syndrome With Central Nervous System Involvement and a Comprehensive Review. *Cureus*. 2020;12(8):e10131. <http://dx.doi.org/10.7759/cureus.10131>. PMID:32879837.
18. Moretti M, De Geyter D, Goethal L, Allard SD. Lemierre's syndrome in adulthood, a case report and systematic review. *Acta Clin Belg*. 2021;76(4):324-34. <http://dx.doi.org/10.1080/17843286.2020.1731661>. PMID:32116143.
19. Shibuya K, Igarashi S, Sato T, Shinbo J, Sato A, Yamazaki M. Case of Lemierre syndrome associated with infectious cavernous sinus thrombosis and septic meningitis. *Rinsho Shinkeigaku*. 2012;52(10):782-5. <https://doi.org/10.5692/clinicalneuro.52.782>.
20. Ebright JR, Pace MT, Niazi AF. Septic thrombosis of the cavernous sinuses. *Arch Intern Med*. 2001;161(22):2671-6. <http://dx.doi.org/10.1001/archinte.161.22.2671>. PMID:11732931.
21. García Del Castillo E, Morales JM, Crespo J, et al. Thrombophlebitis of the cavernous sinus secondary to polysinusitis. Report of a new case. *Acta Otorrinolaringol Esp*. 1995;46(1):60-2. PMID:7734168.
22. DeGaffe GH, Murphy JR, Butler IJ, Shelburne J, Heresi GP. Severe narrowing of left cavernous carotid artery associated with *Fusobacterium necrophorum* infection. *Anaerobe*. 2013;22:118-20. <http://dx.doi.org/10.1016/j.anaerobe.2013.04.001>. PMID:23664903.
23. Stam J. Thrombosis of the cerebral veins and sinuses. *N Engl J Med*. 2005;352(17):1791-8. <http://dx.doi.org/10.1056/NEJMra042354>. PMID:15858188.
24. Puchalski AL. Neck Infections. In: Baren JM, Rothrock SG, Brennan JA, Brown L, editors. *Pediatric emergency medicine*. 1st ed. Philadelphia, PA: Saunders publication, an imprint of Elsevier Inc.; 2008. p. 427-34.
25. Frei NR. Jugular Septic Thrombophlebitis (Lemierre Disease). In: Zaoutis LB, Chiang VW. *Comprehensive pediatric hospital medicine*. 2nd ed. Philadelphia, PA: Mosby Elsevier Publishing; 2007. p. 209-13. <http://dx.doi.org/10.1016/B978-032303004-5.50047-8>.
26. Dasari SP, Jha P. A systematic review of Lemierre's syndrome with a focus on ophthalmologic complications. *Cureus*. 2020;12(7):e9326. <http://dx.doi.org/10.7759/cureus.9326>. PMID:32742884.
27. Greenlee JE. Suppurative intracranial thrombophlebitis. *Handb Clin Neurol*. 2010;96:101-23. [http://dx.doi.org/10.1016/S0072-9752\(09\)96008-0](http://dx.doi.org/10.1016/S0072-9752(09)96008-0). PMID:20109678.
28. Aslaner H, Karaahmetoglu S, Ercan-Uzundal D, et al. Multidisciplinary approach in Lemierre syndrome: a case report. *Klimik Derg*. 2020;33(1):103-7. <http://dx.doi.org/10.5152/kd.2020.21>.

CORRESPONDING AUTHOR

Feyzi Birol Sarica, MD, PhD
Associate Professor
Faculty of Medicine, Giresun University
Department of Neurosurgery
Giresun, Turkey
E-mail: saricafb@gmail.com

Funding: nothing to disclose

Conflicts of interest: nothing to disclose


Informed consent statement: This article has been prepared in accordance with the principles of the Declaration of Helsinki. Informed written consent was obtained from the patient for publication of this report and any accompanying images.



INC

HIGH·TECH

Hospital INC:
pioneiro em
novas tecnologias.
Dentre elas:



5-ALA

Corante que permite diferenciar células tumorais do tecido cerebral normal.



GLOW
800

Fluorescência que destaca as artérias para as microcirurgias de aneurismas e malformações arteriovenosas.



PDT

Terapia Fotodinâmica Intraoperatória – LASER que destrói células tumorais cerebrais.



hospitalinc.com.br



Sede Hospital INC | Rua Jeremias Maciel Perretto, 300 | Campo Comprido
Curitiba/PR | CEP 81210-310 | Fone 41 3028.8545
Filiais Sta. Felicidade, Pátio Batel, Eurobusiness & Jockey Plaza



Fístula Liquórica Rinogênica por Iatrogenia Pós-Teste de Swab para Covid-19: revisão e relato de caso

Iatrogenic Liquor Fistula After Swab Test for Covid-19: review and case report

Milena de Souza Melo¹

Felipe Marchi¹

Danilo Magnani Bernardi^{2,3}

Sérgio Aydar Quadrado⁴

RESUMO

Introdução: As fístulas líquóricas nasais são comunicações do espaço subaracnoide com a cavidade nasal ou seios paranasais, de etiologia traumática ou não-traumática. A descontinuidade na base craniana resulta em escape de líquido através da nasofaringe. Os sintomas mais frequentes são rinorreia hialina unilateral, que piora ao abaixar a cabeça ou realizar algum esforço físico, cefaleia, náuseas e vômitos. **Relato de caso:** Caso de fístula líquórica rinogênica por iatrogenia pós teste para covid-19 por swab nasal, na qual houve a necessidade de fechamento cirúrgico. Paciente de 56 anos encaminhada ao serviço hospitalar após iniciar com quadro de rinorreia hialina após teste com swab nasal para covid-19, que resultou na formação de uma fístula líquórica nasal à esquerda. Após dias em tentativas de tratamento conservador, sem resolução do quadro, a paciente foi submetida a uma septoplastia com confecção de retalho septal para fechamento posterior da fístula. **Conclusões:** Diante do cenário atual de pandemia, é de grande relevância a documentação de casos de fístula líquórica para a preparação de profissionais que realizam os testes, frente ao uso demasiado destes durante a pandemia, pois pode acarretar graves prejuízos e riscos à saúde dos pacientes.

Palavras-Chave: Iatrogenia; Fístula líquórica; Swab nasal; Septoplastia

ABSTRACT

Background: Nasal cerebrospinal fluid fistulas are communications from the subarachnoid space with the nasal cavity or paranasal sinus, of traumatic or non-traumatic etiology. A discontinuity in the cranial base results in cerebrospinal fluid escape through the nasopharynx. The most common symptoms are unilateral hyaline rhinorrhea, which worsens by lowering the head or performing some physical exertion, headache, nausea, and vomiting. **Case presentation:** A case of rhinogenic liquic fistula by iatrogenic post-test for covid-19 by nasal swab, given the high frequency of these tests in the current pandemic scenario is reported. A 56-year-old patient referred to the hospital after starting with hyaline rhinorrhea after a test with nasal swab for covid-19, which resulted in the formation of a nasal cerebrospinal fluid fistula on the left nasal cavity. After days of attempting conservative treatment, without resolution of the condition, the patient was submitted to a septoplasty with septal flap for posterior closure of the fistula. **Conclusions:** Given the current pandemic scenario, it is of great relevance to document cases of nasal cerebrospinal fluid fistula for the preparation of professionals who perform the tests, given the use of these during the pandemic, as it can bring serious damage and risks to the health of patients.

Keywords: Iatrogenic; Cerebrospinal fluid fistula; Nasal swab; Septoplasty

¹ Medical student, University Paranaense – UNIPAR, Umuarama, PR, Brazil.

² MD, Neurosurgeon, Neurosurgery Service, Norospar Hospital, Umuarama, PR, Brazil.

³ Professor, Medicine Course, University Paranaense – UNIPAR, Umuarama, PR, Brazil.

⁴ MD, Otorrhinolaryngologist, ORL Service, Norospar Hospital, Umuarama, PR, Brazil.

Received Mar 17, 2022

Accepted Mar 29, 2022

INTRODUÇÃO

As fístulas liquóricas nasais são comunicações do espaço subaracnoide com a cavidade nasal ou seios paranasais, de origem traumática ou não-traumática. As fístulas traumáticas podem ocorrer por iatrogenia ou traumas de crânio¹⁻³. As fístulas liquóricas podem levar à hipotensão intracraniana, sendo a manifestação mais frequente, sendo cefaleia ortostática intensa com piora na vertical^{3,4}. Outros sintomas frequentes são: rinorreia hialina, unilateral, que acontece ao abaixar a cabeça ou realizar algum esforço físico, a quantidade pode variar de poucas gotas até a saída profusa. Pode ser acompanhado de gosto metálico, náusea, vômito^{4,5}. A principal complicação das fístulas liquóricas são as infecções do sistema nervoso central, em especial, a meningite, por atuar como possível porta de entrada para diversos microrganismos^{4,5}. São referidos como iatrogenia, os efeitos colaterais e os riscos associados a intervenções médicas. Segundo a Organização Mundial da Saúde (OMS) iatrogenia pode ser definida como qualquer efeito nocivo não intencional e indesejado de uma droga, que ocorre em doses usadas em humanos para profilaxia, diagnóstico ou terapia^{6,7}. Essa definição tende a subestimar incidentes ocorridos durante procedimentos diagnósticos que possuem uma natureza mecânica^{6,8}, como os exames que utilizam *swab* para a coleta do material analisado, como o relatado no presente trabalho. No presente relato de caso, o fator causador da fístula foi a realização do exame RT-PCR por meio do *swab* nasal para detecção do novo coronavírus (COVID-19).

O diagnóstico precoce é de suma importância para a realização da profilaxia de infecções, que podem ter desfechos graves. Em certos casos, o diagnóstico de fístula é óbvio, entretanto, em muitos outros casos se torna um desafio⁹. A história do paciente com fístula é característica, manifestando-se como descarga nasal de líquido claro e intermitente que emana do nariz quando o paciente se inclina para a frente, de quantidade variável, muitas vezes associada a algum trauma ou após algum procedimento médico, porém, o diagnóstico não é tão simples quando a etiologia não é tão clara, como nas fístulas não traumáticas^{2,4,10}. Foram registrados outros 8 casos de fístulas liquóricas secundárias a injúrias causadas por *swab* utilizados nos testes de RT-PCR para o diagnóstico de COVID-19; como no relato de caso em que se conseguiu uma remissão a partir do tratamento conservador⁹.

RELATO DE CASO

Paciente feminina, 56 anos, parda, hipertensa, diabética tipo II e cardiopata. Paciente encaminhada ao serviço hospitalar com queixa de cefaleia, êmese, otalgia, xeroftalmia, vertigem e dificuldade para deambulação, apresentando uma fístula liquórica rinogênica de etiologia iatrogênica (traumática) em narina esquerda após a realização de um teste RT-PCR por suspeita de infecção COVID-19.

Decorreu-se uma semana dos sintomas supracitados para que a paciente recebesse o diagnóstico de fístula liquórica rinogênica. Com internação imediata a paciente foi submetida a um exame de tomografia computadorizada (Figura 1) que confirmou o diagnóstico.

O tratamento inicial de escolha foi realizado de forma clínica conservadora, com antibioticoterapia: amoxicilina 875mg + ácido clavulânico 125mg para profilaxia de infecção, acetazolamida, repouso no leito com elevação da cabeceira 45 graus, recomendação para evitar movimentos da cabeça e outros fármacos para alívio dos sintomas, além da administração da medicação de uso contínuo da paciente.

Outra tentativa de tratamento consistiu na colocação de um dreno peridural lombar, utilizado por 7 dias, sem eficácia necessária. Após 80 dias de internação, sem resolução do caso, a paciente foi submetida a uma septoplastia com confecção de retalho septal para fechamento posterior da fístula (Figura 2). Sob efeito de anestesia geral foi introduzido um cateter peridural, e aplicada a fluoresceína, feito uma solução hipodensa, na concentração de 5%. Realizou-se antrostomia maxilar esquerda, etmoidectomia anterior à esquerda, etmoidectomia posterior à esquerda, onde evidenciou a fístula liquórica nasal à esquerda, no teto do etmoide. Em seguida, foi escarificada a borda da fístula e inserido substituto de dura. Fixado o enxerto livre sobre a região da fístula e colocado Surgicel® (hemostático absorvível) em todo o bordo do enxerto. Para finalizar, aplicou-se a cola biológica sobre o enxerto e colocado um tampão anterior em fossa nasal esquerda para segurar o enxerto. Paciente passou por três consultas de seguimento onde se evidencia a ausência da fístula, permanecendo assintomática.

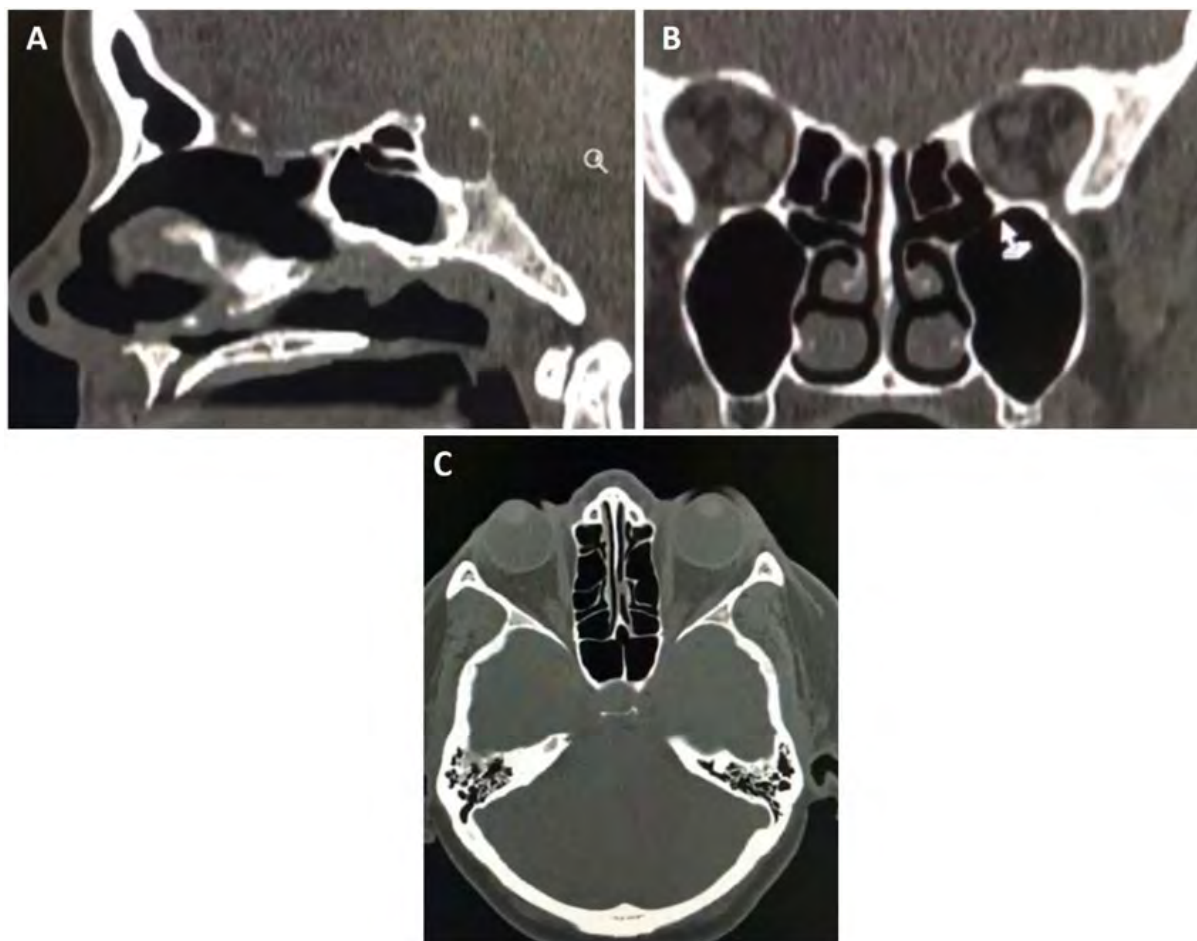


Figura 1. Tomografia de seios da face pré-operatório. Fístula líquórica entre septo e concha média, transição entre etmoide anterior e posterior. **A.** corte sagital. **B.** corte coronal. **C.** corte axial.

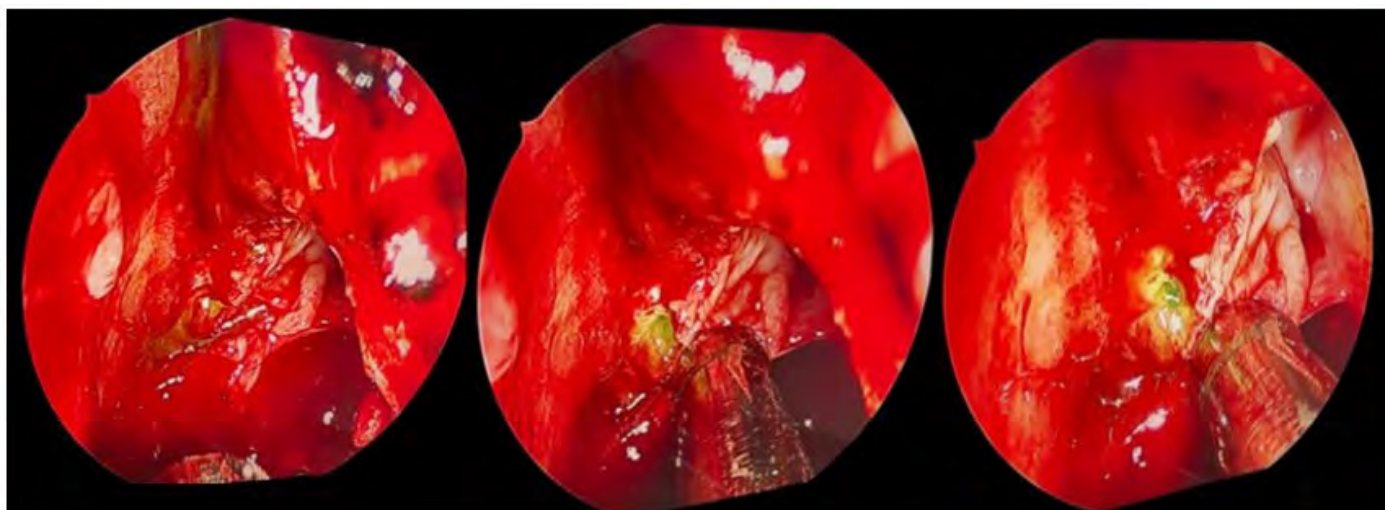


Figura 2. Imagem intraoperatória em vídeo com fístula localizada com coloração com contraste de fluoresceína.

DISCUSSÃO

As fistulas liquóricas nasais se caracterizam por uma comunicação do espaço subaracnoideo com os seios paranasais e o lúmen nasal. A descontinuidade na base craniana resulta em escape de líquido através da nasofaringe, que pode ser profuso ou discreto^{2,11}. As fistulas liquóricas nasais são comumente localizadas no osso etmoide (37%) e no seio esfenoidal (33%). A maior parte dos pacientes que apresentam uma fístula liquórica, a possuem devido a uma etiologia traumática (2/3 dos pacientes)¹². O mecanismo de trauma do caso relatado caracteriza-se pela ruptura da base anterior esquerda na lâmina cribiforme posterior através da aplicação de força excessiva durante a introdução do *swab* para a coleta do material nasal, levando à formação de uma fístula liquórica com o extravasamento imediato de líquido cefalorraquidiano pela narina esquerda.

Este exame, padrão-ouro para detecção do vírus COVID-19, que é realizado através de um cotonete longo e estéril, introduzido na fossa nasal até atingir a resistência natural da parede posterior da nasofaringe, com movimentos rotatórios suaves, evitando sangramento. A execução do teste e leitura dos resultados devem ser realizadas por profissionais da saúde de nível médio, com supervisão, e/ou de nível superior¹³, no entanto, é realizado principalmente por profissionais que podem não entender completamente a anatomia da cavidade nasal e da nasofaringe¹⁴. Ao exercer uma força desproporcional, o profissional que realizava o *swab*, perfurou a lâmina cribiforme causando o extravasamento de líquido, responsável por toda a sintomatologia da paciente. As fistulas liquóricas são comumente causadas por eventos traumáticos, como em acidentes automotivos, ou por procedimentos médicos, como punções durais e epidurais. Contudo, apenas 30% dos pacientes com fístula liquórica conseguem identificar um evento iniciante ou trauma, como a maioria dos pacientes, sendo incapazes de rastrear a causa dos sintomas²⁻⁴. A confirmação do diagnóstico se faz com exames de imagens, incluindo radiografia simples, tomografia computadorizada, ressonância magnética, mielograma-TC, cisternogramas de radionuclídeos e ressonância magnética com gadolínio intratecal^{5,15}. O fechamento da fístula ocorre espontaneamente em 60% dos pacientes, caso não haja progressão dos sintomas, nos primeiros meses o tratamento deve ser conservador, com fármacos para alívio dos sintomas, antibioticoprofilaxia e repouso. Há necessidade de intervenção cirúrgica, caso não haja remissão espontânea^{5,16-18}. Em casos

em que o tratamento conservador não é suficiente, os pacientes submetidos a uma cirurgia reparadora apresentam uma taxa de sucesso de 97%¹². O tratamento mandatório é o fechamento cirúrgico é através da técnica endonasal ou transesfenoidal, preferencialmente endoscópica, por essa ser uma estratégia segura e eficiente, com taxas de mortalidade e morbidade inferiores à técnica por craniotomia³.

CONCLUSÃO

Diante do cenário atual de pandemia, a realização de exames para detecção do novo coronavírus por meio do *swab* nasal tornou-se rotina. O RT-PCR por *swab* é considerado padrão-ouro para diagnosticar infecções agudas pela Covid-19, causada pelo SARS-CoV-2^{8,12}. Ainda não são bem conhecidas as possíveis complicações do esfregaço nasal, contudo, como em qualquer outro procedimento existem riscos ao paciente, e portanto, os testes devem ser realizados com cautela¹³. Por conseguinte, é de grande importância a documentação de casos de fístula liquórica para a preparação de profissionais que realizam os testes, frente ao uso demasiado destes durante a pandemia. O tratamento das fístulas é realizado, na maioria das vezes, de forma conservadora, porém, em alguns casos como o da paciente em questão, é necessário o fechamento cirúrgico^{15,17,18}.

REFERÊNCIAS

1. Jerónimo A, Amaral A, de Sousa V, Eliseu A, Gama E, Barros E. Fístulas de LCR: encerramento por cirurgia endoscópica nasossinusal. Rev Port ORL. 2013;51(4):227-33. <http://dx.doi.org/10.34631/sporl.46>.
2. Giannetti AV, Santiago APMS, Crosara PFTB, Guimarães RES. Fístula liquórica da base anterior do crânio: classificação, clínica e diagnóstico. JBNC. J Bras Neurocirurg. 2011;22(1):72-81. <http://dx.doi.org/10.22290/jbnc.v22i1.1511>.
3. Pin LC, Sposito MP, Botti A, Lima FOBB, Ribeiro FR. Discussão de casos: fístula liquórica Rinogênica. Revista Científica Multidisciplinar Núcleo do Conhecimento. 2019;4(2):102-11. Edição 1.
4. Chan TLH, Cowan R, Hindiyeh N, Hashmi S, Lanzman B, Carroll I. pinal cerebrospinal fluid leak in the context of pars interarticularis fracture. BMC Neurol. 2020;20(1):162. <http://dx.doi.org/10.1186/s12883-020-01740-1>. PMID:32349710.

5. Styliaras JC, Dalyai R, Amgad H, Sharan A, Harrop J. Cerebrospinal fluid leaks from penetrating injuries: diagnosis and treatment. *ArgoSpine News J.* 2012;24(3-4):183-7. <http://dx.doi.org/10.1007/s12240-012-0062-x>.
6. Peer RF, Shabir N. Iatrogenesis: a review on nature, extent, and distribution of healthcare hazards. *J Family Med Prim Care.* 2018;7(2):309-14. http://dx.doi.org/10.4103/jfmpc.jfmpc_329_17. PMID:30090769.
7. World Health Organization. International Drug Monitoring: the Role of National Centres. Report of a WHO meeting. Geneva: WHO; 1972. World Health Organization technical report series; 498.
8. Tavares, FM. Reflexões acerca da iatrogenia e educação médica. *Rev Bras Educ Med.* 2007;31(2):180-5. <http://dx.doi.org/10.1590/S0100-55022007000200010>.
9. Alberola-Amores FJ, Valdeolivas-Urbelz E, Torregrosa-Ortiz M, Álvarez-Sauco M, Alom-Poveda J. Meningitis due to cerebrospinal fluid leak after nasal swab testing for COVID-19. *Eur J Neurol.* 2021;28(11):e91-2. <http://dx.doi.org/10.1111/ene.14736>. PMID:33480112.
10. Guimarães RES, Becker HMG, Giannetti AV, Crossara PFTB, Becker CG, Nogueira LM. Rinite vasomotora pós-cirúrgica: diagnóstico diferencial de rinoliquorréia. *Rev Bras Otorrinolaringol.* 2003;69(2):252-5. <http://dx.doi.org/10.1590/S0034-72992003000200016>.
11. Monjas-Cánovas I, Belinchón-Romero I, Gras-Albert JR, González-Alcaide G, Ramos-Rincón JM. Artigos mais citados sobre fístula líquórica (rinorreia e otorreia)(1945–2018). *Rev Bras Otorrinolaringol.* 2021;87:557-71. <http://dx.doi.org/10.1016/j.bjorlp.2021.07.006>.
12. Kljajić V, Vuleković P, Vlaški L, Savović S, Dragičević D, Papić V. Endoscopic repair of cerebrospinal fluid rhinorrhea. *Braz J Otorhinolaryngol.* 2017;83(4):388-93. <http://dx.doi.org/10.1016/j.bjorl.2016.04.024>. PMID:27320657.
13. Minas Gerais. Governo do Estado. Secretaria de Estado de Saúde. Subsecretaria de Vigilância em Saúde. COVID-19: Manual do Diagnóstico. Available from: https://coronavirus.saude.mg.gov.br/images/1_2021/01-boletim/04-abril/23-04-_Atualizacao_Manual_de_Diagnostico__Versao_4.pdf. Accessed: 17/3/2022.
14. Agamawi YM, Namin A, Ducic Y. Cerebrospinal fluid leak from COVID-19 swab. *OTO Open.* 2021;5(4):2473974X211059104. <http://dx.doi.org/10.1177/2473974X211059104>.
15. Oakley GM, Alt JA, Schlosser RJ, Harvey RJ, Orlandi RR. Diagnosis of cerebrospinal fluid rhinorrhea: an evidence-based review with recommendations. *Int Forum Allergy Rhinol.* 2016;6(1):8-16. <http://dx.doi.org/10.1002/alr.21637>. PMID:26370330.
16. LINDSAY KW, Bone I, Fuller G. Neurology and neurosurgery illustrated. 5th ed. London: Churchill Livingstone/Elsevier; 2010.
17. Häni L, Fung C, Jesse CM, et al. Outcome after surgical treatment of cerebrospinal fluid leaks in spontaneous intracranial hypotension - a matter of time. *J Neurol.* 2022;269(3):1439-46. <http://dx.doi.org/10.1007/s00415-021-10710-7>. PMID:34274993.
18. Gandhi J, DiMatteo A, Joshi G, Smith NL, Khan SA. Cerebrospinal fluid leaks secondary to dural tears: a review of etiology, clinical evaluation, and management. *Int J Neurosci.* 2021;131(7):689-95. <http://dx.doi.org/10.1080/00207454.2020.1751625>. PMID:32242448.

CORRESPONDING AUTHOR

Milena de Souza Melo
Medical student
University Paranaense
Umuarama, Paraná, Brazil
E-mail: milenamelo24@hotmail.com

Funding: nothing to declare.

Conflict of interests: nothing to declare.

Chronic Encapsulated Intracerebral Hematoma

Hematoma Intracerebral Crônico Encapsulado

Moisés Isaac Cohen¹

Robson Luís Oliveira de Amorim²

Wander da Silva Ferreira³

Alcimar Lavareda da Silva Santos Júnior⁴

Stephanie Ramos de Farias⁴

Joaquim Kanawatti Neto⁴

Roberto Andrade Lima⁴

Paloam Cardoso Novo⁴

ABSTRACT

Chronic intracerebral encapsulated hematomas (CEIH) correspond to a very rare neurosurgical pathology. To date, there are about 57 cases described worldwide, especially in Japan, where the disease is more prevalent. To date, until the moment we wrote this report, according to a review on the Pubmed website, there was no case described in South America. It is a condition of difficult preoperative diagnosis because its form of presentation is very similar to brain neoplasms. Only about 20% of cases are diagnosed during pre-operative period.

Keywords: Chronic encapsulated intracerebral hematoma; Cerebral neoplasms, Tumor-like brain lesion

RESUMO

Os hematomas encapsulados intracerebrais crônicos (CEIH) correspondem a uma patologia neurocirúrgica bastante rara. Até a presente data existe cerca de 57 casos descritos em todo o mundo, especialmente no Japão, onde a doença é mais prevalente. Para registro, até o momento em que escrevemos este relato, segundo a revisão levantada no site da Pubmed, não existe nenhum caso descrito na América do Sul. Trata-se de uma condição de difícil diagnóstico pré-operatório, pois sua forma de apresentação assemelha-se bastante com as neoplasias cerebrais. Somente cerca de 20% dos casos são diagnosticados no pré-operatório.

Palavras-Chave: Hematomas intracerebrais crônicos encapsulados; Neoplasias cerebrais; Lesões tumor-like

¹ MD, MSc, Neurosurgeon, Division of Neurosurgery; Preceptor of Medical Residency in Neurosurgery, Hospital Universitário Getúlio Vargas, Federal University of Amazonas – UFAM, Manaus, AM, Brazil.

² MD, PhD, Neurosurgeon, Division of Neurosurgery; Preceptor of Medical Residency in Neurosurgery, Hospital Universitário Getúlio Vargas, Federal University of Amazonas – UFAM, Manaus, AM, Brazil.

³ MD, Neurosurgeon, Division of Neurosurgery; Preceptor of Medical Residency in Neurosurgery, Hospital Universitário Getúlio Vargas, Federal University of Amazonas – UFAM, Manaus, AM, Brazil.

⁴ MD, MR, Medical Residency in Neurosurgery, Hospital Universitário Getúlio Vargas, Federal University of Amazonas – UFAM, Manaus, AM, Brazil.

Received Oct 15, 2020

Accepted Jan 15, 2021

CASE PRESENTATION

Male patient, 22 years old, born in the city of Urucará, state of Amazonas. According to the anamnesis – collected from the mother and partner, he was born by normal delivery with uneventful pregnancy, therefore born at term. According to the mother, he presented a good neuropsychomotor development without any data that drew attention to a possible abnormality. He performed well at school.

He had no previous pathologies. About 3 years ago, he presented head trauma after physical aggression. After this episode, he developed seizures with a tonic-clonic pattern. He was attended to in his hometown and the medication Phenobarbital 200mg / day was started. Initially, he presented a good response with adequate control of seizures.

After this accident, he started to present headache with tension pattern, frontal-occipital headache, under weight, lasted less than 4h with good response to analgesics.

Mother says that over the years these pain symptoms have worsened. Becoming disabling, and about two months ago, he presented drowsiness and disorientation with occasional nausea

episodes. At this moment, he was sent to the capital of the State of Amazonas for specialized evaluation.

He entered the emergency room with support for neurosurgery. He was admitted to the emergency room of the Hospital with Glasgow coma scale of 13, with severe headache, visual alteration (referring partial loss of visual field). He was initially taken for a brain tomography that showed an expansive lesion located in the topography of the temporal lobe D, circular in shape with well-defined edges. The image was contrasted and maintained a pattern very suggestive of a neoplasm with a glial pattern.

After the brain Magnetic Resonance imaging showed the same pattern with elliptical, temporal D image with contrast enhancement in the capsular region and a cleavage plane between the lesion and brain tissue (Figures 1-4).

Due to the urgency required by the staff, he was transferred on an emergency basis to the Hospital Universitário Getúlio Vargas for surgical treatment.

The initial diagnosis of this patient was a neoplasm of glial origin. We believed that we were treating a brain tumor, so a wide right fronto-temporo-parietal craniotomy was planned. After that, a corticectomy was performed in the right superior temporal gyrus.

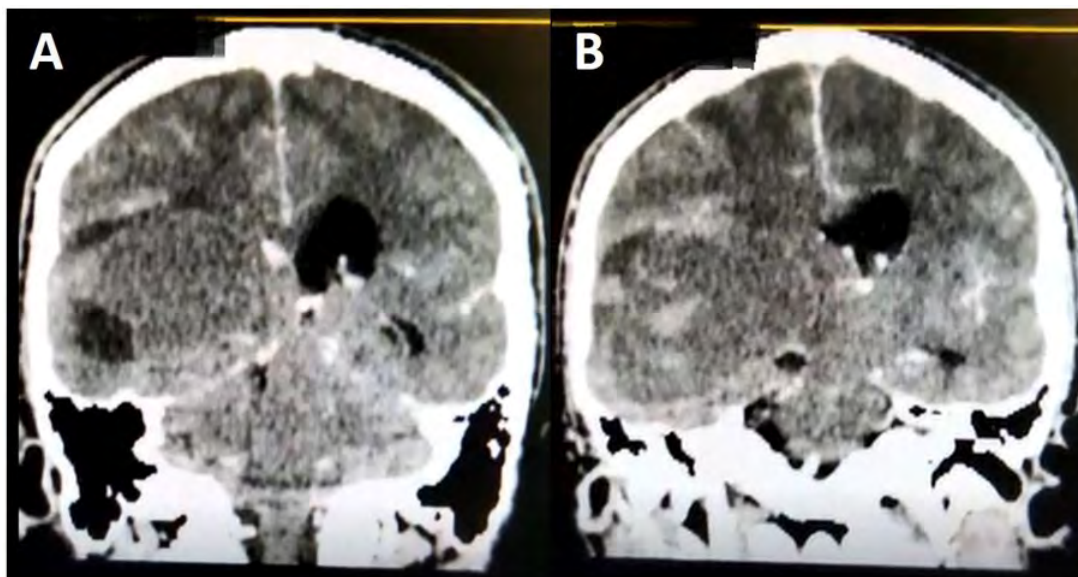


Figure 1. A, B. Pre-operative CT. Coronal view.

To our surprise, it was a liquid, bloody collection, permeated by some small clots, which were well organized in a yellowish capsule. After drainage, the cerebral cortex collapsed, requiring its internal filling (inside the capsule) with physiological solution. Gelfoam was also used to help not to pull the vein bridges. During the surgery, material from the capsule was collected and it was

chosen not to remove its most posterior portion as it was adhered to the Middle Cerebral Artery.

The patient was extubated in the immediate postoperative period and evolved with complete recuperation of the level of consciousness on the same day (Figures 5-7).

After 2 days, he was discharged asymptomatic.

BACKGROUND

Chronic encapsulated intracerebral hematoma (CEIH) is a rare condition. About 60 cases have been described worldwide¹. It was first described in 1981 by Hirsch et al in Pennsylvania in the United States².

It is a slow-growing lesion, with a mass effect, which resembles a neoplasm of the central nervous system. This pattern of behavior makes it a nosological entity with difficult preoperative diagnosis, so that only about 20% of cases are diagnosed prior to surgery³.

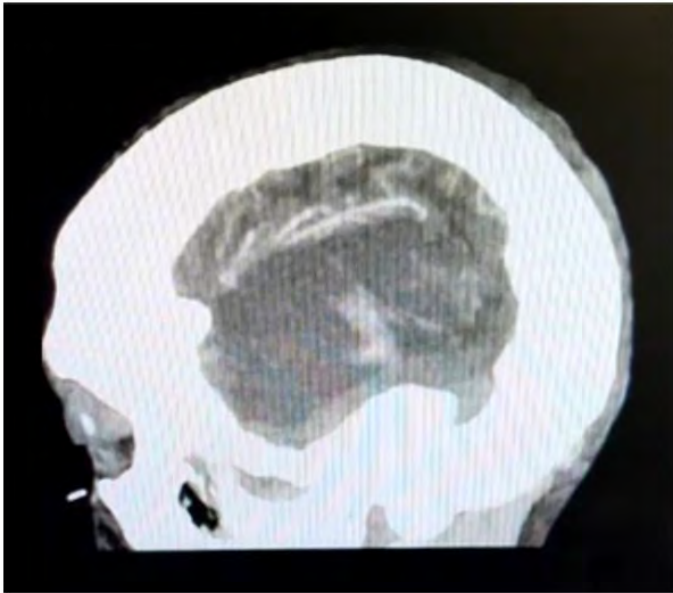


Figure 2. Pre-operative CT. Sagittal view.

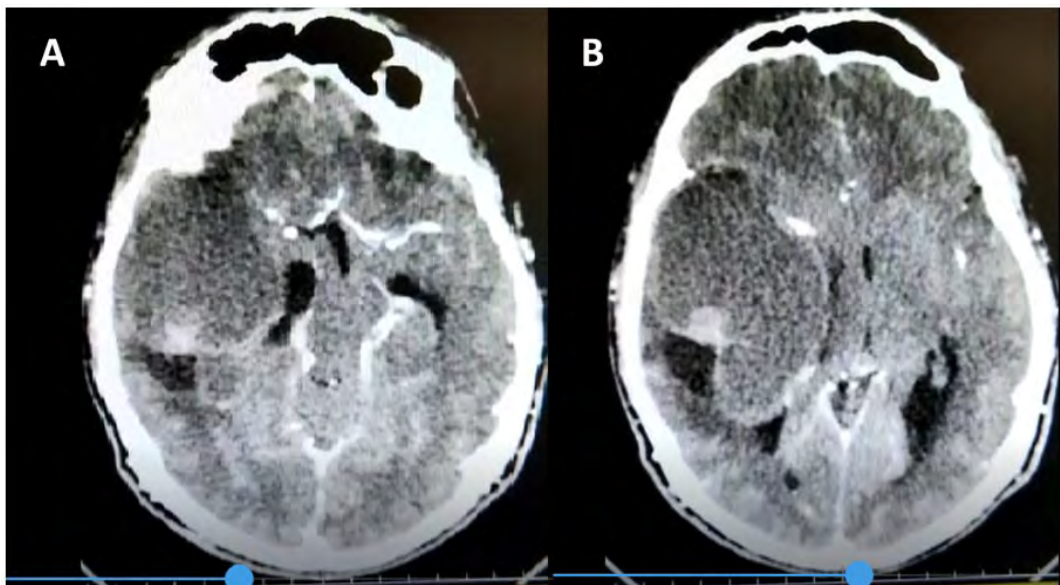


Figure 3. A, B. Pre-operative CT. Axial view.

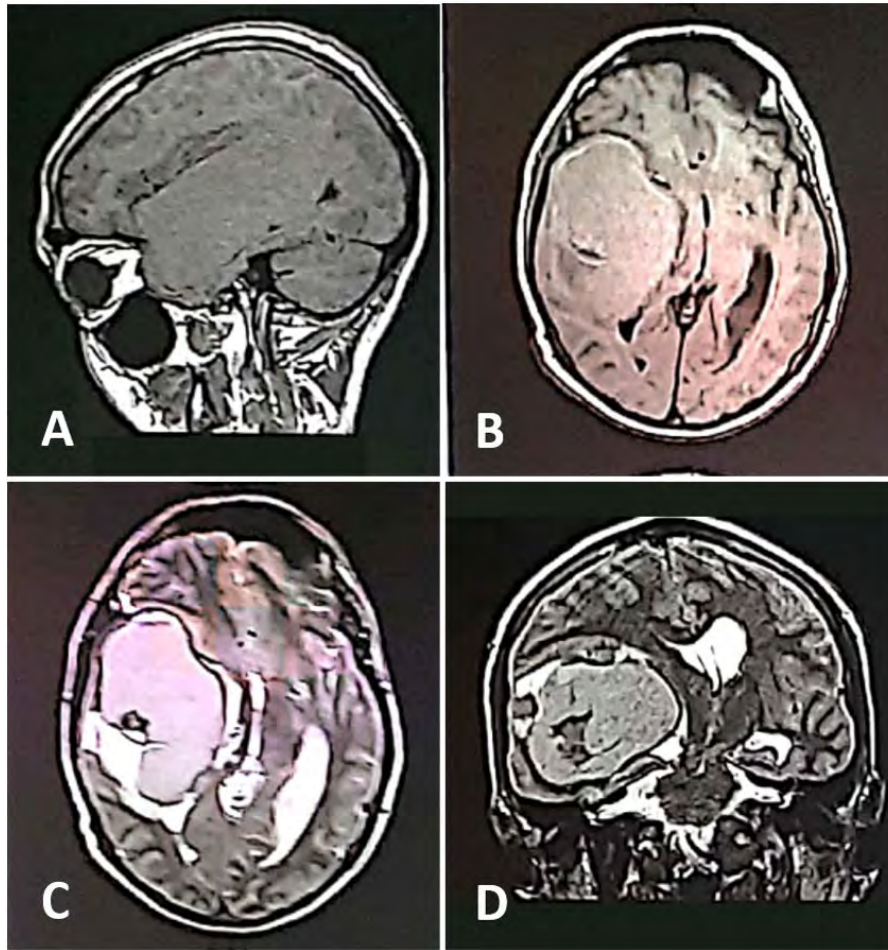


Figure 4. Pre-operative CT. A. T1, sagittal view. B. Flair, axial view. C. T2, axial view. D. T2, coronal view.

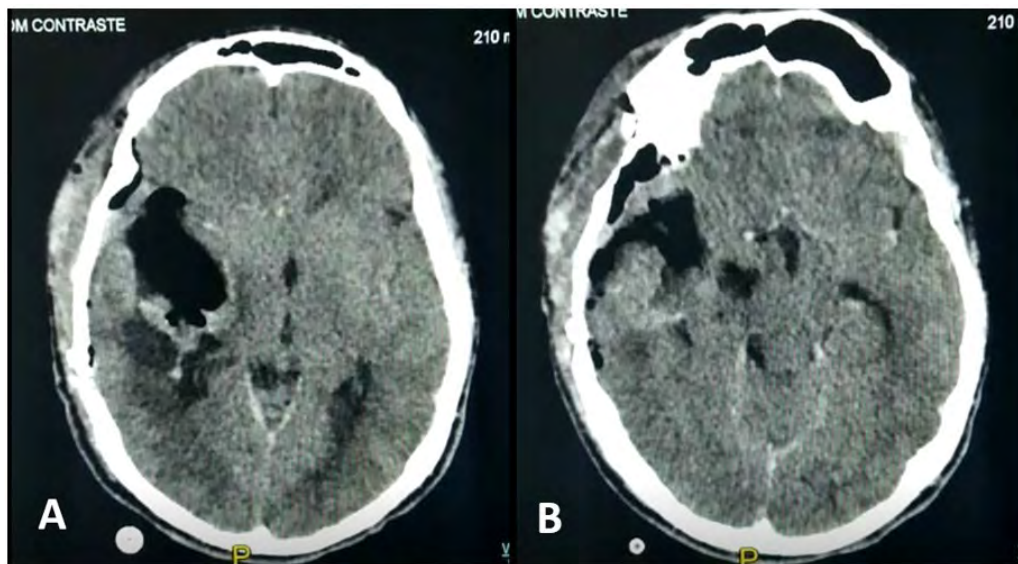


Figure 5. A, B. Post-operative CT (Day 1).

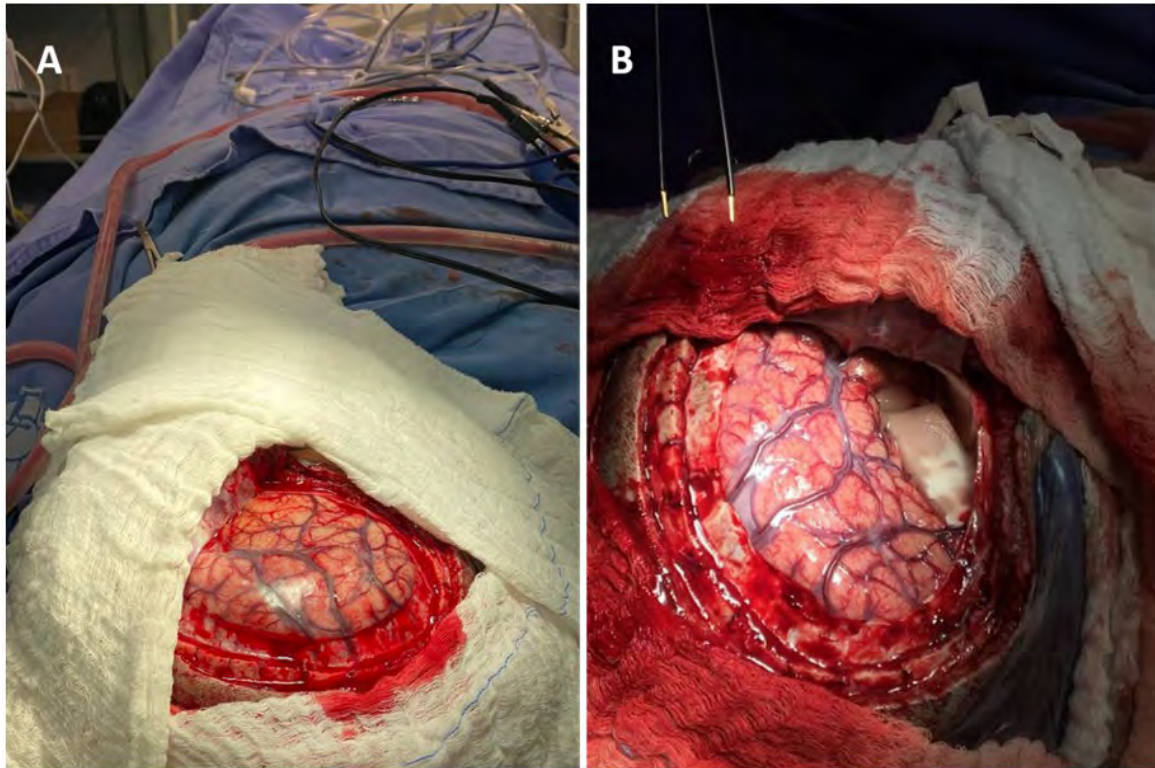


Figure 6. A. Pre-operative image. B. post-operative image.

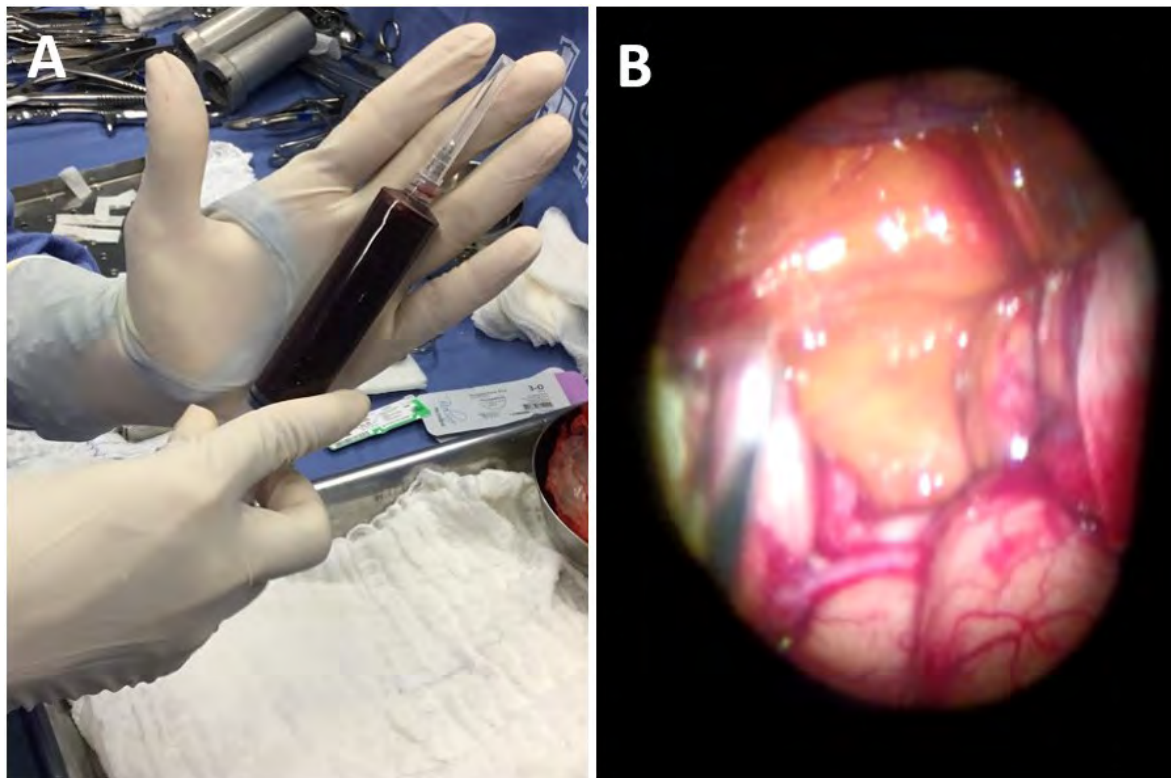


Figure 7. A. Intra-capsular content (blood). B. hematoma capsule after hematoma drainage.

Such pathology presents slow growth which allows the formation of a fibrous capsule, rich in fibroblasts that ends up isolating the hemorrhagic content and preventing its absorption. Such a capsule is quite similar to that seen in chronic subdural hematomas, both from a macroscopic and histological point of view.

Of all reported cases, it is clear that it is an entity that is found in all age groups. Cases have been described in individuals aged 2 years and 80 years. Men are more affected (37 men, 20 women). The mean age of diagnosis is 44 years³. There is a small difference in the presentation of these cases. Subdural hematomas have a more acute presentation, with neurological deficits of more evident onset. Chronic encapsulated intracerebral hematomas (CEIH) have a slower pattern. It is characterized by a more incipient evolution of intracranial hypertension syndrome⁴. About 30% of patients starts the picture with a seizure crisis.

About 80% of CEIH cases are diagnosed as a neoplasm, the main ones being glioblastoma and metastasis³. This is due to the fact that this type of lesion presents with perilesional edema and the appearance of a progressive neurological deficit. This is not common in vascular cases. It is widely observed in neoplasms.

CEIH cases are related to arteriovenous malformations (AVM), micro-aneurysms, cavernomas and venous angiomas⁵⁻⁷.

CT images of CEIH always show quasi-circular or elliptical cystic lesions. Most of the cysts are of uniform low density. Cysts can vary depending on different manifestations and different periods of bleeding, and can be of high or mixed density. On enhanced CT, granulation tissue with rich neovascularization can be observed as a ring enhancement pattern that is similar to that of brain abscesses^{8,9}. Thus, CEIH is easily misdiagnosed as glioma tumor, stroke or brain abscess. A small proportion of capsular hematomas can be multi-lobed and few cases showed visible calcification^{9,10}.

Regarding the images generated by MRI, we observed the bright signal capsule, as it is formed by chronic or subacute bleeding. Therefore, it presents bright signal as in T1 as in T2. T2 shows that more clearly as a black 'ring sign'⁸, which is specific for CEIH. This data is extremely important, since when we encounter an intense T2 signal on MRI and a hemosiderin halo, we must strongly consider the hypothesis of CEIH.

Hemorrhage in cases of CEIH occurs slowly and progressively⁴. Thus, the initial symptoms hardly attract the patient's attention. They are usually mild symptoms that do not make the subjects to seek for medical help. Therefore, as the blood capillaries bleed, the hematoma volume and mass effect increase. At this moment, when they have undoubted symptoms of intracranial hypertension syndrome, that patients seek medical help^{3,11,12}.

It is not yet clear why this hematoma is not absorbed. It is believed to be a continuous and repeated bleeding, associated with a continuous inflammatory process. The capsule is characterized by processes of gliosis and neovascularization. In the outer portion of these capsules, the presence of abnormal vessels and capillaries is observed^{8,11,13}.

Currently, the most accepted theories are that VEGF is the route of formation of the capsule^{6,12,14}.

REFERENCES

1. Zhang G, Wang D, Li Q, Chen H, Shan Y. Encephalic morphologic changes due to delayed traumatic intracerebral hematoma. *Fa Yi Xue Za Zhi*. 1998;14(1):1-3, 61. PMID:11360578.
2. Hirsh LF, Spector HB, Bogdanoff BM. Chronic encapsulated intracerebral hematoma. *Neurosurgery*. 1981;9(2):169-72. <http://dx.doi.org/10.1227/00006123-198108000-00011>. PMID:7266817.
3. Nishiyama A, Toi H, Takai H, et al. Chronic encapsulated intracerebral hematoma: three case reports and a literature review. *Surg Neurol Int*. 2014;5(1):88. <http://dx.doi.org/10.4103/2152-7806.134076>. PMID:25024888.
4. Marutani A, Nagata K, Deguchi J, Nikaido Y, Kazuki S. A case of recurrent hemorrhages due to a chronic expanding encapsulated intracranial hematoma. *Case Rep Neurol*. 2015;7(3):173-80. <http://dx.doi.org/10.1159/000440610>. PMID:26500543.
5. Takeuchi S, Takasato Y, Masaoka H, et al. Development of chronic encapsulated intracerebral hematoma after radiosurgery for a cerebral arteriovenous malformation. *Acta Neurochir (Wien)*. 2009;151(11):1513-5. <http://dx.doi.org/10.1007/s00701-009-0443-x>. PMID:19597762.
6. Takeuchi S, Takasato Y, Masaoka H. Chronic encapsulated intracerebral hematoma formation after radiosurgery for cerebral arteriovenous malformation. *Neurol India*. 2011;59(4):624-6. <http://dx.doi.org/10.4103/0028-3886.84352>. PMID:21891948.

7. Takeuchi S, Takasato Y. Chronic encapsulated intracerebral hematoma: A rare complication after stereotactic radiosurgery for cerebral arteriovenous malformation. *Acta Neurochir (Wien)*. 2011;153(4):895. <http://dx.doi.org/10.1007/s00701-010-0858-4>. PMID:21052741.
8. Ganapathy K. Chronic encapsulated intracerebral hematoma. *Neurol India*. 2011;59(4):504-5. <http://dx.doi.org/10.4103/0028-3886.84327>. PMID:21891923.
9. Yuguang L, Liangwen Z, Fusheng L, et al. Chronic expanding intracerebral hematoma. *J Clin Neurosci*. 2003;10(6):680-2. <http://dx.doi.org/10.1016/j.jocn.2003.02.002>. PMID:14592617.
10. Lin CY, Chen Y, Tseng SH. Chronic encapsulated intracerebral haematoma. *J Clin Neurosci*. 2007;14(1):58-61. <http://dx.doi.org/10.1016/j.jocn.2006.01.050>. PMID:17092725.
11. Fiumara E, Gambacorta M, D'Angelo V, Ferrara M, Corona C. Chronic encapsulated intracerebral haematoma: pathogenetic and diagnostic considerations. *J Neurol Neurosurg Psychiatry*. 1989;52(11):1296-9. <http://dx.doi.org/10.1136/jnnp.52.11.1296>. PMID:2592973.
12. Nakamizo A, Suzuki SO, Saito N, et al. Clinicopathological study on chronic encapsulated expanding hematoma associated with incompletely obliterated AVM after stereotactic radiosurgery. *Acta Neurochir (Wien)*. 2011;153(4):883-93. <http://dx.doi.org/10.1007/s00701-010-0829-9>. PMID:20931239.
13. Ooba H, Kamida T, Isono M, Kobayashi H, Karashima A, Yamashita M. Chronic intraventricular encapsulated hematoma presenting unique radiological features: A case report. *Surg Neurol*. 2003;59(1):23-7. [http://dx.doi.org/10.1016/S0090-3019\(02\)00971-0](http://dx.doi.org/10.1016/S0090-3019(02)00971-0). PMID:12633951.
14. Takei J, Tanaka T, Yamamoto Y, et al. Chronic encapsulated expanding thalamic hematoma associated with obstructive hydrocephalus following radiosurgery for a cerebral arteriovenous malformation: A case report and literature review. *Case Rep Neurol Med*. 2016;2016:5130820. <http://dx.doi.org/10.1155/2016/5130820>. PMID:26904328.

CORRESPONDING AUTHOR

Moisés Isaac Cohen, MD, MSc
Neurosurgeon
Division of Neurosurgery
Preceptor of Medical Residency in Neurosurgery
Hospital Universitário Getúlio Vargas
Federal University of Amazonas
Manaus, Amazonas, Brazil
E-mail: neurocohen@gmail.com

Funding: *nothing to disclose.*

Conflicts of interest: *nothing to disclose.*

Ethical Committee Approval: *waived.*

Astrocitoma Difuso de Mesencéfalo e Diencefalo: um relato de caso de localização rara

Diffuse Astrocytoma in Mesencephalon and Diencephalon: a case report of rare localization

Luís Irajá Nogueira de Sá Neto¹

Leticia Gusso Scremin²

Silvia Cristiane Gusso Scremin³

Augusto Amato Neto⁴

Amylcar Edemilson Dvilevicius⁵

RESUMO

O astrocitoma difuso grau II, IDH-mutante, é um tumor de crescimento relativamente lento com um tempo médio de sobrevida de 3,9 a 10,8 anos. Contudo, sua infiltração no parênquima cerebral dificulta a ressecção total, cuja taxa bruta é em apenas 14 a 17% dos casos. Estes tumores apresentam desdiferenciação para tumores de alto grau com facilidade, com alto índice de mortalidade. A localização em tronco e diencefalo constitui evento raro, visto que 90% das manifestações são supratentoriais. Há uma suscetibilidade genética ao desenvolvimento dos astrocitomas de baixo grau, e em 66% destes casos há mutações no gene p53. Os fatores de mau prognóstico destes tumores são: idade avançada, status de desempenho de Karnofsky (KPS) <70, déficits neurológicos anteriores, tumor maior que 6 cm, tumor cruzando a linha média e tumores não hemisféricos. Apresentamos relato de caso de paciente feminina, 54 anos, que procurou atendimento por quadro de cefaleia intensa e progressiva hemicraniana direita, tipo pulsátil, de início recente, associado a vertigem e soluços, sem outros déficits neurológicos focais. Posterior progressão do quadro clínico com estrabismo convergente e diplopia, disartria severa, disfagia grave, paresia grau 2 de predomínio proximal em dimídio direito, tremor em repouso, clônus esgotável em membros inferiores e Babinski positivo. Exames de neuroimagem com evidência de lesão glial em tronco e diencefalo, com predomínio mesencefálico. A localização em tronco cerebral inviabiliza a total ressecção da lesão dado conjunto de estruturas nobres locais. Desta forma, a paciente é submetida à biópsia sendo evidenciado astrocitoma difuso IDH-mutante grau II (OMS). Apesar dos avanços no tratamento dos gliomas difusos, com estudos comparativos mostrando ganho de 5,5 anos de sobrevida com associação de quimioterapia e radioterapia, não há terapias curativas, podendo haver progressão tumoral e desfechos desfavoráveis.

Palavras-chave: Astrocitoma difuso; Astrocitoma de diencefalo; Glioma de linha média

ABSTRACT

Grade II diffuse astrocytoma (IDH-mutant) is a relatively slow-growing tumor with median survival time of 3.9 to 10.8 years. However, its infiltration into the brain parenchyma makes total resection difficult, and gross rate is only 14 to 17% of cases. These tumors dedifferentiate to high-grade tumors easily, with a high mortality rate. The location in the brainstem and diencephalon is a rare event, since 90% of the manifestations are supratentorial. There is a genetic susceptibility to the development of low-grade astrocytomas, and in 66% of these cases there are mutations in the p53 gene. Poor prognostic factors for these tumors are advanced age, Karnofsky performance status (KPS) <70, previous neurological deficits, tumor larger than 6 cm, tumor crossing the midline, and non-hemispheric tumors. We present a case report of a 54-year-old female patient who was presented due to a recent onset of intense and progressive right hemicranial headache, pulsatile, associated with vertigo and hiccups, without other focal neurological deficits. Later, progression of the clinical picture with convergent strabismus and diplopia, severe dysarthria, severe dysphagia, grade 2 paresis predominantly in the proximal right side, resting tremor, exhaustible clonus in the lower limbs, positive Babinski. Neuroimaging exams with evidence of glial lesion in the brainstem and diencephalon, with mesencephalic predominance. The location in the brainstem makes the total resection of the lesion impossible, given the set of local noble structures, in this way, the patient is submitted to a biopsy, which shows diffuse IDH-mutant astrocytoma grade II (WHO). Despite advances in the treatment of diffuse gliomas, with comparative studies showing a 5.5-year gain in survival with the combination of chemotherapy and radiotherapy, there are no curative therapies, and there may be tumor progression and unfavorable outcomes.

Keywords: Diffuse astrocytoma; Diencephalon astrocytoma; Midline glioma

¹ MD, MR, Medical Residency Program of Neurosurgery, Neurosurgery Service, Angelina Caron Hospital, Campina Grande do Sul, PR, Brazil.

² Medical student, Medicine Course, Positivo University, Curitiba, PR, Brazil.

³ MD, Radiologist, Angelina Caron Hospital, Campina Grande do Sul, PR, Brazil.

⁴ MD, Radiologist, UNICAMP, Campinas, SP, Brazil.

⁵ MD, Neurosurgeon, Chairman of Neurosurgery Service, Angelina Caron Hospital, Campina Grande do Sul, PR, Brazil.

Received May 2, 2022

Accepted May 18, 2022

INTRODUÇÃO

Dentre todos os tumores do sistema nervoso, os tumores gliais correspondem a menos de um terço das lesões cerebrais, e a 80% das lesões malignas primárias. Aproximadamente três quartos são derivados dos astrócitos, os quais representam grande parte da neuroglia. Dos astrocitomas em adultos, 10-15% são do tipo difuso, e cuja incidência anual é de 0,55-0,75 novos casos para cada 100.000 pessoas, com predominância homem:mulher 1,18:1, e idade entre 20 a 50 anos (média de 36 anos). A lesão, geralmente, tem localização em qualquer região do sistema nervoso central, no entanto, é mais comum na região supratentorial, predominando nos lobos frontais¹⁻³.

Os astrocitomas bem diferenciados são caracterizados por pleomorfismo nuclear variável e uma região reticular de finos processos celulares astrocíticos positivos para proteína ácida fibrilar glial (GFAP), à medida que avança o processo de desdiferenciação, torna-se difícil a distinção entre tecido doente e sadio, bem como o aparecimento de plano de fundo densamente celularizado, piora do pleomorfismo nuclear, surgimento de mitoses, necrose (núcleo em pseudopaliçada) e angiogênese com proliferação endotelial. Histologicamente diferenciam-se entre astrocitomas difusos ou circunscritos, e os difusos entre protoplásmico e gemistocítico com base na expressão da GFAP – escassa no protoplásmico e proeminente no gemistocítico⁴.

De acordo com a Organização Mundial da Saúde (OMS) os astrocitomas podem ser classificados entre: 1) grau I (pilocítico e células gigantes subependimárias) – sobrevida de mais de 10 anos; 2) grau II (difuso de baixo grau; atípicas celulares) – sobrevida de mais de 5 anos; 3) grau III (anaplásico; atividade mitótica proeminente) – sobrevida de 2 a 5 anos; e 4) grau IV (glioblastoma; necrose e/ou angiogênese com hiperproliferação endoteliais) – sobrevida de até 1 ano. Os avanços nos estudos genéticos e moleculares aprimoraram a classificação destes tumores. Uma das formas mutantes mais comuns alteram a atividade enzimática de isoformas da enzima metabólica isocitrato desidrogenase (IDH 1 ou IDH 2), importante no ciclo do ácido cítrico para metabolização do isocitrato em alfa-cetoglutarato. Desta forma, são diferenciados em: astrocitoma localizado/circunscrito (IDH negativo); astrocitoma IDH-mutante (90% das lesões grau II e III); astrocitoma com IDH-selvagem/triplo negativo (raro)^{1,5}.

A manifestação clínica vai depender do local no parênquima cerebral em que o tumor se insere, contudo, o sintoma mais comum é a crise convulsiva. Nos estudos de neuroimagem, o astrocitoma difuso aparece como lesão hipodensa mal definida e não captante ao contraste tomográfico. Na ressonância magnética, observa-se hipointenso em T1Wi e hiperintenso em T2/FLAIR, não há restrição à difusão no DWi, alteração em T2* na vigência de calcificações (20% dos casos) e hipocaptação de gadolínio. A espectroscopia mostra pico de colina e 2-hidroxi-glutarato (2,25 ppm), aumento na taxa inositol/creatinina e baixa de N-acetilaspártato^{2,6}.

RELATO DE CASO

Paciente feminina, 54 anos, admitida em pronto socorro por quadro de cefaleia intensa e progressiva, hemicraniana à direita, tipo pulsátil, de início recente associado a vertigem e soluços, sem outros déficits focais motores, sensitivos e em pares cranianos.

Ressonância magnética de crânio (Figuras 1-4) evidencia extensa lesão infiltrativa e expansiva, hidratada (alto sinal T2/FLAIR), heterogênea, com áreas focais de impregnação irregular, sem restrição a difusão ou necrose, localizada na região centro-encefálica. Está centrada no mesencéfalo, onde envolve praticamente a sua totalidade, preservando apenas a placa quadrigeminal. Há maior expansão do pedúnculo cerebral esquerdo e um pequeno foco hemorrágico na substância negra mesencefálica direita. Estende-se cranialmente aos tálamos, núcleos da base (sobretudo globos pálidos bilaterais e putâmen esquerdo), cápsulas internas e cápsula externa direita. Há maior progressão cranial à esquerda, onde atinge até a coroa radiada e o corpo do núcleo caudado. Anteriormente, a lesão atinge o quiasma óptico. Lateralmente atinge a haste temporal e as regiões amigdalinas, bilaterais. Caudalmente, atinge os pedúnculos cerebelares superiores e o tegmento pontino. O efeito de massa apaga o aqueduto cerebral, deforma o III ventrículo e o ventrículo lateral esquerdo, sem hidrocefalia. Outros achados: sinais de atrofia cerebelar, com alargamento dos sulcos adjacentes e do IV ventrículo. Estruturas da junção crânio-vertebral de aspecto anatômico preservado. O diagnóstico etiológico é incerto e podem ser consideradas as principais possibilidades: vasculites (por exemplo, neuro-Behçet), neoplasia primária (especialmente glioma difuso da linha média) e romboencefalite infecciosa.

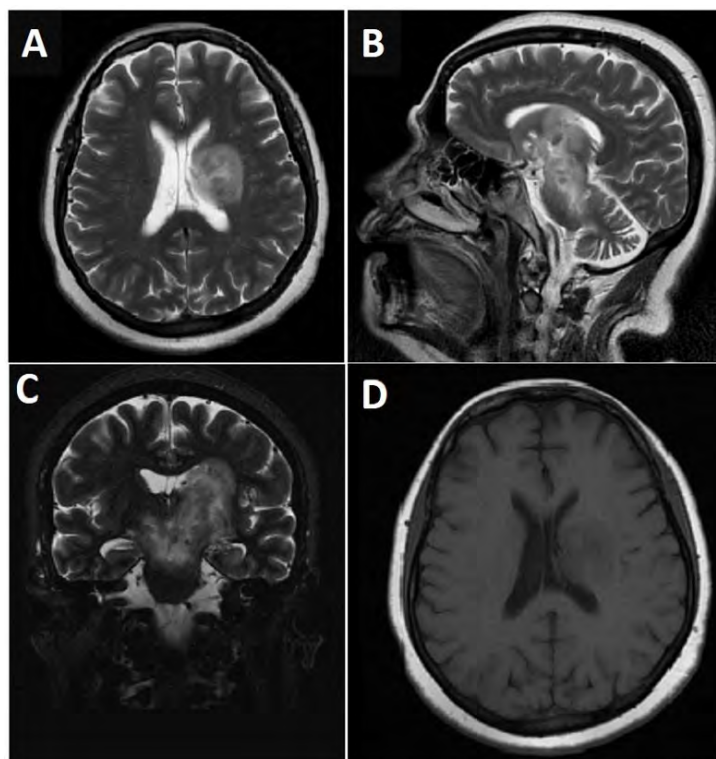


Figura 1. Ressonância magnética de crânio: A. Axial T2; B. Sagital T2; C. Coronal STIR; e D. axial T1.

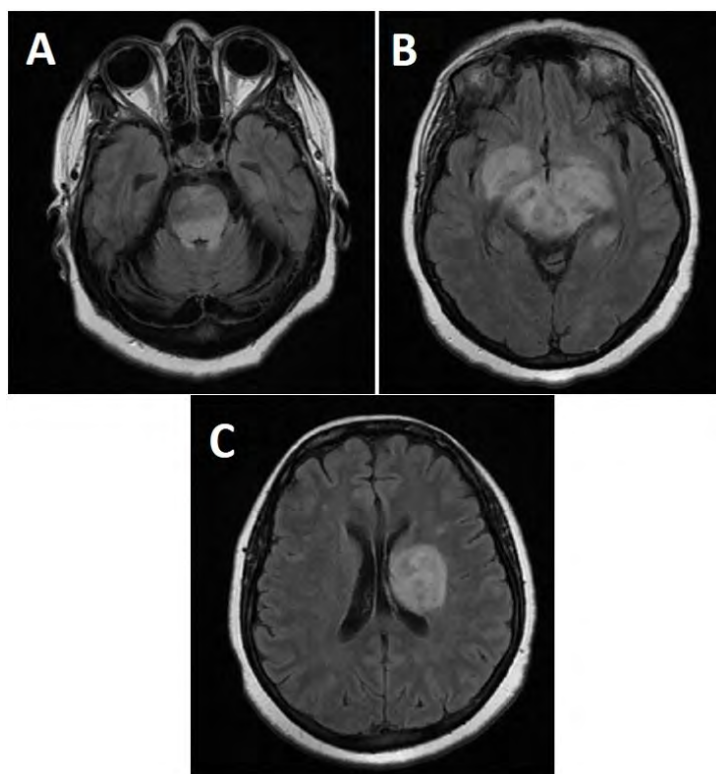


Figura 2. Ressonância magnética de crânio: A, B, C. axial FLAIR.

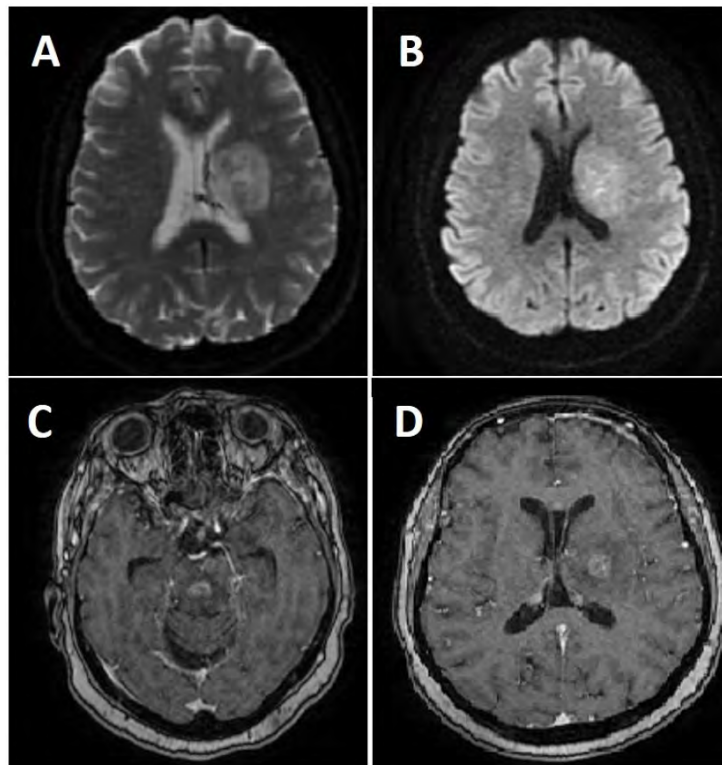


Figura 3. Ressonância magnética de crânio: A, B. DWi; C, D. Volumétrico T1 com contraste.

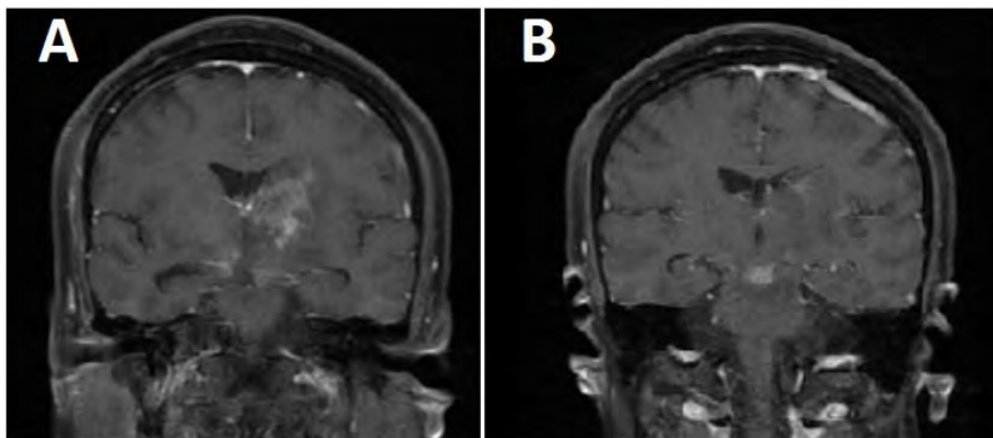


Figura 4. Ressonância magnética de crânio: A, B. Coronal T1 FS com contraste.

Exame de espectroscopia de prótons demonstrou aumento acentuado do pico de colina/creatina e colina/N-acetil-aspartato, estimado em até 2,3. Este achado é indicativo de aumento do turnover celular. Acentuada redução do N-acetil-aspartato na lesão (redução da viabilidade neuronal). Estudo de perfusão: considerando a curva de contrastação subótima, não se identifica

aumento significativo do fluxo ou do volume sanguíneo cerebral relativo na lesão. Os achados na espectroscopia favorecem processo com proliferação celular, tipicamente de origem neoplásica. Ressalta-se que processos inflamatórios ativos e acentuados também podem se apresentar com este padrão. O estudo perfusional não foi típico de neoangiogênese neoplásica.

Paciente evoluiu com progressão do quadro clínico, estrabismo convergente e diplopia, disartria severa, disfagia grave com necessidade de gastrostomia, paresia grau 2 de predomínio proximal em dimídio direito tornando-se acamada (Steinbrocker IV / Karnofski 30), tremor em repouso de baixa amplitude e alta frequência no membro superior esquerdo, clônus esgotável em membros inferiores e Babinski positivo. Submetida à biópsia da lesão através de craniotomia frontal esquerda com neuronavegação direta sob auxílio de ultrassonografia perioperatória. Estudo anatomopatológico sugestivo de glioma de baixo grau, sendo confirmado por imuno-histoquímica que evidenciou: GFAP – proteína glial (clone Policlonal) positivo difusamente; Proteína S-100 (clone policlonal) positivo; IDH-1 (clone R123H-GenomeMe) positivo; proteína P53 (clone D07) positivo em 60% das células; Ki-67 (clone MIB-1) positivo em 2% das células. O painel imuno-histoquímico associado aos aspectos histológicos condiz com o astrocitoma difuso IDH-mutante grau II da OMS; mutação H3 K27M não pesquisado por indisponibilidade.

Encaminhada para tratamento oncológico, sendo optado por radioterapia radical com plano de 4005Gy divididas em 15 sessões 267Gy, devido ao baixo KPS. Pela fragilidade do quadro base, a paciente evoluiu com desfecho fatal após aproximadamente 11 meses do início dos sintomas, não conseguindo completar o plano de terapia oncológica.

DISCUSSÃO

Os gliomas são os tumores encefálicos primários mais comuns do sistema nervoso central. Dentre eles, temos os astrocitomas, oligodendrogliomas e os ependimomas. Destes, o astrocitoma infiltrativo destaca-se, sendo responsável por cerca de 80% das neoplasias encefálicas primárias em adultos⁴. Os astrocitomas infiltrativos apresentam um espectro de diferenciação histológica que possui estrita relação com o curso e a resposta clínica da doença. A classificação da OMS (2016) classifica-os em graus de I a IV, baseada na presença histológica de atipia nuclear, mitoses, proliferação microvascular e necrose. Sendo assim, são chamados astrocitomas grau II ou astrocitomas difusos aqueles que apresentam apenas atipia nuclear. Ademais, a nova classificação da OMS de 2016 considera a evolução nos estudos genéticos e moleculares, complementando a classificação de acordo com a presença da mutação IDH 1 ou IDH 2. Desta forma, são diferenciados em: astrocitoma localizado/circunscrito (IDH

negativo); astrocitoma IDH-mutante (90% das lesões grau II e III); e astrocitoma com IDH-selvagem/positivo (raro)^{1,7}.

Além do marcador IDH, outro de grande importância, especificamente para lesões gliais de linha média, é a mutação da lisina em metonímia no códon 27 do gene que codifica o isomorfismo 3 da histona. Através deste marcador, houve uma reclassificação pela OMS, em 2016, dos gliomas difusos intrínsecos da ponte em gliomas difusos de linha média H3 K27M-mutante. Com a alteração dos aminoácidos em K27M há o bloqueio do complexo PRC2 (complexo repressivo policombo de metiltransferase 2) em modificar a lisina no códon 27 na cauda da histona 3, resultando em diminuição da trimetilação das proteínas H3 com consequente interrupção da diferenciação glial, levando a um estado oncogênico primitivo, semelhante a células-tronco. Apesar de as mutações terem sido descobertas em gliomas difusos intrínsecos da ponte em crianças (incidência 80%), houve associação do astrocitoma difuso de linha média, sobretudo aqueles de diencéfalo, cerebelo e medula espinhal, com a mutação H3 K27M nos adultos (incidência 15 a 60%). Devido ao pior prognóstico nos casos em que o marcador é positivo nas crianças, a classificação da OMS 2016 definiu estas lesões como grau IV, independente da apresentação de necrose ou proliferação microvascular. Contudo, faltam dados para correlacionar a presença do marcador e o prognóstico em adultos^{8,9}.

O astrocitoma difuso (DA) grau II, IDH-mutante, como apresentado pela paciente relatada, é um tumor de crescimento relativamente lento com um tempo médio de sobrevida de 3,9 a 10,8 anos. Contudo, sua infiltração no parênquima cerebral dificulta a ressecção total, cuja taxa bruta é em apenas 14 a 17% dos casos. Diferentemente de quando acomete crianças, em adultos – faixa etária de maior prevalência desse tumor – há a desdiferenciação para tumores de alto grau com maior facilidade, com rápida progressão e alto índice de mortalidade¹⁰. Devido ao comportamento infiltrativo e eventual progressão para tumores de grau III e IV lhe é conferido um grau de malignidade¹¹.

Os efeitos locais do astrocitoma são resultado de vários mecanismos celulares. Desde a invasão direta e competição por oxigênio, levando à lesão hipóxica do parênquima cerebral normal, bem como emissão de radicais livres, neurotransmissores e mediadores inflamatórios que prejudicam a homeostase neuronal. Além da lesão no local de infiltração, o efeito de massa do tumor é responsável por diversos sinais e sintomas clínicos, os quais são divididos em gerais e focais. Os gerais incluem cefaleia, náuseas, vômitos, dificuldades cognitivas, alterações de personalidade e

distúrbios da marcha, enquanto os focais envolvem convulsões (em 90% dos pacientes), afasia ou defeitos do campo visual².

A localização do astrocitoma difuso em tronco cerebral e diencéfalo, como relatado no presente artigo, constitui-se de um evento pouco frequente, visto que 90% das manifestações são supratentoriais¹⁻³. Em se tratando de gliomas difusos de linha média, uma série de casos com 60 pacientes, evidenciou o diencéfalo como local mais comum (57% no tálamo), seguido por medula espinhal, e menos frequentemente o tronco⁹. A paciente que inicialmente apresentava sintomas gerais inespecíficos, progressivamente evoluiu com alterações correlacionadas a sua localização. A paresia em dimídio direito, possivelmente deve-se a: acometimento do pedúnculo cerebral esquerdo; tremor em repouso de baixa amplitude e alta frequência em membro superior decorrente da lesão em núcleos da base; diplopia pelo acometimento das vias da conjugação do olhar bem como da região pontina, local do núcleo do nervo abducente; e disartria, pela invasão de gânglios da base e pedúnculos cerebelares.

O único fator de risco estabelecido para desenvolvimento do astrocitoma difuso foi a exposição à radiação ionizante. Crianças que recebem radiação profilática para leucemia linfocítica aguda (LLA) podem ter 22 vezes mais chance de desenvolver malignidade do sistema nervoso central em cerca de 5 a 10 anos. A radioterapia para adenoma hipofisário acarreta 16 vezes mais risco de desenvolvimento de gliomas. Salienta-se que nossa paciente não relatou ter sido exposta à radiação. Há uma suscetibilidade genética ao desenvolvimento dos astrocitomas de baixo grau, e em 66% destes casos há mutações no gene p53. Os fatores de mau prognóstico destes tumores são: idade avançada, status de desempenho de Karnofsky (KPS) <70, déficits neurológicos anteriores, tamanho do tumor maior que 6 cm, tumor cruzando a linha média e tumores não hemisférico – estando as três últimas presentes em nosso caso^{2,5}.

Com relação aos achados na neuroimagem, a ressonância magnética (RM) revela diversos aspectos e padrões tumorais característicos do astrocitoma de baixo grau. Assim, a RM é a modalidade de escolha na avaliação diagnóstica do astrocitoma difuso, pois além da avaliação anatômica nos fornece dados quantitativos e informações moleculares e metabólicas da lesão¹².

As imagens de RM multiparamétrica quantitativa diferenciam de forma mais precisa os gliomas de baixo grau dos de alto grau, com sensibilidade de 84,2% e especificidade de 100%. Esta abordagem envolve tanto as sequências T2, FLAIR, T1 pré e pós

contraste – as quais são primordiais para identificar a localização do tumor e sua relação com as estruturas cerebrais adjacente (evidência de nível II) –, somado às técnicas avançadas: imagem ponderada por suscetibilidade (SWI) – identifica calcificações e sangramentos intratumorais; imagem ponderada por difusão (DWI) associada a ADC – revelam a heterogeneidade tumoral; imagem ponderada por perfusão (PWI) como Ktrans, Vp, rCBV, Espectroscopia (MRS) – revelam a heterogeneidade das lesões contribuindo para os diagnósticos diferenciais. A partir destas técnicas avançadas de ressonância magnética são evidenciados alterações imaginológicas que definem os gliomas de baixo grau, sendo elas: hipodensidade celular evidenciado pela difusividade média mínima e ADCmin mais alto; valores de anisotropia fracionária baixos e valores medianos de curtose – quando comparado com as lesões de alto grau; parâmetros de perfusão mais baixos devido à menor secreção do fator de crescimento endotelial, baixa proliferação microvascular, ausência de neo-microvascularidade hiperpermeável e imaturidade celular; bem como a extensa lesão infiltrativa e expansiva com hipersinal em T2. Além dos benefícios supracitados da RM, através das análises imaginológicas é possível extrair dados prognósticos e evolutivos. Valores iniciais de ADCmin, rCBVmax e Ktrans quando baixos são indicativos de pior desfecho. Valores da razão Cho/Cr intratumoral > 2,4 e de rCBVmax > 1,52–1,75, bem como a taxa de crescimento maior que 3 mm por ano estão correlacionados com progressão tumoral e desdiferenciação em lesão de alto grau^{11,13}.

Invariavelmente, todos os pacientes devem ser submetidos ao procedimento neurocirúrgico para identificação histológica da lesão. Ressecções amplas se mostram melhor do que as parciais/biópsias com relação à sobrevida. E os gliomas IDH-mutante possibilitam maior ressecabilidade devido ao menor padrão infiltrativo¹⁴. Todavia, a localização em tronco cerebral e diencéfalo inviabiliza a total ressecção da lesão dado o conjunto de estruturas nobres presentes. A terapia adjuvante é reservada para pacientes com lesão residual após a cirurgia e/ou características que indicam pior prognóstico. Estudos comparativos mostram melhor desfecho quando associado radioterapia (50-54 Gray em 1.8 Gray/fração) com quimioterapia (temozolomida ou procarbazina, lomustine e vincristina - PCV) com ganho de 5,5 anos de sobrevida quando comparado à radioterapia isoladamente¹⁵. Apesar dos avanços no entendimento e tratamento dos gliomas difusos, nenhuma terapia é curativa, e o tumor eventualmente irá progredir. Estudos promissores estão sendo conduzidos para abordagem terapêutica direcionada à mutação IDH, por ser específica e uniformemente expressa pelos gliomas difusos (exceto IDH-selvagem)¹⁶.

CONCLUSÃO

A importância do relato do caso reside em sua localização pouco frequente e extensa, reforçando o auxílio da neuroimagem no diagnóstico, prognóstico e programação terapêutica. Apesar dos esforços terapêuticos e dos tratamentos suplementares com quimioterapia e radioterapia, as lesões difusas com envolvimento de estruturas como o tronco cerebral e diencefalo continuam um desafio gigantesco.

REFERÊNCIAS

1. Louis DN, Perry A, Reifenberger G, et al. The 2016 World Health Organization Classification of Tumors of the Central Nervous System: a summary. *Acta Neuropathol.* 2016;131(6):803-20. <http://dx.doi.org/10.1007/s00401-016-1545-1>. PMID:27157931.
2. Kapoor M, Gupta V. *Astrocytoma*. Treasure Island (FL): StatPearls Publishing LLC; 2021. Available from: <https://www.ncbi.nlm.nih.gov/books/NBK559042/>
3. Fisher JL, Schwartzbaum JA, Wrensch M, Wiemels JL. Epidemiology of brain tumors. *Neurol Clin.* 2007;25(4):867-90. <http://dx.doi.org/10.1016/j.ncl.2007.07.002>. PMID:17964019.
4. Riemenschneider MJ, Jeuken JWM, Wesseling P, Reifenberger G. Molecular diagnostics of gliomas: state of the art. *Acta Neuropathol.* 2010;120(5):567-84. <http://dx.doi.org/10.1007/s00401-010-0736-4>. PMID:20714900.
5. Tunthanathip T, Ratanalert S, Sae-Heng S, et al. Prognostic factors and nomogram predicting survival in diffuse astrocytoma. *J Neurosci Rural Pract.* 2020;11(1):135-43. <http://dx.doi.org/10.1055/s-0039-3403446>. PMID:32140017.
6. Lee EJ, Lee SK, Agid R, Bae JM, Keller A, Terbrugge K. Preoperative grading of presumptive low-grade astrocytomas on MR imaging: diagnostic value of minimum apparent diffusion coefficient. *AJNR Am J Neuroradiol.* 2008;29(10):1872-7. <http://dx.doi.org/10.3174/ajnr.A1254>. PMID:18719036.
7. Komori T. Updating the grading criteria for adult diffuse gliomas: beyond the WHO2016CNS classification. *Brain Tumor Pathol.* 2020;37(1):1-4. <http://dx.doi.org/10.1007/s10014-020-00358-y> PMID:32060660.
8. Banan R, Akbarian A, Samii M, et al. Diffuse midline gliomas, H3 K27M-mutant are associated with less peritumoral edema and contrast enhancement in comparison to glioblastomas, H3 K27M-wildtype of midline structures. *PLoS One.* 2021;16(8):e0249647. <http://dx.doi.org/10.1371/journal.pone.0249647> PMID:34347774.
9. Schulte JD, Buerki RA, Lapointe S, et al. Clinical, radiologic, and genetic characteristics of histone H3 K27M-mutant diffuse midline gliomas in adults. *Neuro-Oncology Adv.* 2020;2(1):a142. <http://dx.doi.org/10.1093/oaajnl/vdaa142>. PMID:33354667.
10. Pontes LB, Karnakis T, Malheiros SMF, Weltman E, Brandt RA, Guendelmann RAK. Glioblastoma: approach to treat elderly patients. *Einstein (Sao Paulo).* 2012;10(4):512-8. <http://dx.doi.org/10.1590/S1679-45082012000400021>. PMID:23386096.
11. Larsen J, Wharton SB, McKeivitt F, et al. "Low grade glioma": an update for radiologists. *British Institute of Radiology.* 2017;90(1070):20160600. <http://dx.doi.org/10.1259/bjr.20160600>.
12. Fouke SJ, Benzinger T, Gibson D, Ryken TC, Kalkanis SN, Olson JJ. The role of imaging in the management of adults with diffuse low grade glioma: a systematic review and evidence-based clinical practice guideline. *J Neurooncol.* 2015;125(3):457-79. <http://dx.doi.org/10.1007/s11060-015-1908-9>. PMID:26530262.
13. Bulakbaşı N, Paksoy Y. Advanced imaging in adult diffusely infiltrating low-grade gliomas. *Insights Imaging.* 2019;10(1):122. <http://dx.doi.org/10.1186/s13244-019-0793-8> PMID:31853670.
14. Veeravagu A, Jiang B, Ludwig C, Chang SD, Black KL, Patil CG. Biopsy versus resection for the management of low-grade gliomas. In: Patil CG, editor. *Cochrane database of systematic reviews*. Chichester, UK: John Wiley & Sons, Ltd; 2013. <http://dx.doi.org/10.1002/14651858.CD009319.pub2>.
15. van den Bent MJ. Practice changing mature results of RTOG study 9802: another positive PCV trial makes adjuvant chemotherapy part of standard of care in low-grade glioma. *Neuro-oncol.* 2014;16(12):1570-4. <http://dx.doi.org/10.1093/neuonc/nou297>. PMID:25355680.
16. Picca A, Berzero G, Sanson M. Current therapeutic approaches to diffuse grade II and III gliomas. *Ther Adv Neurol Disord.* 2018;11:1756285617752039. <http://dx.doi.org/10.1177/1756285617752039> PMID:29403544.

CORRESPONDING AUTHOR

*Luís Irajá Nogueira de Sá Neto, MD, MR
Medical Residency Program of Neurosurgery
Neurosurgery Service
Angelina Caron Hospital
Campina Grande do Sul, Paraná, Brazil
E-mail: nogueira.iraja@gmail.com*

Funding: nothing to disclose

Conflicts of interest: nothing to disclose

Ethics Committee Approval: Comitê de Ética e Pesquisa do Hospital Angelina Caron CAAE 45744721.3.0000.5226.

Ondine's Syndrome as a Postoperative Complication of High Cervical Cordotomy: a case series review

Síndrome de Ondine Como Complicação Pós-operatória da Cordotomia Cervical Alta: uma revisão de série de casos

Arthur Oliveira Lira¹ 
Marcelo Diniz de Menezes² 
Matheus Oliveira Lira³ 
Joaquim Fachine de Alencar Neto⁴ 
Luiz Bandeira Alves Neto⁴ 
Melissa Helena Rodrigues Silva⁵ 
Nilson Batista Lemos⁶ 
Maria Luísa Rocha⁷ 
Otávio da Cunha Ferreira Neto⁸ 
Luís Felipe Ferreira Marques⁹ 
Victor Egypto Pereira¹⁰ 
Anderson Albert Primo Lopes¹¹ 
Luiz Severo Bem Junior¹² 
Hildo Rocha Cirne de Azevedo Filho^{13,14,15} 

ABSTRACT

Ondine's curse is the term used to describe sleep apnea caused by damage to the spinal cord pathways of respiratory control. Despite presenting several causes for this syndrome, Ondine's syndrome is recognized as a common and fatal postoperative complication of upper cervical cordotomy for malignant pain control. Our objective is to carry out a historical review based on papers linked to PubMed and Scielo databases in order to analyze the frequency and evolution of sleep apnea in patients undergoing upper cervical cordotomy, in addition to observing the anatomical and functional mechanism that triggers it. The results revealed that postoperative Ondine's syndrome is caused by sectioning of the lateral spinothalamic and spinoreticular tracts, which are located in the anterolateral portion of the spinal cord.

Keywords: Ondine's syndrome; Sleep apnea; Cordotomy; Respiratory automaticity; Breathing control

RESUMO

A maldição de Ondine é o termo usado para descrever a apneia do sono causada por danos nas vias da medula espinhal de controle respiratório. Apesar de serem apresentadas várias causas, a síndrome de Ondine é reconhecida como uma complicação pós-operatória comum e fatal da cordotomia cervical superior para o controle da dor maligna. Nosso objetivo é realizar uma revisão histórica baseada em artigos ligados às bases de dados PubMed e Scielo, de forma a analisar a frequência e evolução da apneia do sono em pacientes submetidos a cordotomia cervical superior, além de observar o mecanismo anatômico e funcional que a desencadeia. Os resultados obtidos revelam que a síndrome pós-operatória de Ondine é causada pela seção dos tratos espinotalâmicos e espiroreticulares laterais, que estão localizados na porção anterolateral da medula espinhal.

Palavras-Chave: Síndrome de Ondine; Apneia do sono; Cordotomia; Automaticidade respiratória; Controle de respiração

¹ Medical student, College of Medical Sciences, Unifacisa University Center, Campina Grande, PB, Brazil.

² Medical student, Pernambucano College of Health, Recife, PE, Brazil.

³ Medical student, Federal University of Campina Grande, Campina Grande, PB, Brazil.

⁴ Medical student, University of Pernambuco, Recife, PE, Brazil.

⁵ Medical student, Catholic University of Pernambuco, Recife, PE, Brazil.

⁶ Medical student, College of Medical Sciences, Unifacisa University Center, Campina Grande, PB, Brazil.

⁷ Medical student, Brasília University Center, Brasília, DF, Brazil.

⁸ Medical student, Catholic University of Pernambuco, Recife, PE, Brazil.

⁹ Medical student, Federal University of Mato Grosso, Cáceres, MT, Brazil.

¹⁰ MD, Anesthesia and Pain, Department of Orthopedics and Anesthesiology, University of São Paulo, Ribeirão Preto, SP, Brazil.

¹¹ MD, Neurosurgery Department, Hospital da Restauração, Recife, PE, Brazil.

¹² MD, Neurosurgery Department, Hospital da Restauração, College of Medical Sciences, Unifacisa University Center, Campina Grande, PB, Brazil.

¹³ MD, PhD, Neurosurgeon, Neurosurgery Department, Hospital da Restauração, Recife, PE, Brazil.

¹⁴ Professor of Neurology and Neurosurgery, University of Pernambuco, Recife, PE, Brazil.

¹⁵ Professor, Unifacisa University Center, Campina Grande, PB, Brazil.

Received Jun 21, 2022

Accepted Jul 12, 2022

INTRODUCTION

In one of the interpretations of a Greek myth, Ondine is a water spirit who, by falling in love with a human, gives up his immortality to share life with her beloved. At one point, due to her husband's betrayal, Ondine curses him to lose involuntary control of his breathing, so that he dies as soon as he falls asleep^{1,2}. Due to the correlation with this fanciful story, Ondine Syndrome is the eponym attributed to sleep apnea induced by impairment of the neurological centers of respiration, consisting of loss of respiratory automaticity that culminates in alveolar hypoventilation during the period of sleep³.

Regarding the etiology of Ondine's syndrome, the congenital origin occurs through mutation of genes intrinsic to the autonomic nervous system, especially the PHOX2B gene considered the gene that causes the syndrome⁴. In addition, the medical literature has documented several acquired causes of Ondine syndrome⁵, including the postoperative complication of cordotomy^{6,7}.

Cordotomy is based on the surgical section of the lateral spinothalamic tract and spinoreticular tract, the ascending pathways responsible for the transmission of painful stimuli⁸, with the objective of producing the absence of contralateral pain perception and, thus, treating patients with chronic pain^{9,10}. The aim of this study is to review the anatomo-functional mechanisms that explain Ondine's curse as a postoperative complication of the cordotomy procedure through a series of case reports.

METHODS

The proposed design is a historical literature review. The keywords designated for this study are: Ondine's Syndrome Sleep apnea Cordotomy Respiratory automaticity Breathing control. Thus, the selection of articles to support this review was carried out from the PubMed and Scielo databases between January 2022 and February 2022, using the following search terms: Sleep Apnea AND Chordotomy, Ondine's Curse AND Chordotomy, Respiratory Syndrome AND Chordotomy. The use of the "boolean operator" "AND" proved to be essential to find intersection and correlation between related terms. Inclusion criteria were Research and case

reports addressing a correlation between Ondine syndrome and upper cervical cordotomy, written in Portuguese, English or Spanish and published in academic journals. In addition, linked articles were added as a bibliographic reference to other articles present in the databases following the same inclusion criteria to expand the available repertoire. A total of 25 articles were found in the databases, and 7 were considered. The search in the bibliographic references resulted in 6 articles, totaling 13 articles chosen for this analysis.

RESULTS

From the selected 13 articles, according to Table 1, it was highlighted the following aspects of each study: author, method used and final result obtained.

In general, the papers mentioned, mostly case reports, pointed to a correlation between upper cervical cordotomy and sleep apnea through the disruption of pathways that circulate in the anterolateral portion of the spinal cord, such as the spinoreticular tract, which are responsible for involuntary breathing control.

DISCUSSION

Ondine's syndrome is characterized by the automatic deactivation of breathing during sleep and is one of the main postoperative complications of cordotomy, a procedure that aims to treat patients with chronic pain.

Cordotomy is usually performed using two techniques: the open technique and the percutaneous technique. Open technique cordotomy requires general anesthesia and hemilaminectomy or total laminectomy to access the patient's spinal cord in the contralateral portion and 3 to 4 spinal cord levels above which pain attenuation is desired. Then, the anterolateral segment of the spinal cord is exposed and a cordotomy hook²⁴, as shown in Figure 1. The percutaneous cordotomy technique is more used and less invasive when compared to the open technique, consisting of disruption of the spinal pain through radiofrequency ablation

Table 1. Results of selected studies.

Author	Method	Result
Lahuerta et al. ¹¹	Case reports of 12 patients who died after unilateral cordotomy	Unilateral high cordotomy causes destruction of spinoreticular tract fibers in the anterior and lateral cords of the spinal cord that are related to the process of reticular activation of the respiratory center of the brainstem
Krieger et al. ¹²	Case reports of 10 patients who developed sleep apnea after bilateral cervical cordotomy	The upper cervical cordotomy is responsible for causing the rupture of ascending reticular fibers in the anterolateral cord of the spinal cord that establish a connection with the respiratory center of the brainstem
Kanpolat et al. ¹³	Retrospective study of 207 patients who underwent CT-guided cordotomy between 1987 and 2007	CT-guided cordotomy is associated with considerable pain relief on the Karnofsky scale for patients with malignant conditions and intractable pain, requiring 1 week interval between procedures if it is a bilateral approach
Polatty et al. ¹⁴	Case report of a 33-year-old man who developed Ondine's syndrome after cordotomy to treat chronic pain	Cordotomy causes injury to the involuntary descending pathways of respiratory control in the anterolateral segment of the spinal cord, predisposing to Ondine's syndrome
Chevrolet et al. ¹⁵	Case report of a 46-year-old man who developed ventilatory disorders after bilateral cordotomy	Bilateral cordotomy usually presents with apnea due to respiratory control dysfunction or respiratory muscle dysfunction, which are reversed with the administration of aminophylline
Tranmer et al. ¹⁶	Retrospective study of 112 patients who underwent upper cervical cordotomy since 1977	About 5% of the 112 patients evaluated developed sleep apnea due to injury to the afferent and/or efferent respiratory fibers of the spinal cord
Nathan ¹⁷	Retrospective analysis of eight case reports	Most of the descending fibers responsible for respiratory activity are located in the most anterior part of the lateral cord of the spinal cord and are partially or totally interrupted in the cordotomy procedure
Hitchcock and Leece ¹⁸	Case reports of respiratory functions through spirometry in 14 patients before and after upper cervical cordotomy	Voluntary and involuntary control of breathing occurs through distinct nerve pathways, which corroborates the fact that many patients develop sleep apnea after high cervical cordotomy
Mullan and Hosobuchi ¹⁹	Case reports of 9 patients who died due to upper cervical cordotomy	Vital pathways for respiratory control circulate in the anterior portion of the anterior cord of the spinal cord and are extremely compromised during the cordotomy procedure, with sleep apnea being the most common manifestation during the first 5 nights after the procedure
Lema and Hitchcock ²⁰	Case reports about changes in breathing patterns in patients with opioid-resistant pain after surgery at the upper cervical level	Involuntary airways are affected during upper cervical procedures, which led to changes in breathing pattern in 11 of the 15 patients evaluated
Tenicela et al. ²¹	Case report of ventilatory changes in 13 patients who underwent cervical cordotomy at C2 level	Of the 13 patients, 3 developed sleep apnea in the period after bilateral cordotomy, showing that the level of respiratory failure and the number of respiratory fibers affected are directly associated
Belmusto et al. ²²	Case reports of 20 patients who underwent cervical cordotomy with observation of respiratory functions	Bilateral upper cervical cordotomy is contraindicated for patients with previous lung disease because it causes changes in breathing pattern through injury to the descending airways
Rosomoff et al. ²³	Randomized study to evaluate changes in respiratory functions in 48 patients undergoing cervical cordotomy	Both unilateral and bilateral cordotomy promoted a reduction in respiratory capacity and respiratory muscle strength and an increase in respiratory rate

guided by fluoroscopy or computed tomography in patients under local anesthesia²⁵.

By injuring the respiratory control pathways in the anterolateral segment of the spinal cord, respiratory control or respiratory muscle dysfunction may occur¹⁷. This occurs because when sectioning the spinoreticular tract, chronic pain would be relieved, but this tract contains fibers that establish the connection with the respiratory center of the brainstem and, when injured, causes apnea¹².

Depending on the number of respiratory fibers affected, respiratory compromise can be variable²¹. Bilateral upper cervical cordotomy, for example, consists of sectioning more than one fiber pathway, which can cause more severe respiratory failure and, therefore, is contraindicated in patients with lung disease²². In some cases, a one-week interval between procedures may be necessary¹³.

Unilateral cordotomy, despite breaking a smaller number of fibers compared to bilateral, also causes respiratory dysfunction, as the fibers of the spinoreticular tract in the anterior and lateral cords of the spinal cord are sectioned in the same way¹¹.

Both the bilateral and the unilateral approach affect the fibers responsible for respiratory activity and can cause varying degrees of respiratory failure, but mainly sleep apnea, which characterizes Ondine's syndrome. The Lema Ane Hitchcock study (1986)²⁰ found

that about 74% of the patients undergoing the procedure suffered from some alteration in their breathing pattern.

Despite the dysfunction of respiratory control after the procedure, the use of bronchodilators, such as aminophylline, can reverse apnea¹⁵.

CONCLUSION

Cordotomy is a procedure aimed at relieving chronic pain, either unilaterally or bilaterally, and consists of sectioning the lateral spinothalamic and spinoreticular tracts (Figure 1), at the level of the lateral and anterior cord of the spinal cord. Such tracts contain fibers that are directly related to the respiratory center and their section can cause different degrees of respiratory dysfunction, depending on the number of fibers affected. Although there is a difference in the degree of respiratory distress, sleep apnea or Ondine's curse is the main postoperative complication of cordotomy.

REFERENCES

1. Nannapaneni R, Behari S, Todd NV, Mendelow AD. Retracing "Ondine's curse". *Neurosurgery*. 2005;57(2):354-63. <http://dx.doi.org/10.1227/01.NEU.0000166684.69422.49>. PMID:16094167.
2. Orrego-González E, Medina-Rincón GJ, Martínez-Gil S, Botero-Meneses JS. Ondine's curse: the origin of the myth. *Arch Neuropsychiatr*. 2020;78(4):238-40. <http://dx.doi.org/10.1590/0004-282x20190162>. PMID:32022123.
3. Severinghaus JW, Mitchell RA. Ondine's curse: failure of respiratory center automaticity while awake. *Clin Res*. 1962;10:122.
4. Bishara J, Keens TG, Perez IA. The genetics of congenital central hypoventilation syndrome: clinical implications. *Appl Clin Genet*. 2018;11:135-44. <http://dx.doi.org/10.2147/TACG.S140629>. PMID:30532577.
5. Faraji Rad E, Faraji Rad M, Amini S, Zare R. Sleep apnea syndrome after posterior fossa surgery: a case of acquired Ondine's curse. *Iran J Otorhinolaryngol*. 2015;27(78):63-7. PMID:25745613.
6. Fielding JW, Tuul A, Hawkins RJ. "Ondine's Curse": a complication of upper cervical-spine surgery. *J Bone Joint Surg Am*. 1975;57(7):1000-1. <http://dx.doi.org/10.2106/00004623-197557070-00026>. PMID:1184627.



Figure 1. Medullary pathways affected by the cordotomy procedure

7. Tranmer BI, Tucker WS, Bilbao JM. Sleep apnea following percutaneous cervical cordotomy. *Can J Neurol Sci.* 1987;14(3):262-7. <http://dx.doi.org/10.1017/S0317167100026585>. PMID:3478115.
8. Machado ABM, Haertel LM. *Functional neuroanatomy*. 4th ed. São Paulo: Atheneu; 2022.
9. Javed S, Viswanathan A, Abdi S. Cordotomy for intractable cancer pain: a narrative review. *Pain Physician.* 2020;23(3):283-92. PMID:32517394.
10. Bellini M, Barbieri M. Percutaneous cervical cordotomy in cancer pain. *Anaesthesiol Intensive Ther.* 2016;48(3):197-200. <http://dx.doi.org/10.5603/AIT.a2014.0070>. PMID:25522793.
11. Lahuerta J, Buxton P, Lipton S, Bowsher D. The location and function of respiratory fibers in the second cervical spinal cord segment: respiratory dysfunction syndrome after cervical cordotomy. *J Neurol Neurosurg Psychiatry.* 1992;55(12):1142-5. <http://dx.doi.org/10.1136/jnnp.55.12.1142>. PMID:1479392.
12. Krieger AJ, Rosomoff HL. Sleep-induced apnea. 1. A respiratory and autonomic dysfunction syndrome following bilateral percutaneous cervical cordotomy. *J Neurosurg.* 1974;40(2):168-80. <http://dx.doi.org/10.3171/jns.1974.40.2.0168>. PMID:4520175.
13. Kanpolat Y, Ugur HC, Ayten M, Elhan AH. Computed tomography-guided percutaneous cordotomy for intractable pain in malignancy. *Neurosurgery.* 2009;64(3, Suppl.):ons187-93. PMID:19240568.
14. Polatty RC, Cooper KR. Respiratory failure after percutaneous cordotomy. *South Med J.* 1986;79(7):897-9. <http://dx.doi.org/10.1097/00007611-198607000-00028>. PMID:3460181.
15. Chevrolet JC, Reverdin A, Suter PM, Tschopp JM, Junod AF. Ventilatory dysfunction resulting from bilateral anterolateral high cervical cordotomy: dual beneficial effect of aminophylline. *Chest.* 1983;84(1):112-5. <http://dx.doi.org/10.1378/chest.84.1.112>. PMID:6602692.
16. Tranmer BI, Tucker WS, Bilbao JM. Sleep apnea following percutaneous cervical cordotomy. *Can J Neurol Sci.* 1987;14(3):262-7. <http://dx.doi.org/10.1017/S0317167100026585>. PMID:3478115.
17. Nathan PW. The descending respiratory pathway in man. *J Neurol Neurosurg Psychiatry.* 1963;26(6):487-99. <http://dx.doi.org/10.1136/jnnp.26.6.487>. PMID:14083219.
18. Hitchcock E, Leece B. Somatotopic representation of the respiratory pathways in the cervical cord of man. *J Neurosurg.* 1967;27(4):320-9. <http://dx.doi.org/10.3171/jns.1967.27.4.0320>. PMID:5233993.
19. Mullan S, Hosobuchi Y. Respiratory hazards of high cervical percutaneous cordotomy. *J Neurosurg.* 1968;28(4):291-7. <http://dx.doi.org/10.3171/jns.1968.28.4.0291>. PMID:5238825.
20. Lema JA, Hitchcock E. Respiratory changes after stereotactic high cervical cord lesions for pain. *Appl Neurophysiol.* 1986;49(1-2):62-8. PMID:3532951.
21. Tenicela R, Rosomoff HL, Feast J, Safar P. Pulmonary function following percutaneous cervical cordotomy. *Anesthesiology.* 1968;29(1):7-16. <http://dx.doi.org/10.1097/0000542-196801000-00006>. PMID:5634570.
22. Belmusto L, Brown E, Owens G. Clinical observations on respiratory and vasomotor disturbance as related to cervical cordotomies. *J Neurosurg.* 1963;20(3):225-32. <http://dx.doi.org/10.3171/jns.1963.20.3.0225>. PMID:14192101.
23. Rosomoff HL, Krieger AJ, Kuperman AS. Effects of percutaneous cervical cordotomy on pulmonary function. *J Neurosurg.* 1969;31(6):620-7. <http://dx.doi.org/10.3171/jns.1969.31.6.0620>. PMID:5359208.
24. Javed S, Viswanathan A, Abdi S. Cordotomy for intractable cancer pain: a narrative review. *Pain Physician.* 2020;23(3):283-92. PMID:32517394.
25. Teoli D, An J. *Cordotomy*. Treasure Island: StatPearls Publishing; 2022.

CORRESPONDING AUTHOR

Arthur Oliveira Lira
Medical student
Unifacisa University Center
College of Medical Sciences
Campina Grande, Paraíba, Brazil
E-mail: artholira@gmail.com

Funding: nothing to disclose.

Conflicts of interest: nothing to disclose.

Institution: Unifacisa University Center, Campina Grande.

Chronic Subdural Hematoma Following Spinal Anesthesia: case report

Hematoma Subdural Crônico Após Raquianestesia: relato de caso

Leonardo Galli Hamamoto¹

Flavia de Souza²

Osmi Hamamoto³

Ruy Yoshiaki Okaji³

José Luis Simões Junior³

ABSTRACT

The authors report the case of a 34-year-old female patient who underwent spinal anesthesia for a cesarean delivery and developed CSF hypotension headache for 7 days, presenting, then, persisted continuous daily headache. Submitted to a Brain MRI, it showed subacute subdural hematoma in the right fronto-temporo-parietal area, and was, then, submitted to surgical drainage with total resolution of the hematoma. In this paper, the authors discuss the pathophysiology of the formation of this type of hematoma and emphasize the infrequency of this occurrence in the medical literature.

Keywords: Chronic subdural hematoma; Spinal anesthesia

RESUMO

Os autores relatam o caso de uma paciente de 34 anos submetida à raquianestesia para submeter-se a um parto do tipo cesariana e evoluiu com cefaleia do tipo hipotensão líquórica por sete dias e, em seguida, persistiu com cefaleia contínua e diária. Foi submetida à ressonância magnética do encéfalo que evidenciou hematoma subdural subagudo fronto-têmporo-parietal à direita sendo, então, submetida à drenagem cirúrgica com resolução do hematoma. Discute-se a fisiopatologia da formação deste tipo de hematoma e ressalta-se a infreqüência desta ocorrência na literatura médica.

Palavras-chave: Hematoma subdural crônico; Anestesia espinal

¹ Medical student, Pontifical Catholic University of São Paulo – PUC-SP, São Paulo, SP, Brazil.

² Medical student, Medicine Course, Centro Universitário de Adamantina – UNIFAI, Adamantina, SP, Brazil.

³ MD, Neurosurgeon, Marília Medical School – FAMEMA, Marília, SP, Brazil.

Received July 4, 2022

Accepted Aug 2, 2022

INTRODUCTION

Chronic subdural hematomas (CSDH) represent one of the most frequent conditions in the daily practice of Neurosurgery. Its incidence increases proportionally with age, reaching up to 58 cases per 100,000 inhabitants in the elderly, 65 years old or over¹, and in Japan it is estimated that there are 20.6 cases per 100,000 inhabitants per year between 70-79 years and 127.1 cases for every 100,000 inhabitants per year over 80 years old².

Most cases can be related to a history of trauma, but as most traumas are minor or trivial, patients may not report that trauma has occurred. The thin wall of the bridging veins and the lack of support on these veins in the subarachnoid space may explain their fragility and their ruptures related to minor trauma to the skull³.

Besides trauma, other etiologies may be associated with the occurrence of chronic subdural hematomas such as coagulopathies, use of anticoagulants, antiplatelet agents and, less frequently, associated with intracranial hypotension due to CSF loss after spinal surgery, spinal anesthesia or CSF fistula³.

The authors, in this paper, report the case of a young patient who developed a chronic subdural hematoma, diagnosed 40 days after undergoing spinal anesthesia for a cesarean section, discussing the pathophysiology of chronic subdural hematomas and the infrequency of this occurrence after spinal anesthesia.

CASE PRESENTATION

A 34-year-old patient at 38 weeks gestation underwent a cesarean delivery because the fetus was in breech presentation. Spinal anesthetic block (spinal anesthesia) was performed for the surgery, with puncture at L3-L4 level, with the patient in a sitting position. The CSF obtained was clear and with normal pressure, and anesthetic block was obtained up to T6 level.

The delivery was uneventful and lasted about 60 minutes. The patient and the newborn were discharged 30 hours after delivery, with no symptoms.

On the second day after delivery, the patient started to have a low CSF pressure headache, which persisted for seven days and then, changed its characteristics, becoming daily, continuous and progressive, with short periods of improvement with common analgesics. On the thirtieth postoperative day, the patient noticed mild hypoesthesia in the left hemibody, but she only sought neurological care on the fortieth postoperative day, when it was requested a Brain MRI, which showed hyperintense extra-axial subdural collection at T1 and T2 weightings, with isointense areas in between, in the right fronto-temporo-parietal area, with mass effect, compressing the right lateral ventricle and shifting the midline to the left, compatible with chronic subdural hematoma (Figure 1).

After preoperative preparation, the patient had undergone neurological surgery to drain the hematoma, through two trepanations located one in the frontal and the other on the parietal areas, irrigation of the cavity with saline solution and subdural drainage with a closed system for 48 hours. She had complete resolution of the symptoms, and a Head CT scan performed on the 38th day after the hematoma drainage showed complete resolution of the hematoma (Figure 2).

DISCUSSION

Subdural hematomas can be classified according to the evolution time into: acute (occur within 3 days after trauma), subacute (4 to 20 days after trauma) and chronic (after 20 days)⁴. Chronic subdural hematomas consist of an encapsulated collection of fluid, blood, or blood breakdown products between the arachnoid and the dura mater⁵.

One of the theories about the formation of CSDH would be the rupture of bridging veins that drain the cerebral cortex to the venous sinuses, leading to an accumulation of venous blood in the subdural space over the days following the trauma⁶. But this theory has been challenged for years for several reasons. First, because we know that most CSDH become symptomatic on an average of four to seven weeks after trauma and a subdural hemorrhage of venous origin would lead the patient to have symptoms in just a few days. Second, in the current days with the increased access of patients to CT scans after a head injury, we often see cases where the initial CT scan is completely normal, with no signs of hemorrhage and

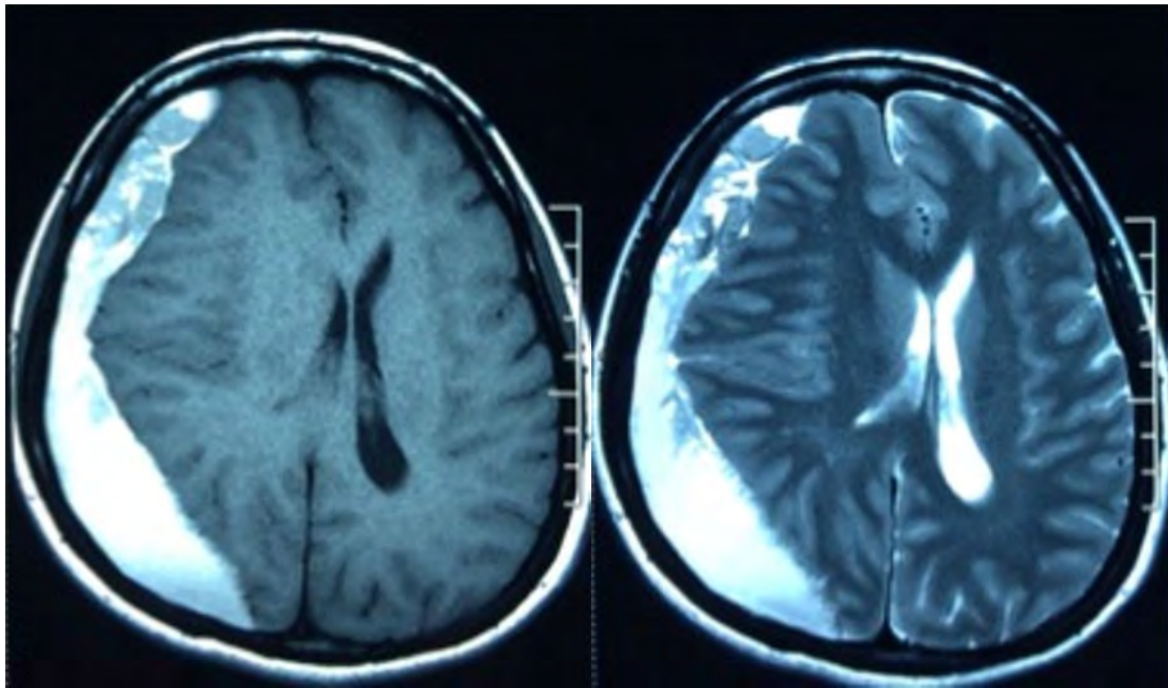


Figure 1. MRI in T1 and T2 showing an extense fronto-temporo-parietal subdural collection on the right.

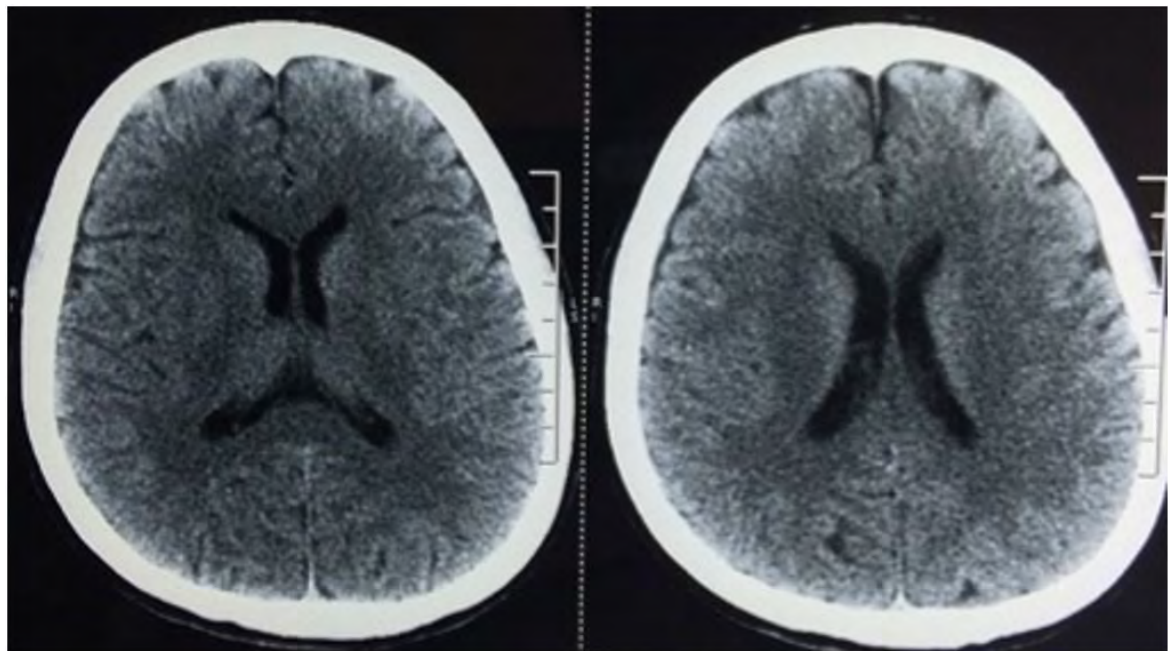


Figure 2. Head CT Scan, without contrast, performed on the 38th post-operative day showing total resolution of the subdural hematoma.

yet the patient develops a CSDH weeks or months later⁵. Therefore, more recently, several authors have discussed inflammation as a key process in the development of CSDH.

In 1857, Virchow referred to this condition as “pachymeningitis haemorrhagica interna”, because he believed that the hematoma would be originated from a chronic inflammatory response of the

dura mater, resulting in fibrin exudation and the development of new capillaries^{5,6}.

In 1946, Inglis, after histological analysis of several cases of CSDH, identified that the dura mater is lined with a layer of specialized, modified connective tissue cells, which more recently has been called “dural border cells”. These cells have two essential functions, which are: phagocytize; and also become fibro-cellular connective tissue, allowing the formation of new membranes as seen in CSDH^{7,8}. Damage to the “dural border cells” besides an acute hemorrhage, could also initiate inflammation, recruiting inflammatory cells into the subdural space in order to repair this layer of cells. However, what actually happens is a differentiation and proliferation of these cells resulting in the formation of new membranes⁵. Thus, with the delamination of the dural border cells, two new membranes are formed, one internal, in contact with the arachnoid, and the other external, in contact with the dura mater, thereby creating a new subdural cavity which will be filled with fluids and blood, once, mainly the outer layer contains fibroblasts and collagen fibers, in addition to cells such as neutrophils, lymphocytes, macrophages and eosinophils, capillaries with very thin walls and extremely thin or absent basement membrane, highly permeable, which allow the migration of erythrocytes, leukocytes and plasma from these vessels to the subdural cavity, favoring the increase of the subdural collection. In addition, there are several studies that analyzed the membranes of CSDH as well as the fluid from these hematomas, and have showed that in the process of formation and progression of these hematomas there are fibrinolysis, angiogenesis and inflammation⁹⁻¹³.

Subarachnoid anesthesia is a type of anesthetic block, safe and widely used in daily practice, and the incidence of serious complications is approximately 0.05%¹⁴. Intentional or inadvertent puncture of the dura mater, even if done with fine needles and adequate bevels, can leave the puncture orifice open from 14 days to a few weeks and could lead to the drainage of up to 240 mL¹⁵ of CSF per day, through an orifice of 0.6 mm. The loss of CSF leads to a reduction in its volume, which initially decreases spinal pressure and, more dangerously, intracranial pressure. These changes result in a movement of the spinal cord and brain in a caudal direction, which, thus, pulls pain-sensitive structures such as the dura mater, cranial nerves, and blood vessels¹⁶. In the literature, we can find several factors that enhance this mechanism and contribute to the genesis of subdural hematoma after puncture of the subarachnoid space. Among these factors, we have the excessive loss of CSF due to the use of thick needles, a sharp bevel, as well as multiple attempts to puncture the subarachnoid space. However, the use of fine gauge needles and/or with a non-

cutting bevel, reduces the chance, but does not totally prevent the appearance of this complication.

The venous drainage of the brain is done through short, almost perpendicular venous branches, called bridge veins, which pass directly from the brain to the dural sinuses, which adhere to the internal bone plate of the skull¹⁷. Between these two points, the bridging veins have a straight course, without tortuosity, therefore favoring their rupture when they are pulled or there are movements of anteroposterior acceleration and deceleration of the brain, culminating in the formation of subdural hematomas.

Headache is the most frequent complication after intentional puncture of the dura mater for diagnostic purposes or for spinal anesthesia. It is a headache that starts 15 minutes after the individual sits or stands and improves in a similar time after lying down, starting up to 5 days after lumbar dural puncture, usually associated with sensation of noises in the ears and cervical pain. It disappears spontaneously after one week or, 48h after epidural blood patching¹⁸. When headache persists beyond one week or changes its characteristics, some complication associated with CSF hypotension resulting from spinal anesthesia should be considered. The average time elapsed between the loss of CSF leading to headache and the development of hematoma varies from two hours to 44 days^{19,20}. According to literature review, the earliest diagnosis occurred after two days of anesthesia and the latest after 20 weeks¹⁹. In the case reported, the change in the characteristics of the patient's headache after the 8th day became clear, becoming a continuous headache that was no longer influenced by her posture. Attention is also drawn to the symptomatology presented by the patient on the thirtieth day postpartum of altered sensation over the left hemibody, which could suggest some cortical dysfunction in primary sensory areas, but she only sought neurological care four weeks after delivery and spinal anesthesia.

CONCLUSION

We recommend that all patients who underwent any kind of procedure related to puncture of the subarachnoid space and who present headache for more than one week, with different characteristics to headache due to low CSF pressure, should be evaluated by specialists and submitted to imaging exams to rule out bleeding complications resulting from CSF hypotension.

REFERENCES

1. Ivamoto HS, Lemos Junior HP, Atallah AN. Surgical treatments for chronic subdural hematomas: a comprehensive systematic review. *World Neurosurg.* 2016;86:399-418. <http://dx.doi.org/10.1016/j.wneu.2015.10.025>. PMID:26485412.
2. Pang CH, Lee ES, Kim CH, et al. Acute intracranial bleeding and recurrence after bur hole craniostomy for chronic subdural hematoma. *J Neurosurg.* 2015;123(1):65-74. <http://dx.doi.org/10.3171/2014.12.JNS141189>. PMID:25679282.
3. Yadav YR, Parihar V, Namdev H, Bajaj J. Chronic subdural hematoma. *Asian J Neurosurg.* 2016;11(4):330-42. <http://dx.doi.org/10.4103/1793-5482.145102>. PMID:27695533.
4. McKissock W, Richardson A, Bloom W. Subdural haematoma: a review of 389 cases. *Lancet.* 1960;275(7139):1365-9. [http://dx.doi.org/10.1016/S0140-6736\(60\)91148-X](http://dx.doi.org/10.1016/S0140-6736(60)91148-X).
5. Edlmann E, Giorgi-Coll S, Whitfield PC, Carpenter KLH, Hutchinson PJ. Pathophysiology of chronic subdural haematoma: inflammation, angiogenesis and implications for pharmacotherapy. *J Neuroinflammation.* 2017;14(1):108-20. <http://dx.doi.org/10.1186/s12974-017-0881-y>. PMID:28558815.
6. Markwalder TM. Chronic subdural hematomas: a review. *J Neurosurg.* 1981;54(5):637-45. <http://dx.doi.org/10.3171/jns.1981.54.5.0637>. PMID:7014792.
7. Inglis K. Subdural haemorrhage, cysts and false membranes: illustrating the influence of intrinsic factors in disease when development of the body is normal. *Brain.* 1946;69(3):157-94. <http://dx.doi.org/10.1093/brain/69.3.157>. PMID:20285677.
8. Koliass AG, Chari A, Santarius T, Hutchinson PJ. Chronic subdural haematoma: modern management and emerging therapies. *Nat Rev Neurol.* 2014;10(10):570-8. <http://dx.doi.org/10.1038/nrneurol.2014.163>. PMID:25224156.
9. Müller W, Firsching R. Significance of eosinophilic granulocytes in chronic subdural hematomas. *Neurosurg Rev.* 1990;13(4):305-8. <http://dx.doi.org/10.1007/BF00346370>. PMID:2280841.
10. Ito H, Yamamoto S, Komai T, Mizukoshi H. Role of local hyperfibrinolysis in the etiology of chronic subdural hematoma. *J Neurosurg.* 1976;45(1):26-31. <http://dx.doi.org/10.3171/jns.1976.45.1.0026>. PMID:132513.
11. Hohenstein A, Erber R, Schilling L, Weigel R. Increased mRNA expression of VEGF within the hematoma and imbalance of angiopoietin-1 and -2 mRNA within the neomembranes of chronic subdural hematoma. *J Neurotrauma.* 2005;22(5):518-28. <http://dx.doi.org/10.1089/neu.2005.22.518>. PMID:15892598.
12. Moskala M, Góscinski I, Kaluza J, et al. Morphological aspects of the traumatic chronic subdural hematoma capsula: SEM studies. *Microsc Microanal.* 2007;13(3):211-9. <http://dx.doi.org/10.1017/S1431927607070286>. PMID:17490504.
13. Sato S, Suzuki J. Ultrastructural observations of the capsule of chronic subdural hematoma in various clinical stages. *J Neurosurg.* 1975;43(5):569-78. <http://dx.doi.org/10.3171/jns.1975.43.5.0569>. PMID:1181389.
14. Amorim JA, Remígio DSCA, Damázio Filho O, Barros MAG, Carvalho VN, Valença MM. Hematoma subdural intracraniano pós-anestesia subaracnóideia: relato de dois casos e revisão de 33 casos da literatura. *Ver Bras Anesthesiol.* 2010;60(6):620-9. [http://dx.doi.org/10.1016/S0034-7094\(10\)70077-5](http://dx.doi.org/10.1016/S0034-7094(10)70077-5).
15. Eerola M, Kaukinen L, Kaukinen S. Fatal brain lesion following spinal anaesthesia: report of case. *Acta Anaesthesiol Scand.* 1981;25(2):115-6. <http://dx.doi.org/10.1111/j.1399-6576.1981.tb01620.x> PMID:7324818.
16. Bisinotto FMB, Dezena RA, Fabri DC, Abud TMV, Canno LH. Hematoma subdural intracraniano: uma rara complicação após raquianestesia: relato de caso. *Ver Bras Anesthesiol.* 2012;62(1):89-95. <http://dx.doi.org/10.1590/S0034-70942012000100012>.
17. Han H, Tao W, Zhang M. The dural entrance of cerebral bridging veins into the superior sagittal sinus: an anatomical comparison between cadavers and digital subtraction angiography. *Neuroradiology.* 2007;49(2):169-75. <http://dx.doi.org/10.1007/s00234-006-0175-z>. PMID:17200867.
18. Olesen J, Bolay H, Charles A, et al. The international classification of headache disorders, 3rd edition. *Cephalalgia.* 2018;38(1):1-211. <http://dx.doi.org/10.1111/j.1468-2982.2008.01709.x> PMID:29368949.
19. Zeidan A, Farhat O, Maaliki H, Baraka A. Does postdural puncture headache left untreated lead to subdural hematoma? Case report and review of the literature. *Int J Obstet Anesth.* 2006;15(1):50-8. <http://dx.doi.org/10.1016/j.ijoa.2005.07.001>. PMID:16256333.
20. Acharya R. Chronic subdural haematoma complicating spinal anaesthesia. *Neurol Sci.* 2005;25(6):348-50. <http://dx.doi.org/10.1007/s10072-004-0370-9>. PMID:15729500.

CORRESPONDING AUTHOR

Osmi Hamamoto, MD, PhD

Neurosurgery

Marília Medical School – FAMEMA

Marília, São Paulo, Brazil

E-mail: osmihamamoto@yahoo.com.br

Funding: *nothing to disclose.*

Conflicts of interest: *nothing to disclose.*

Responsável Técnico
Dr. André Giacomelli Leal
CRM-PR 21874

INC
18
ANOS



HOSPITAL
INC
INSTITUTO DE
NEUROLOGIA
DE CURITIBA

CENTRO DE FORMAÇÃO RESIDÊNCIA MÉDICA

RECONHECIDAS PELO MEC

NEUROCIRURGIA*

NEUROLOGIA

ANESTESIOLOGIA

CARDIOLOGIA

CIRURGIA CARDÍACA

* International Fellowship Program - World Federation of Neurosurgical Societies

* Parcerias Internacionais - Federación Latinoamericana de Sociedades de Neurocirugía & Universitätsklinikum Tübingen



hospitalinc.com.br

